

CMPT 745
Software Engineering

Basic Formalisms for Software Engineering

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Formalism is just a tool

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 - High school algebra
 - Classic formal logic
 - Euclidean geometry

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- They serve multiple useful purposes
 - Limit the possibilities that you may consider
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- Choosing the *right* tool for the job can be hard

Formalism is just a tool

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 - Order Theory

How to compare elements of a set

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 - Formal Grammars & Automata

Use structure to constrain
the elements of a set

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 - Formal Logic (Classical & otherwise)

How and when to infer facts

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- We are going to revisit these (quickly) with some insights on how they can be useful in practice.

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 - These techniques are critical for *static program analysis*

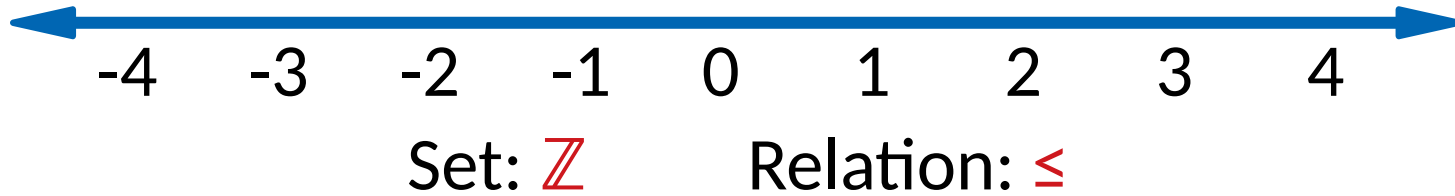
Order Theory

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- *Order theory* is a field examining how we compare elements of a set.

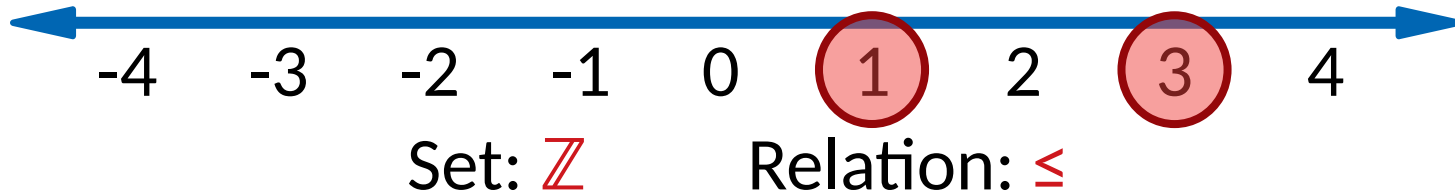
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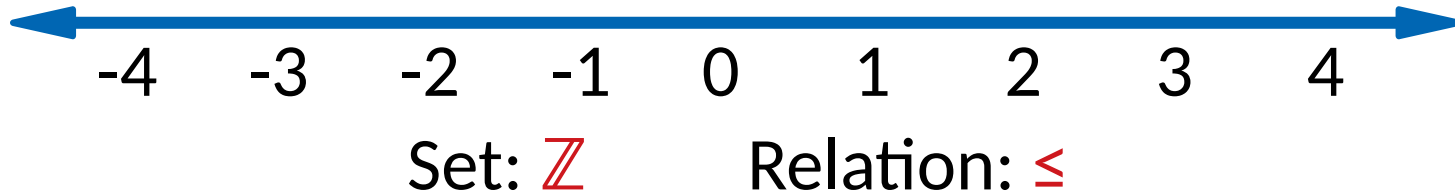
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- \leq is a *total order* on \mathbb{Z} .
 - Intuitively, $\forall a, b \in \mathbb{Z}$, either $a \leq b$ or $b \leq a$

Order Theory

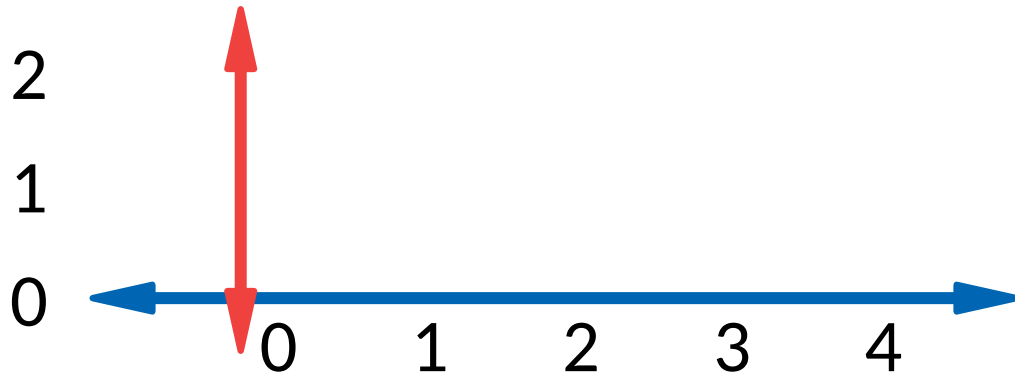
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Order Theory

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 - Ordinal, multidimensional, ...

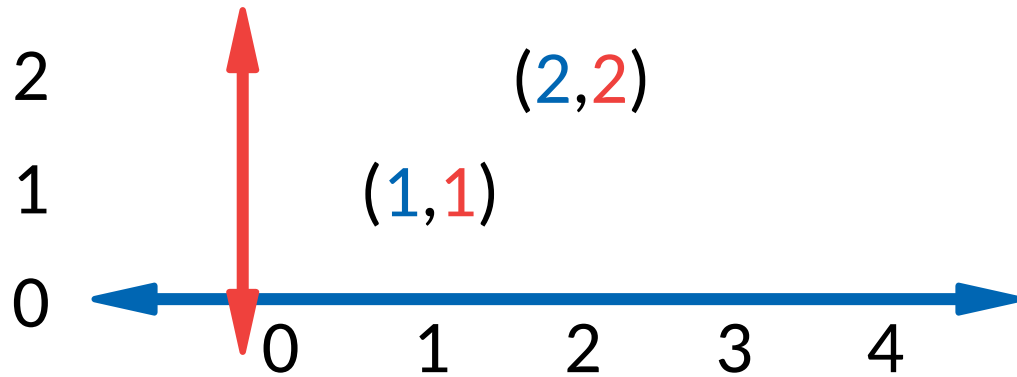
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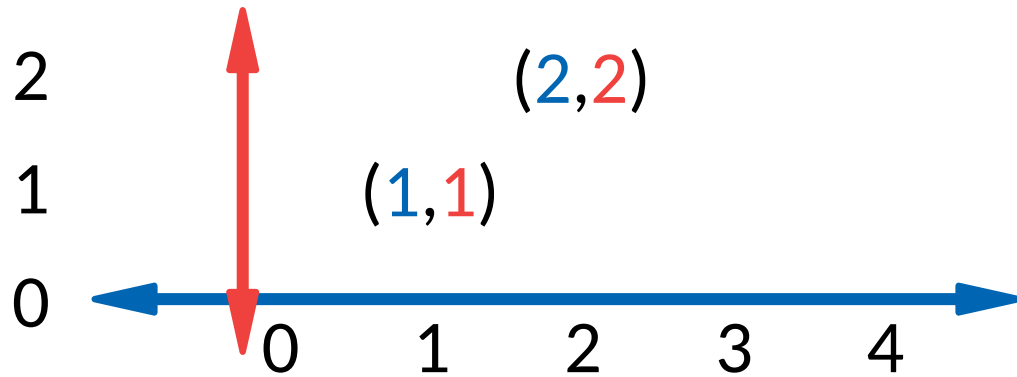
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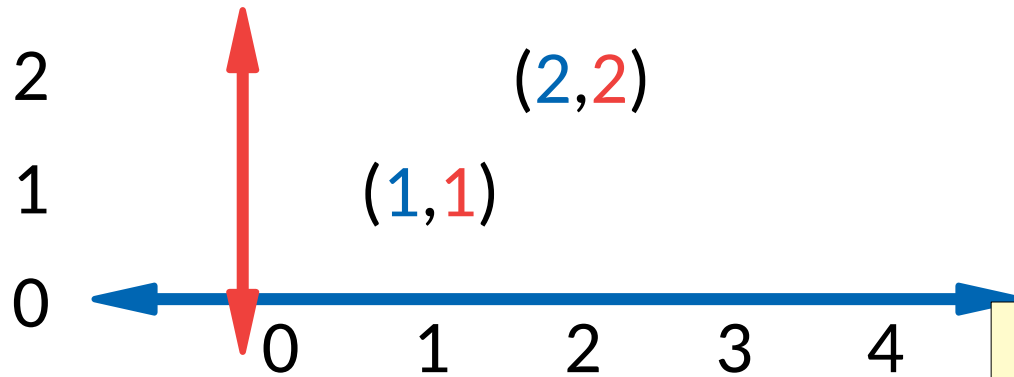
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What is the result of $(1,1) \leq (2,2)$?

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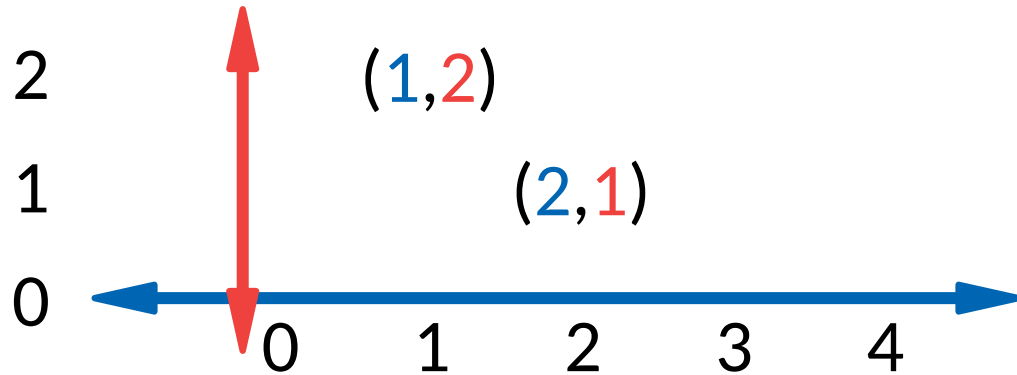


What is the result of $(1,1) \leq (2,2)$?

We can take \leq to be componentwise comparison.

Order Theory

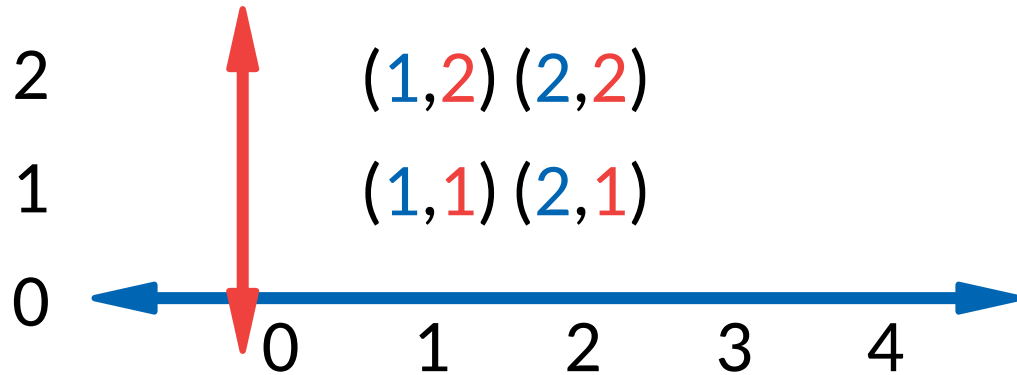
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What is the result of $(1,2) \leq (2,1)$?

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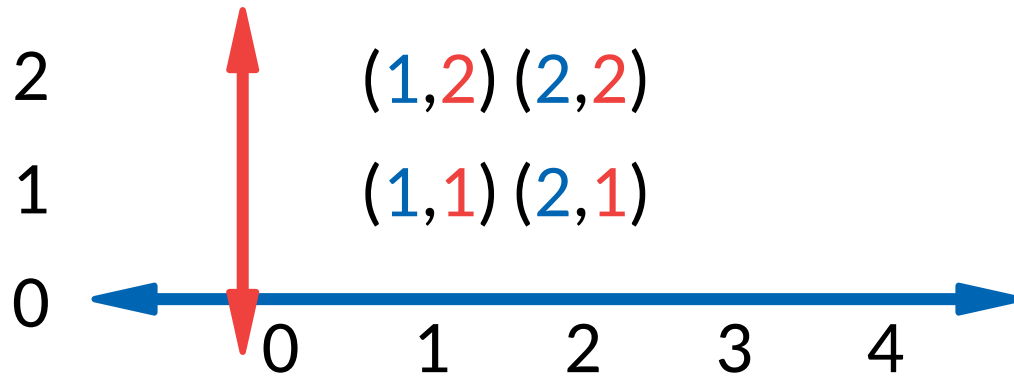
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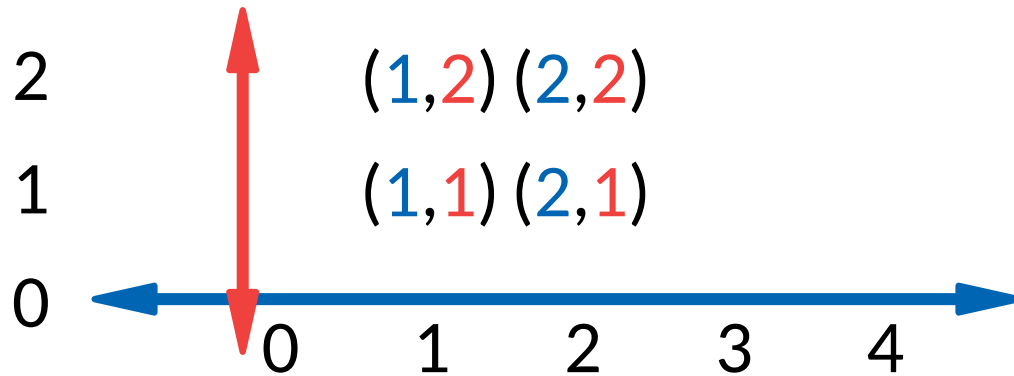
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 - Intuitively, *not all elements are comparable*

Order Theory

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Which of these 4 elements are comparable?

- Componentwise comparison with tuples yields a *partial order*
 - Intuitively, *not all elements are comparable*

Partial Orders

- A relation \leq is a *partial order* on a set S if $\forall a, b, c \in S$
 - Reflexive: $a \leq a$
 - Antisymmetric: $a \leq b \ \& \ b \leq a \Rightarrow a = b$
 - Transitive: $a \leq b \ \& \ b \leq c \Rightarrow a \leq c$

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How does a
total order compare?

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- Common partial orders include
 - substring, subsequence, subset relationships

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$$ab \leq_{\text{str}} xabyz$$

$$ab \leq_{\text{seq}} xaybz$$

$$\{a,b\} \subseteq \{a,b,x,y,z\}$$

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$$\begin{aligned}(1,1) &\sqsubseteq (1,2) \\ (1,1) &\sqsubseteq (2,2)\end{aligned}$$

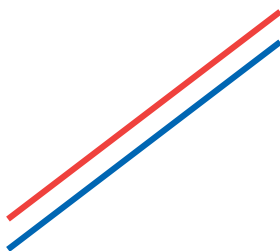
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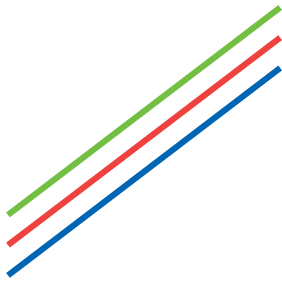


$$f(x) = x + 1 \sqsubseteq g(x) = x + 2$$

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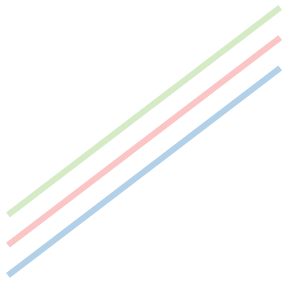


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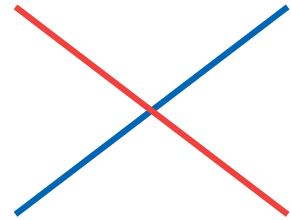
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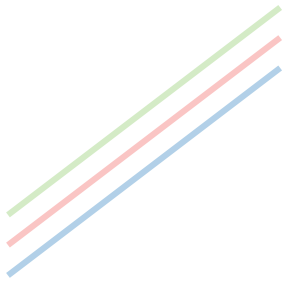
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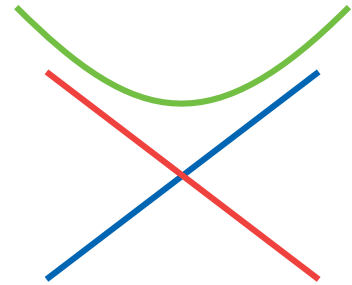
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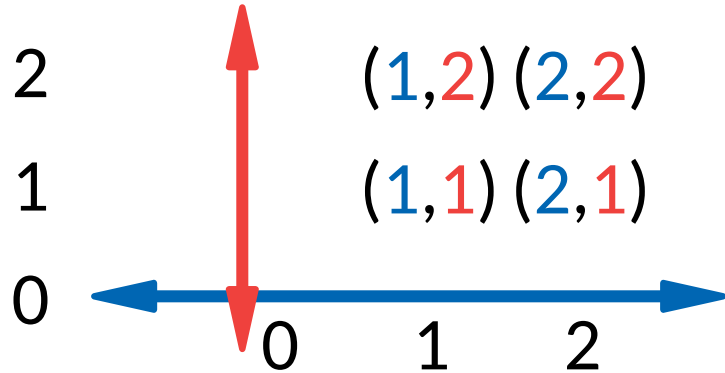
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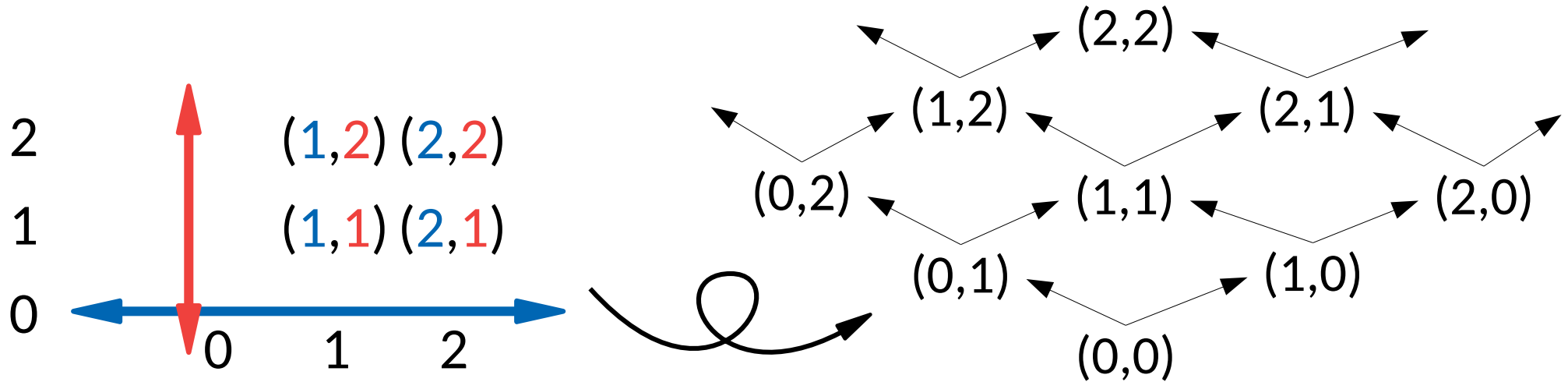
Partial Orders

- We can express the structure of partial orders using *(semi-)lattices*.



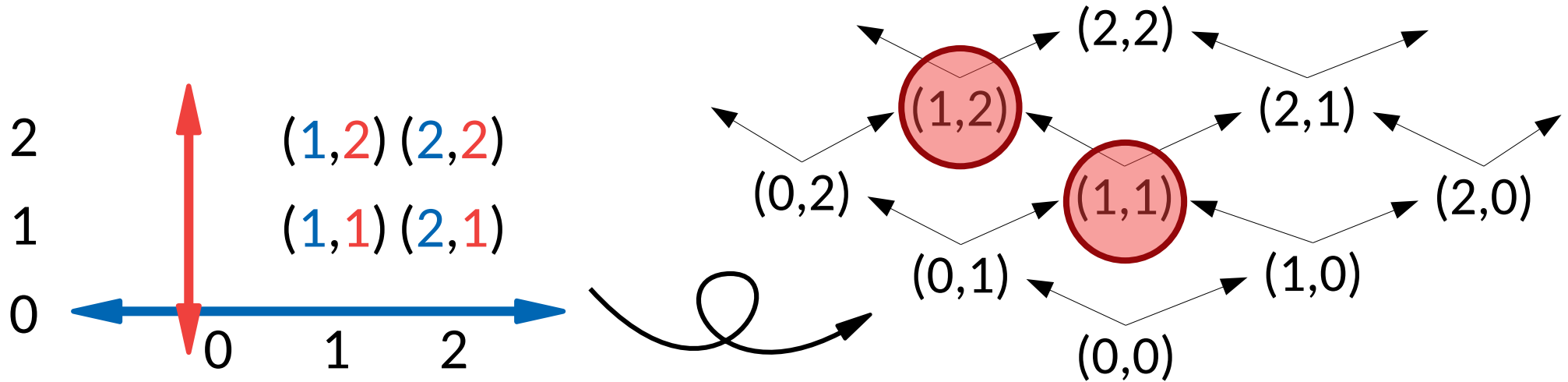
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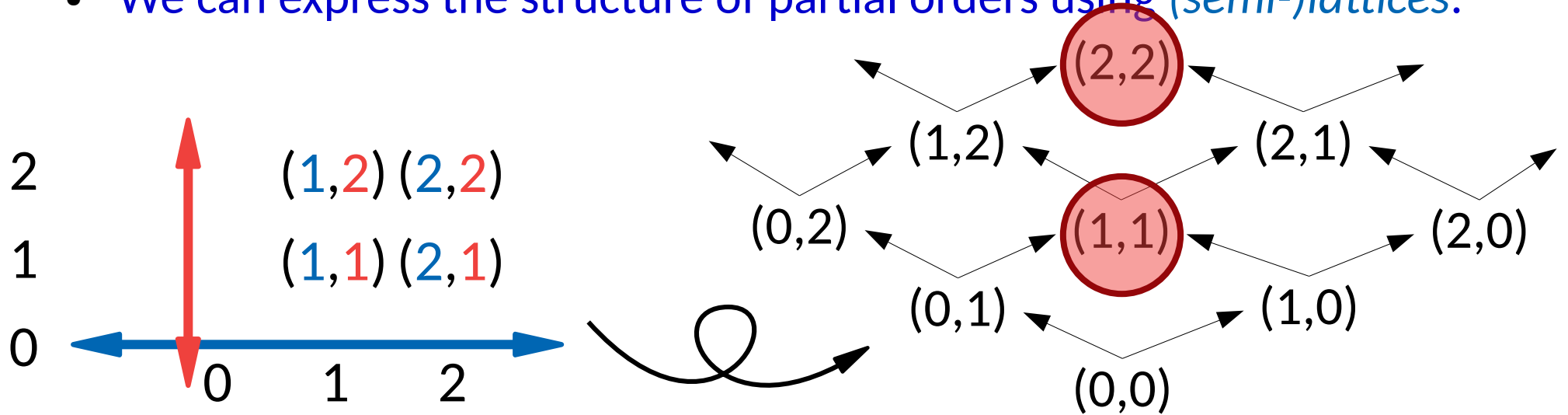
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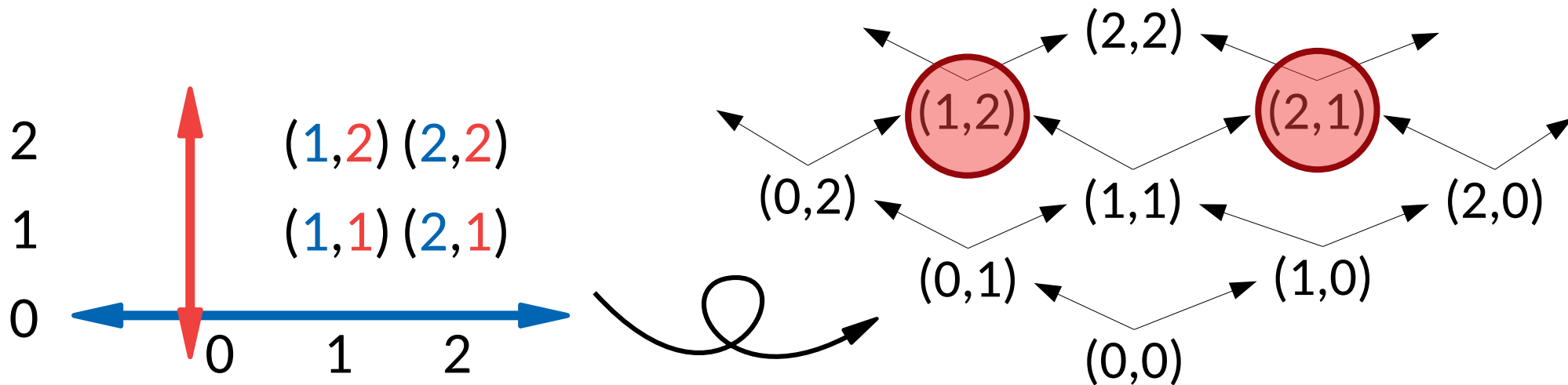
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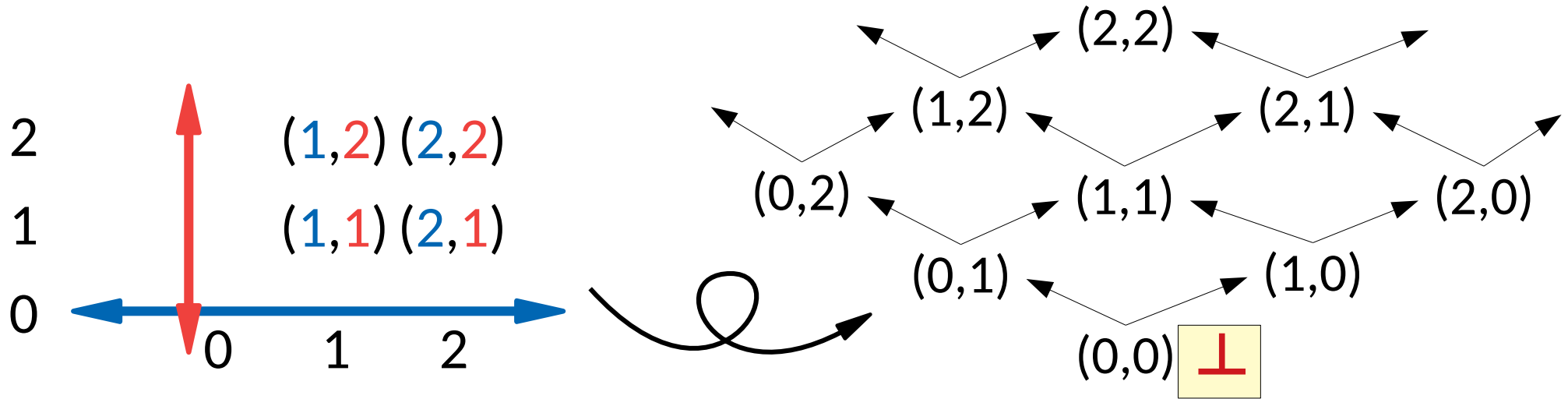
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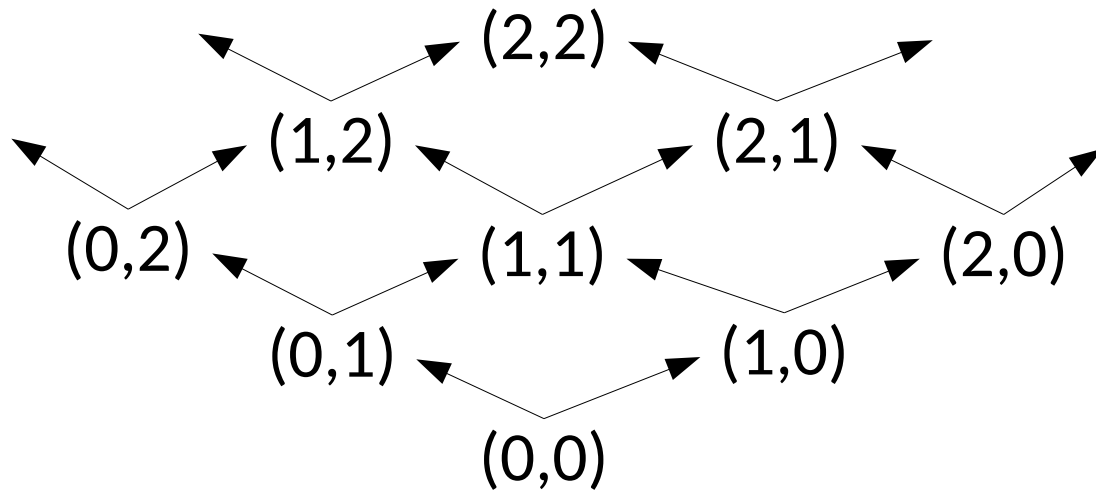
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- If unique least/greatest elements exist, we call them \perp (bottom)/ \top (top)

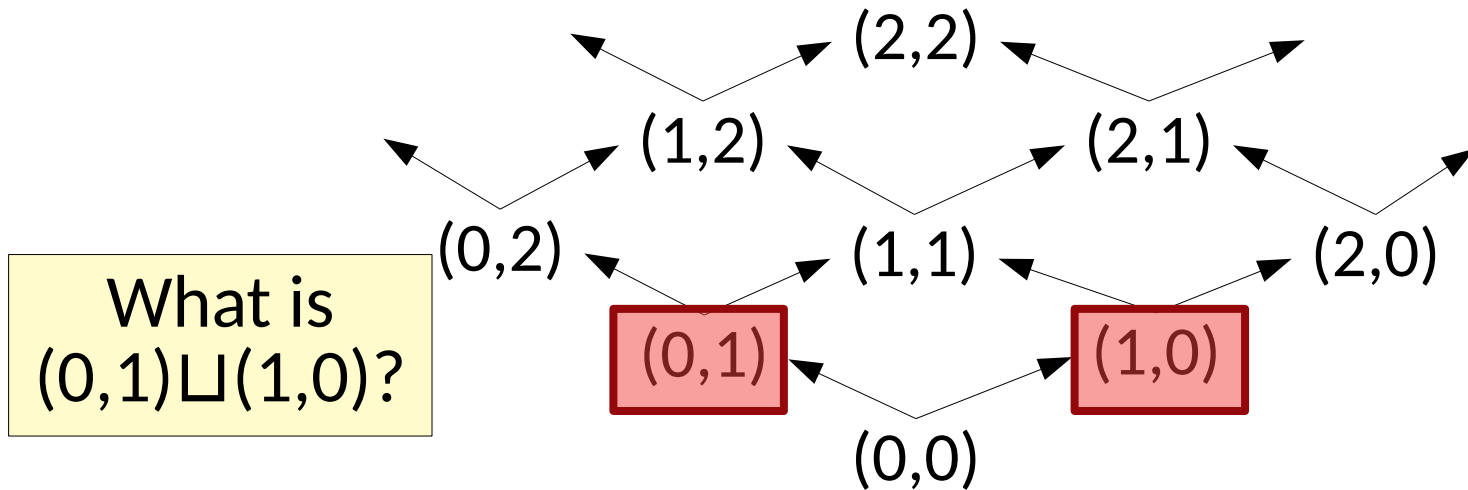
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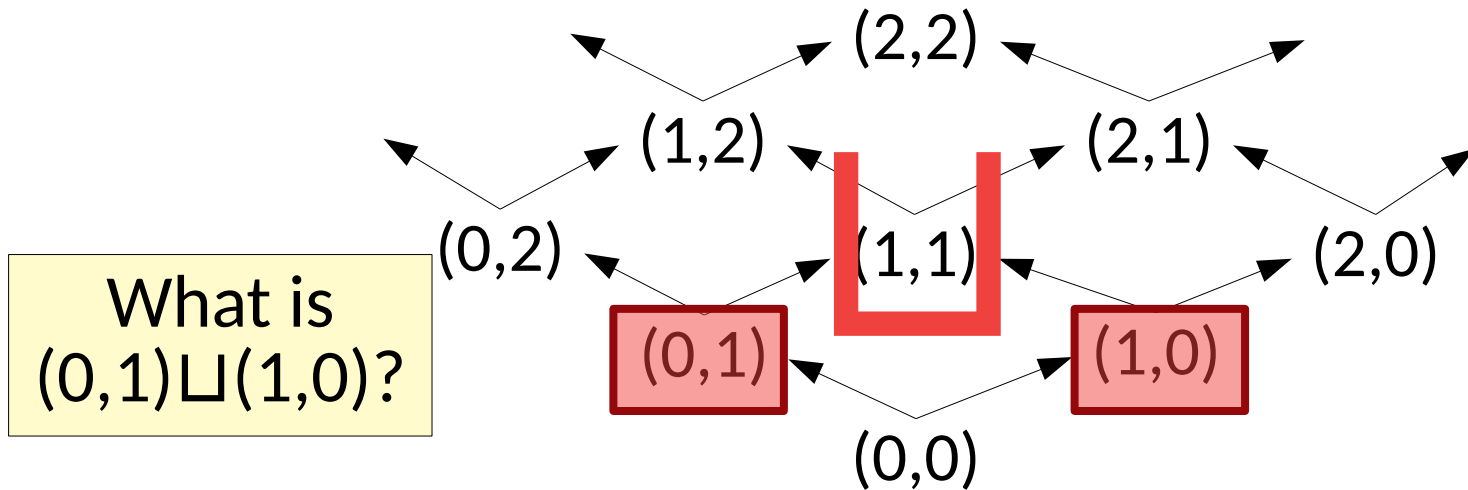
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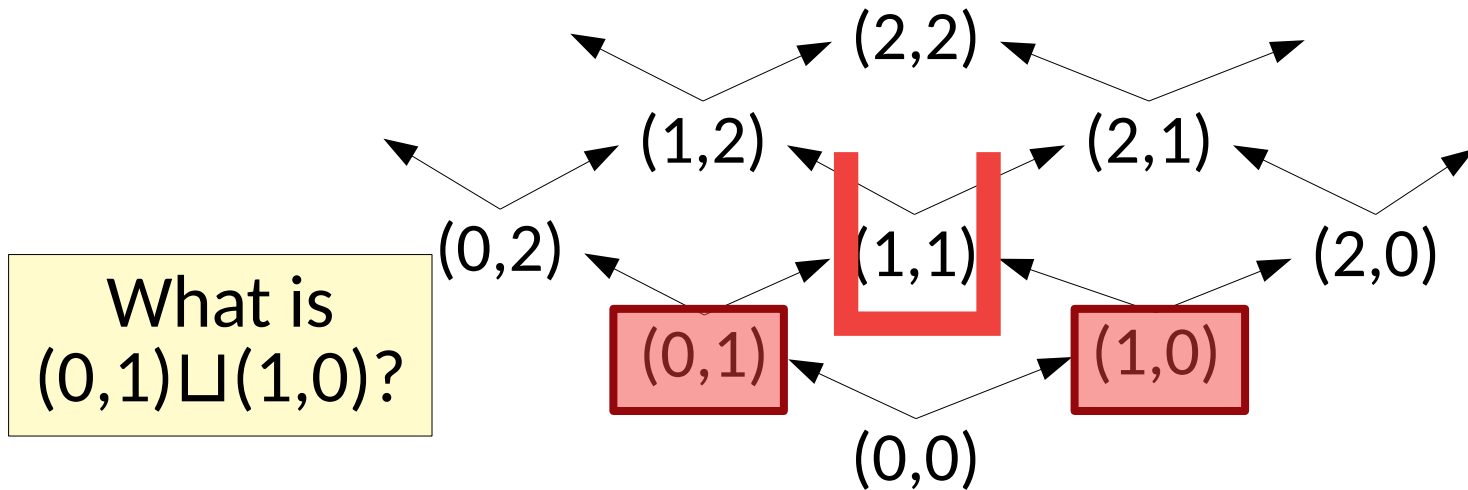
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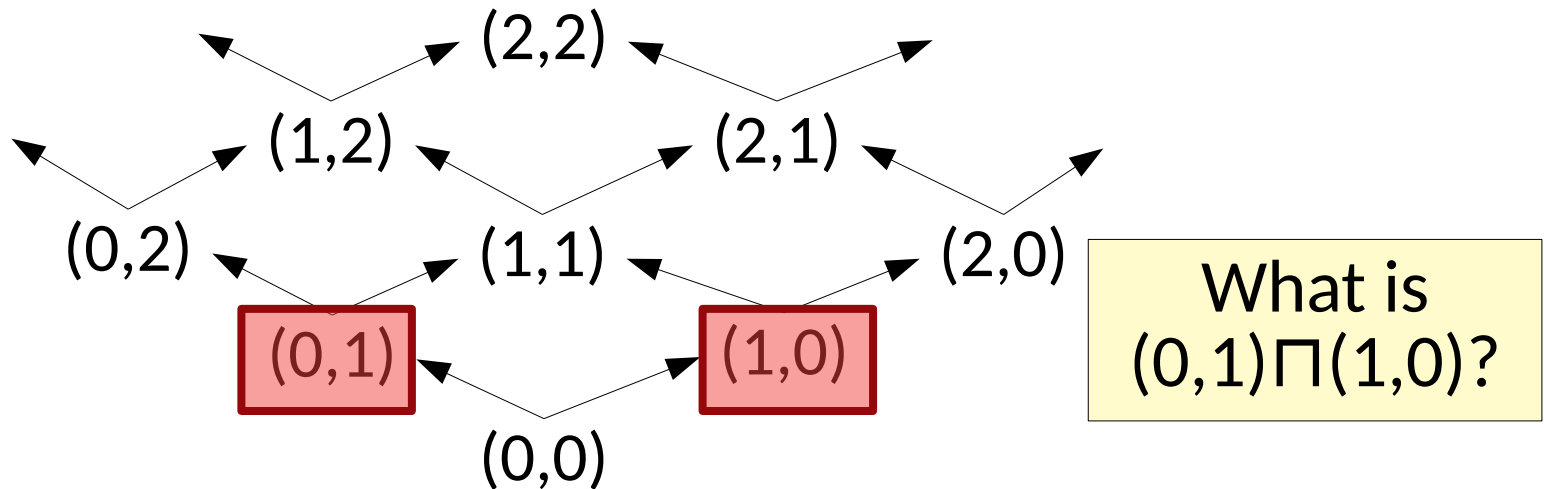
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 $a \sqsubseteq (a \sqcup b)$ & $b \sqsubseteq (a \sqcup b)$ & $(a \sqsubseteq c \ \& \ b \sqsubseteq c \rightarrow (a \sqcup b) \sqsubseteq c)$



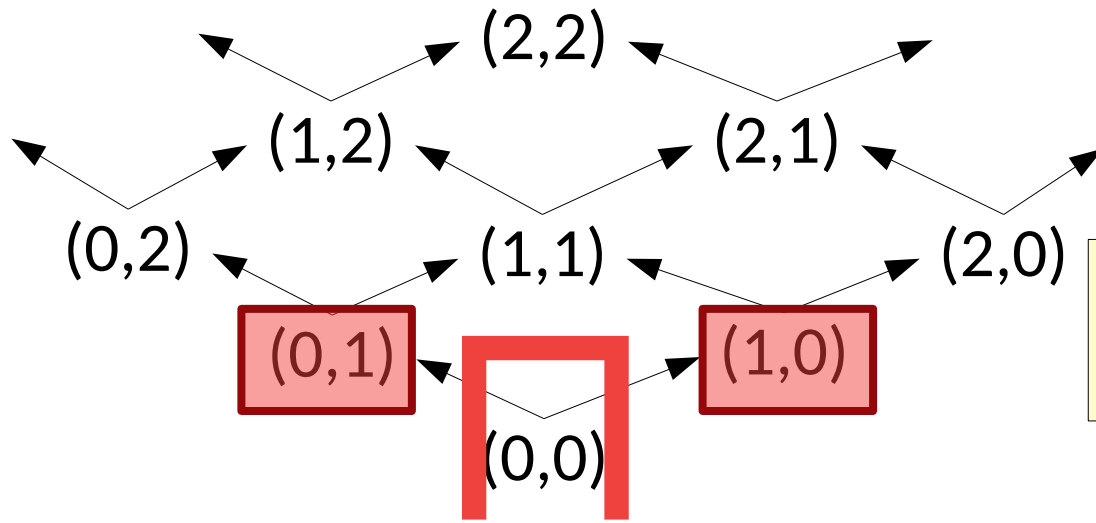
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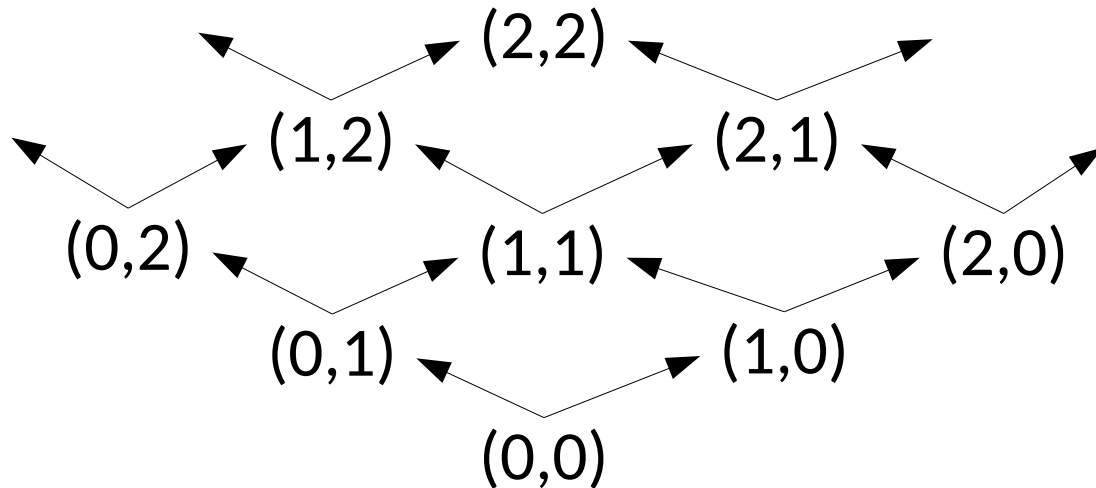
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What is
 $(0,1) \sqcap (1,0)$?

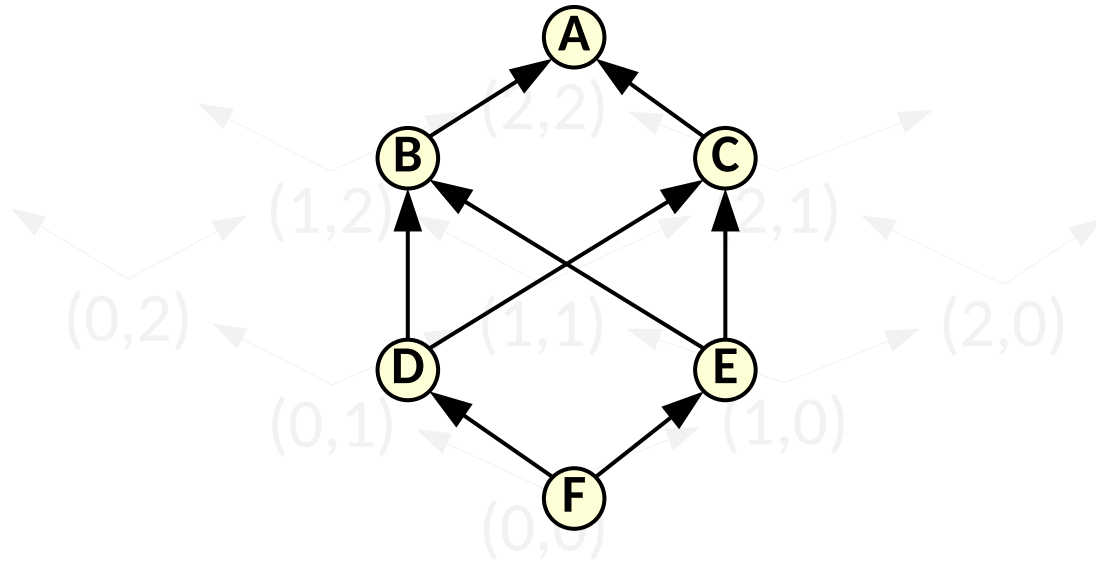
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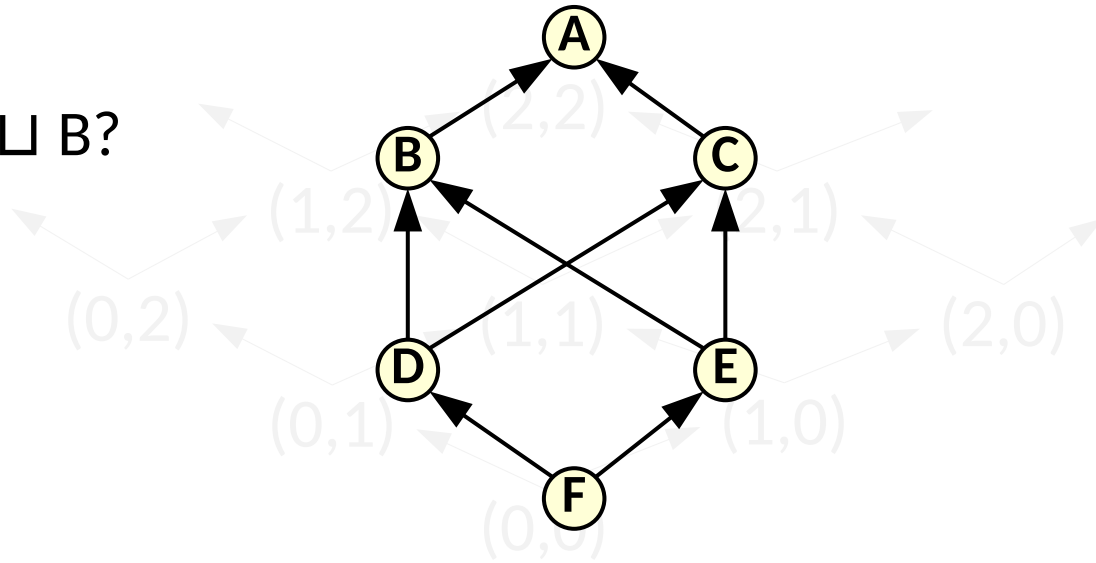
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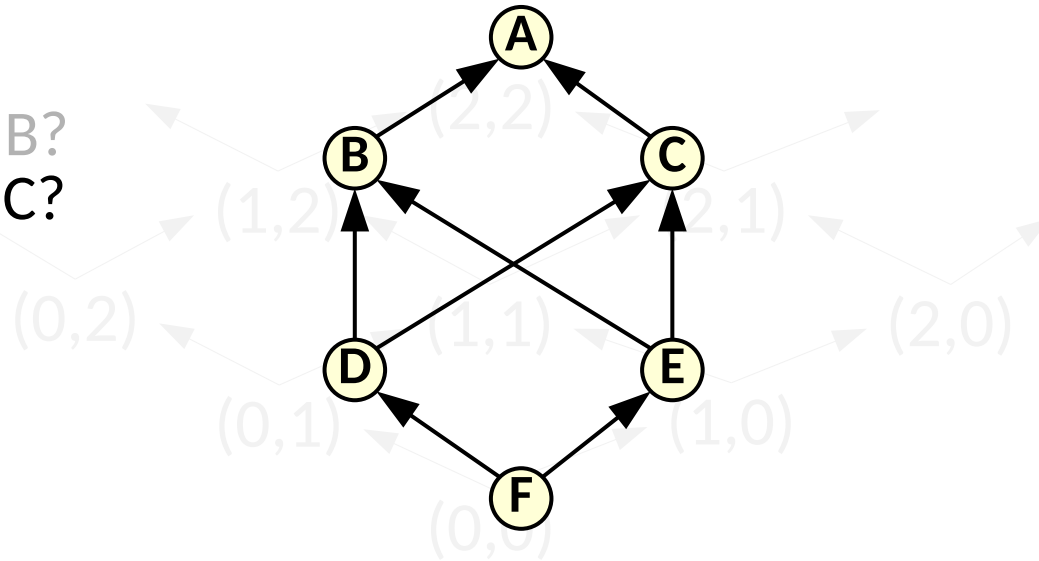
What is $A \sqcup B$?



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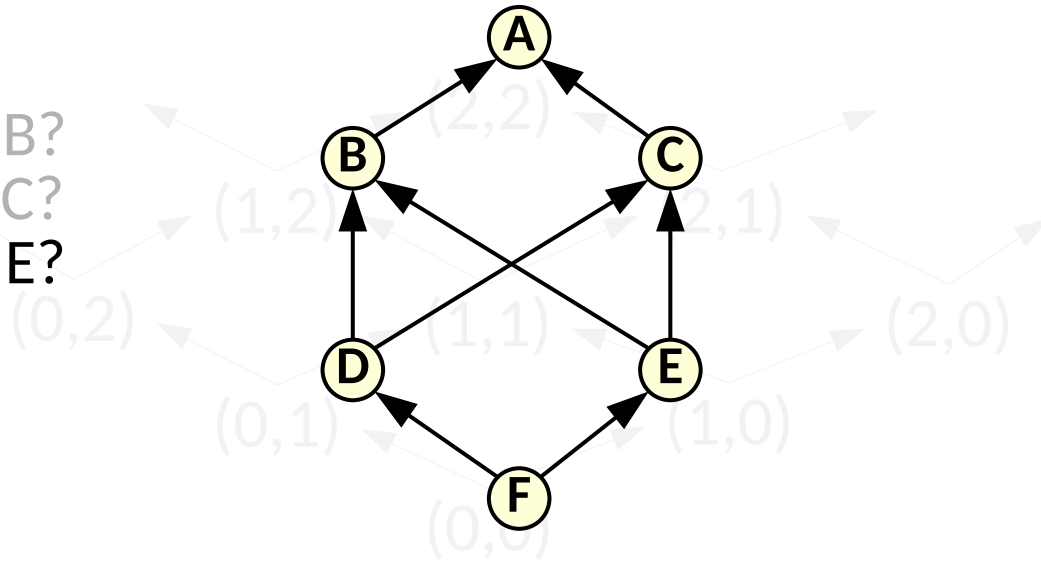
What is $A \sqcup B$?
What is $B \sqcup C$?



Partial Orders

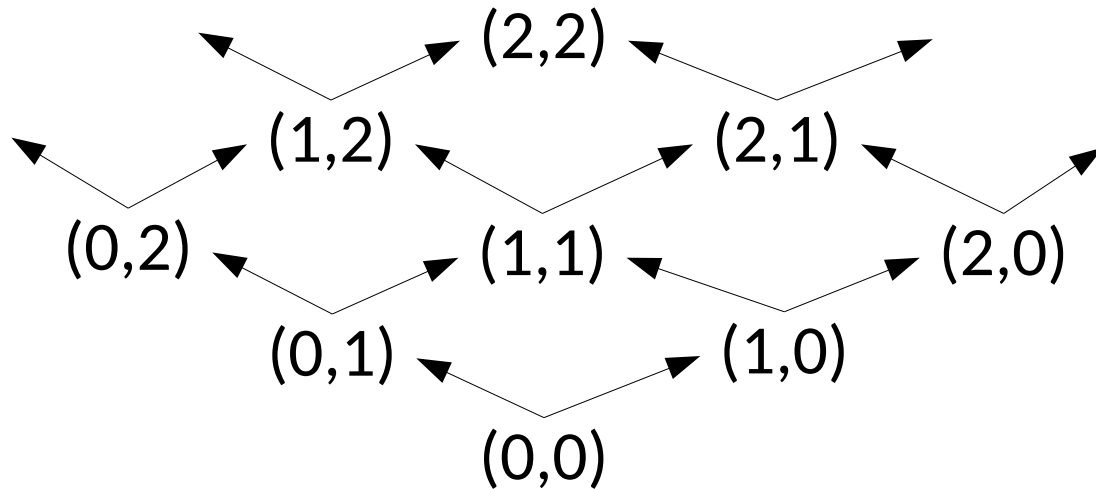
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What is $B \sqcup C$?
What is $D \sqcup E$?



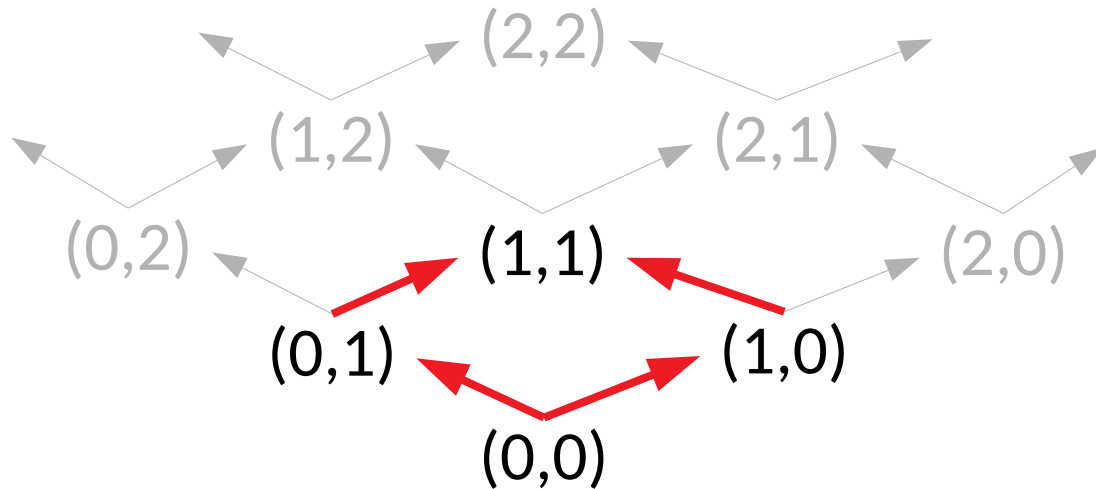
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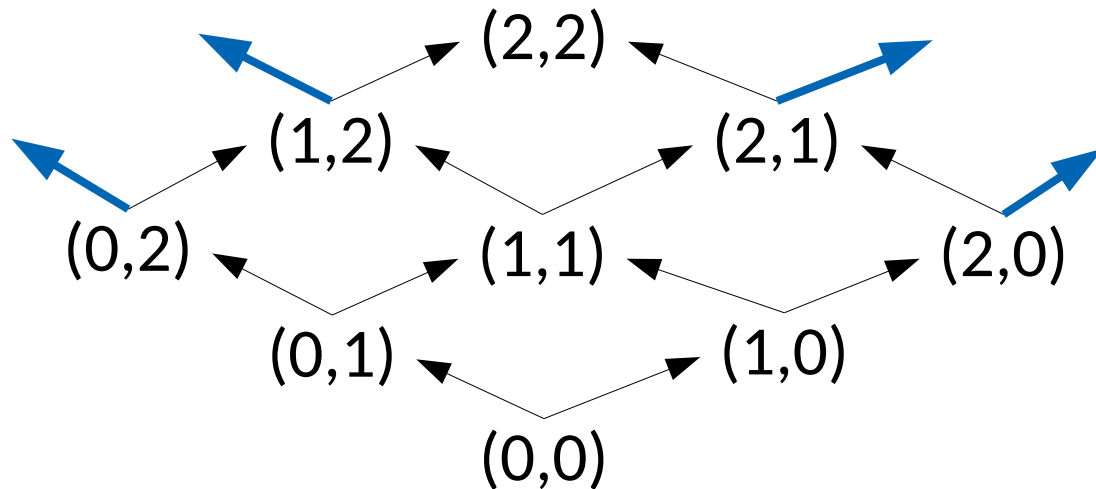
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 - $\forall S' \subseteq S, \exists \sqcup S' \ \& \ \sqcap S' \Rightarrow$ lattice



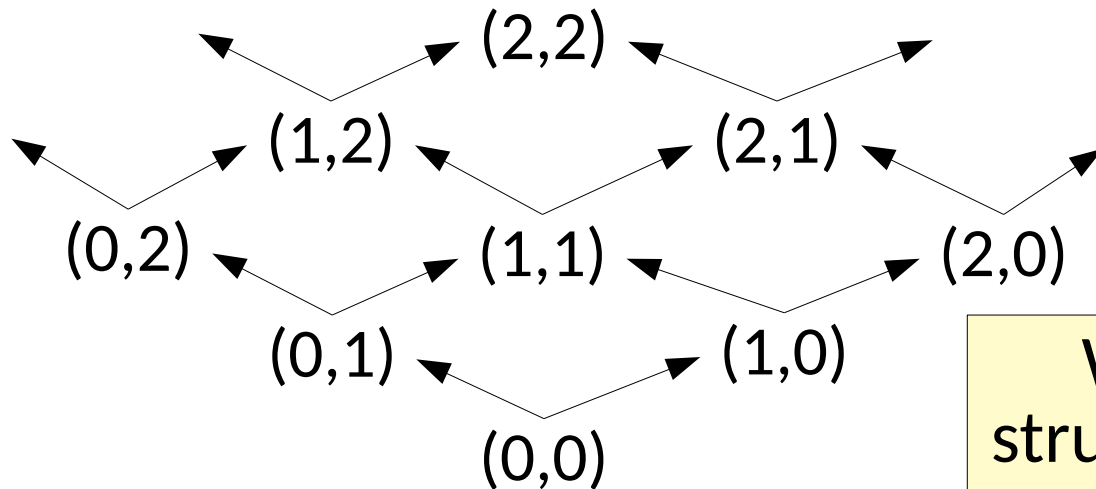
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What is the structure shown?

Partial Orders

- A product of lattices (partial orders) yields a lattice (partial order)

$$L_1 \times L_2$$

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 - We already saw componentwise orderings for tuples.
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A total order is a partial order.
Products of total orders are partial orders

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 $(X, \sqsubseteq_x), (Y, \sqsubseteq_y), f: X \rightarrow Y$

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 $(X, \sqsubseteq_X), (Y, \sqsubseteq_Y), f: X \rightarrow Y$
 $x_1 \sqsubseteq_X x_2 \rightarrow f(x_1) \sqsubseteq_Y f(x_2)$ (f is monotonic)

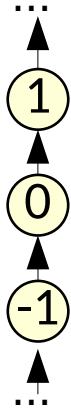
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- A product of lattices (partial orders) yields a lattice (partial order)
 - We already saw componentwise orderings for tuples.
This is the same.
- Several expected principles naturally apply
 - Monotonicity
 - Continuity
 - Fixed Points
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Partial Orders

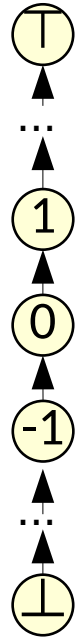
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Partial Orders



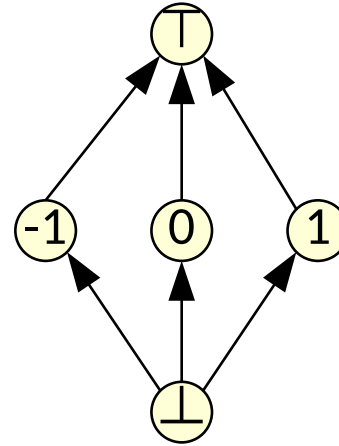
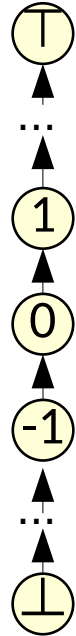
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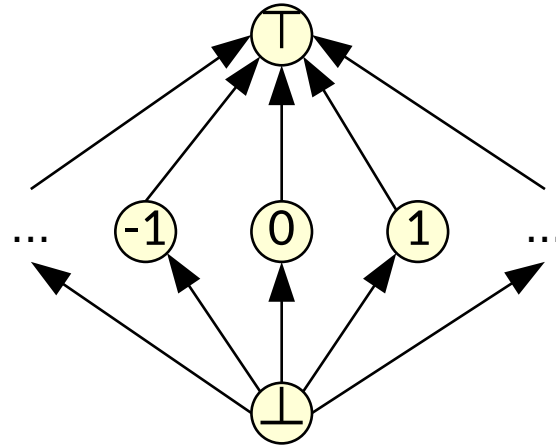
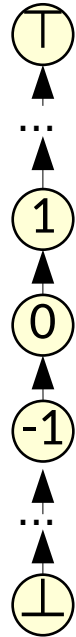
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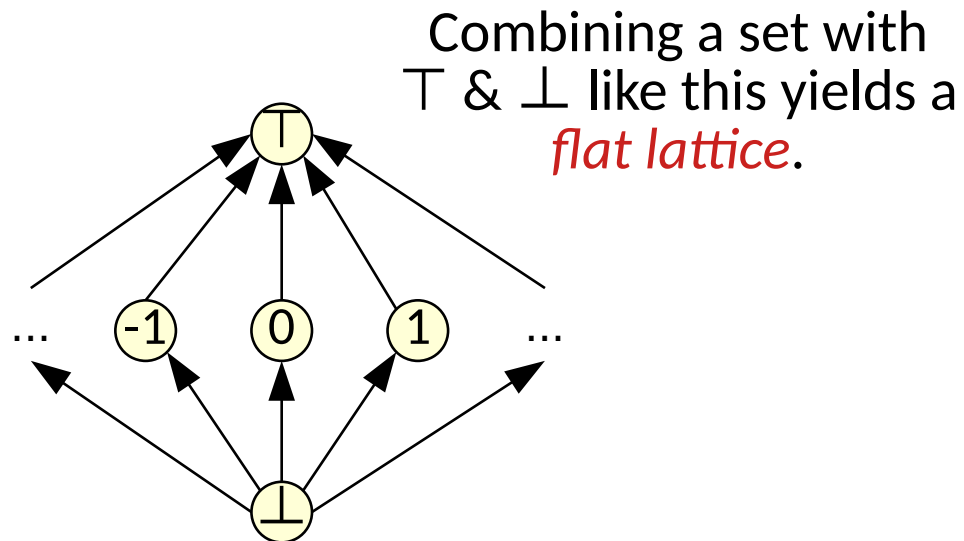
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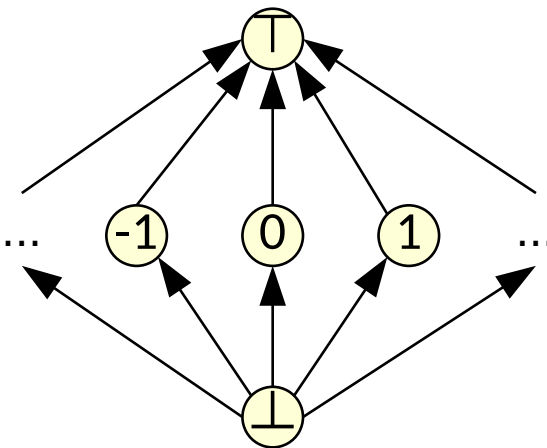
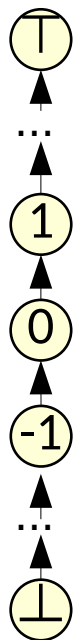
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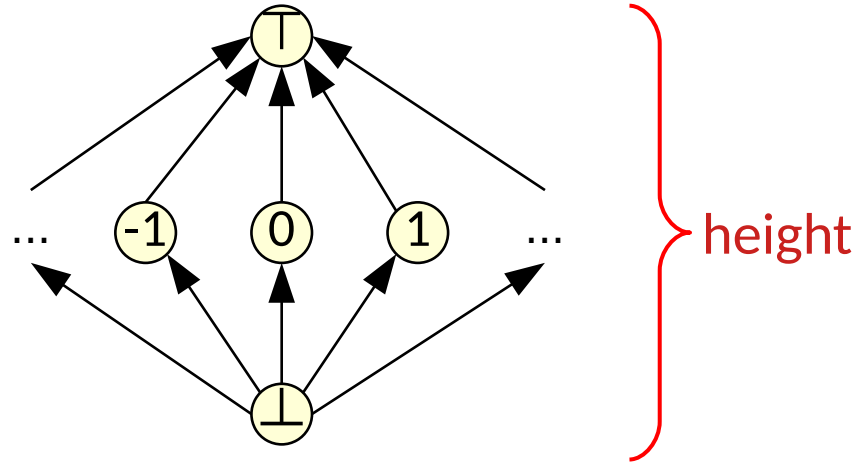
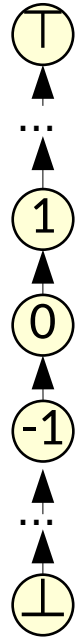
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Partial Orders



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 - Careful structuring of our orderings can express different things. What do these two lattices express?
 - Many use cases can also be affected by the height of a lattice.

Partial Orders

- Partial orders & lattices can be very useful

Partial Orders

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 - A formal structure for reasoning about relative value

Partial Orders

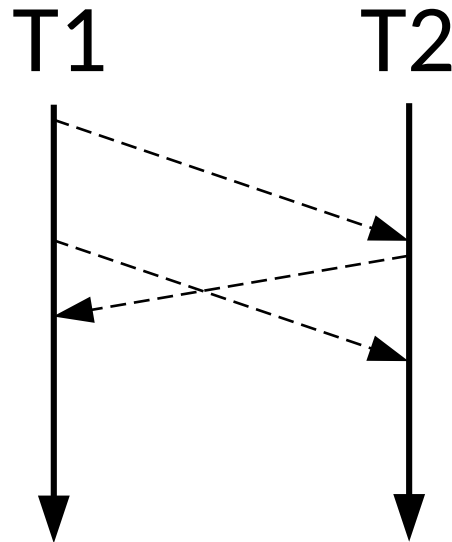
- Partial orders & lattices can be very useful
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 - modern cryptography (including post-quantum)

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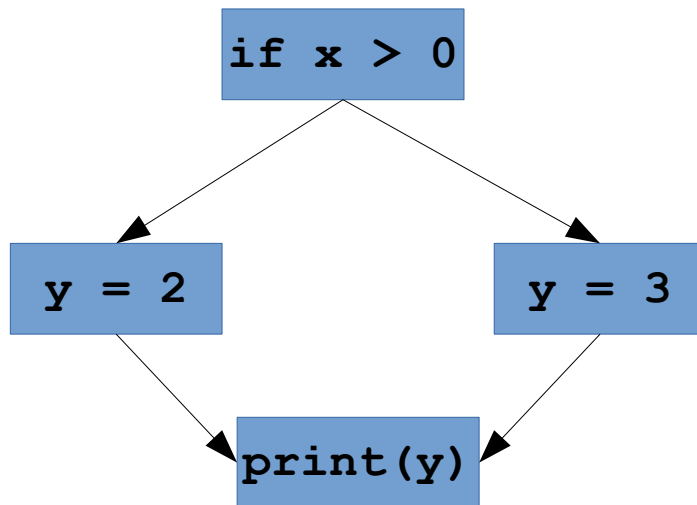


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Partial Orders

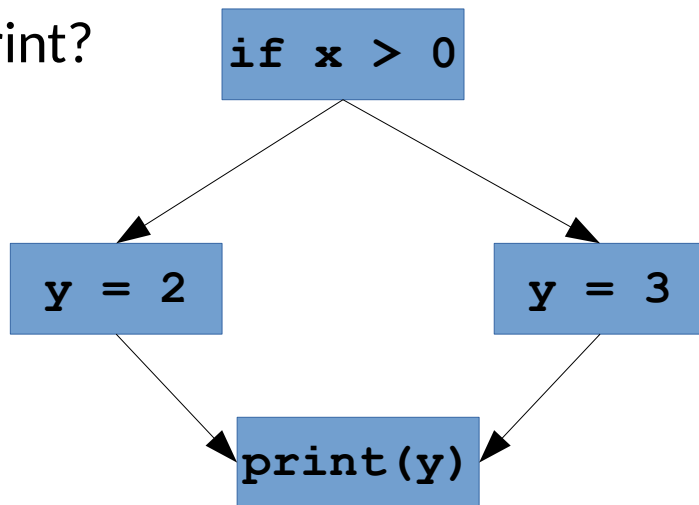
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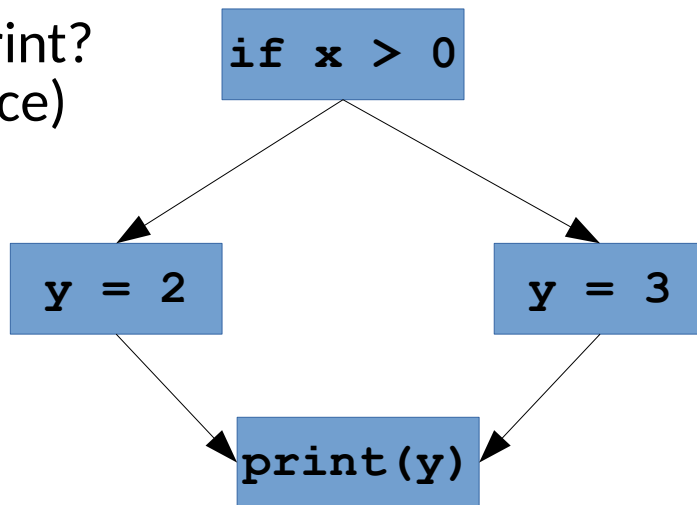
What can the last line print?



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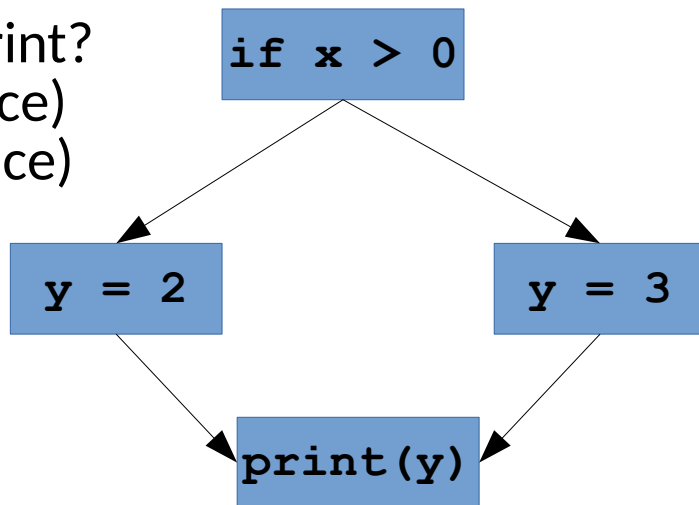
What can the last line print?
2 or 3? (set lattice)



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What can the last line print?
2 or 3? (set lattice)
unknown? (flat lattice)



Formal Grammars & Automata

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- Grammars define the structure of elements in a set
 - Alternatively, they generate the set via structure

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- Grammars define the structure of elements in a set
 - Alternatively, they generate the set via structure
- They commonly define *formal languages*
 - Sets of strings over a defined alphabet
- They are effective at *constraining* sets & search spaces

Regular Languages & Finite Automata

- *A regular language can be expressed via a regular expression*

Regular Languages & Finite Automata

- A *regular language* can be expressed via a *regular expression*

```
regex → symbol
      | `(` regex `)`
      | regex `*`
      | regex `|` regex
      | regex regex
```

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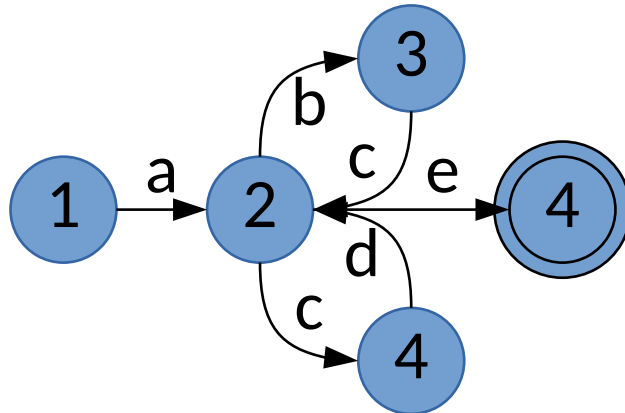
e.g. $a(bc \mid cd)^*e$ defines L containing **abccdbce**

Regular Languages & Finite Automata

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- Finite automata can be used to *recognize* or *generate* elements of a regular language

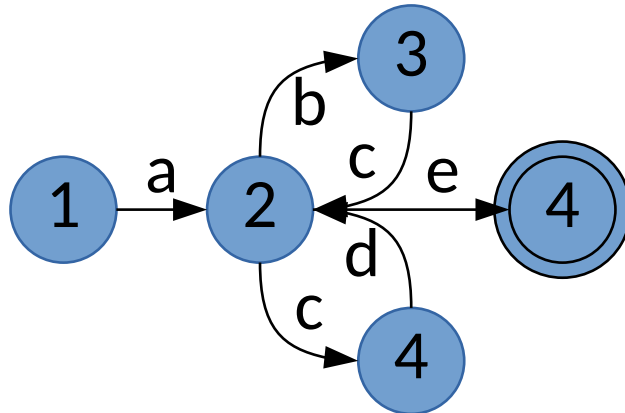
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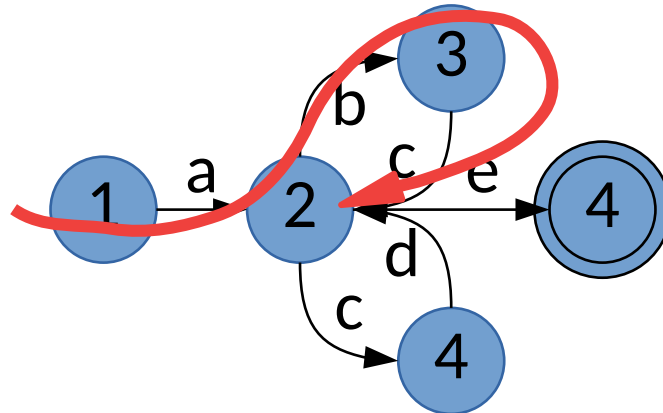
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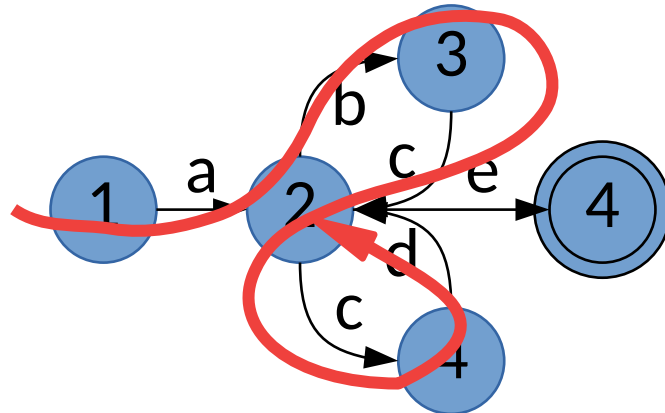
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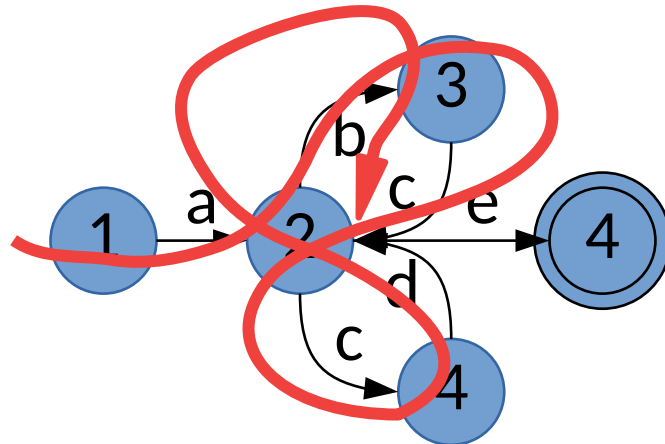
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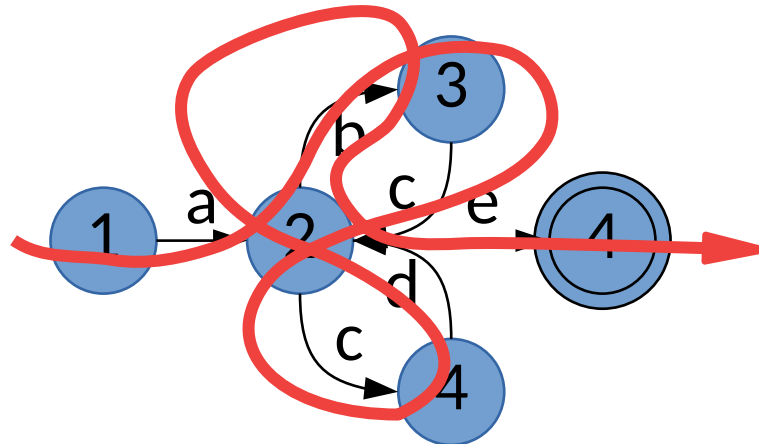
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- Recall, regular languages cannot express matched parentheses (Dyck languages)

$a^n b^n$

Context Free Grammars & Pushdown Automata

- *Context free grammars* add recursion and enable Dyck language recognition

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Start = A
A  → cBd
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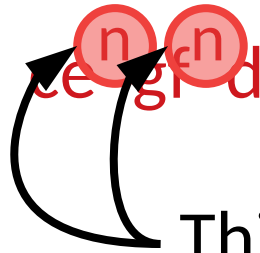
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This requires some kind of *memory*

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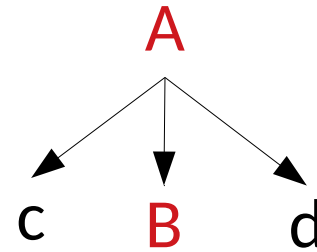
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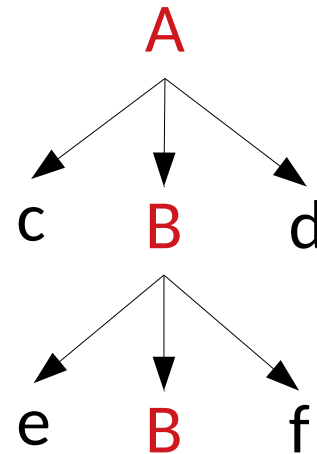


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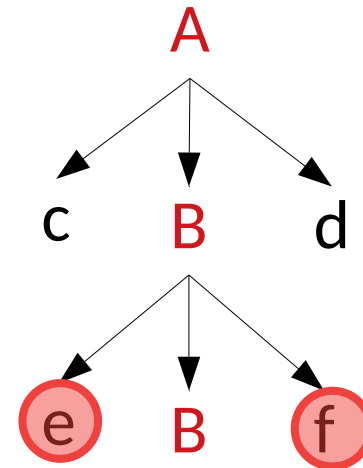


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Generating symbols out of order acts as a form of memory.

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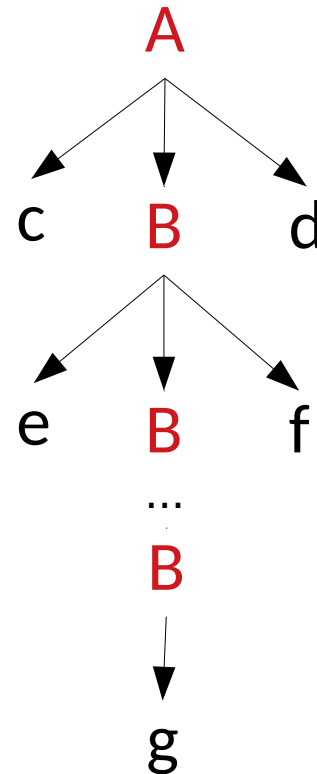
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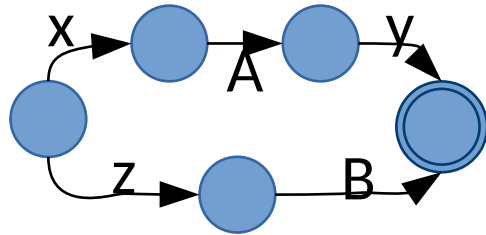
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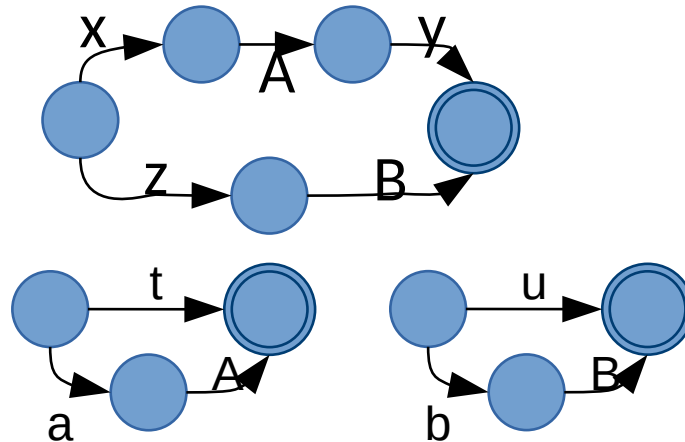
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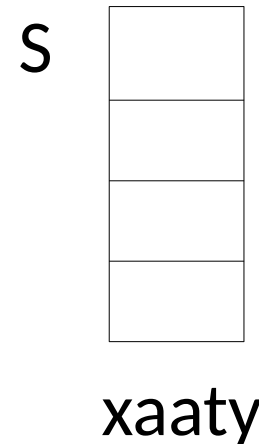
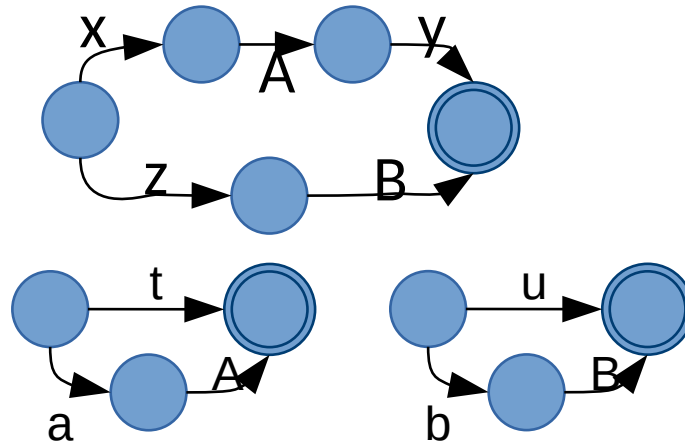
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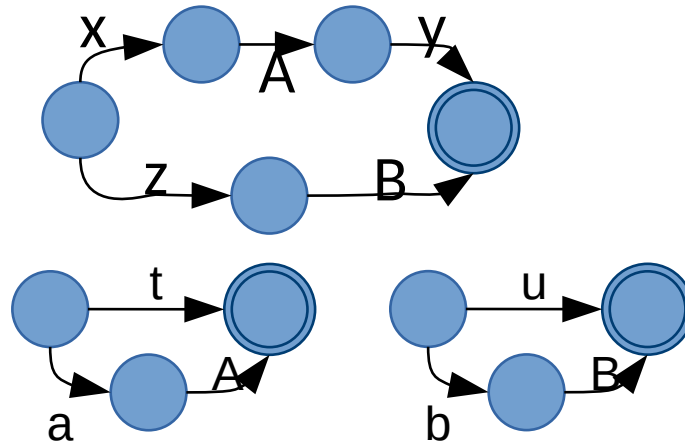
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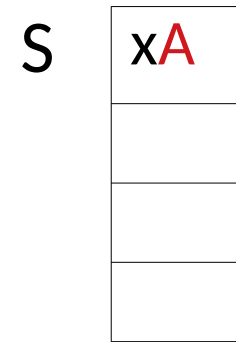
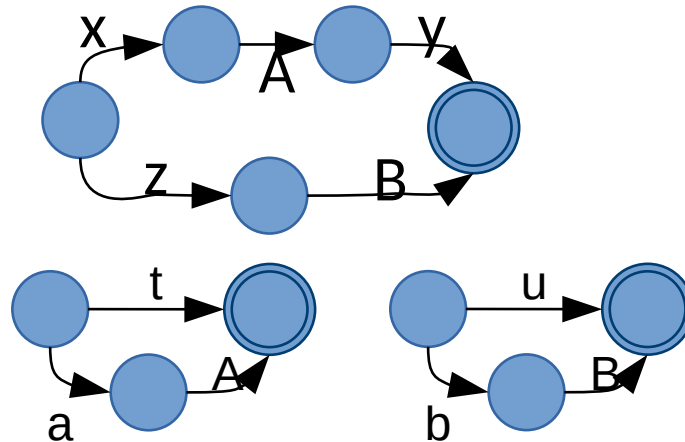
x

xaaty

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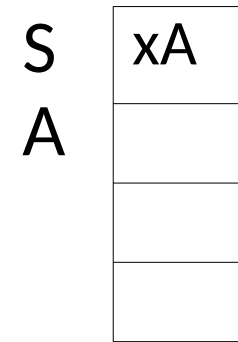
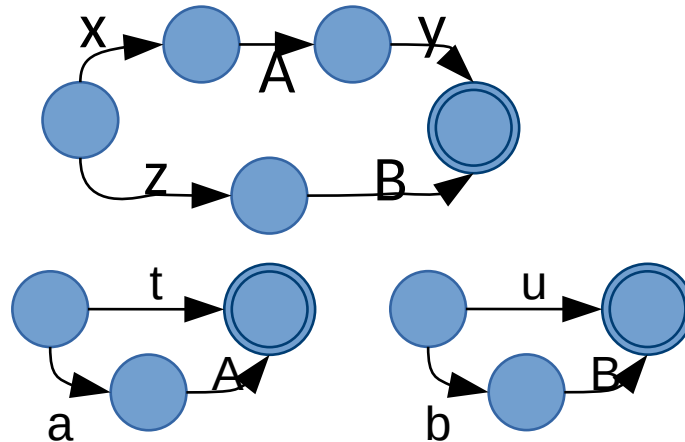


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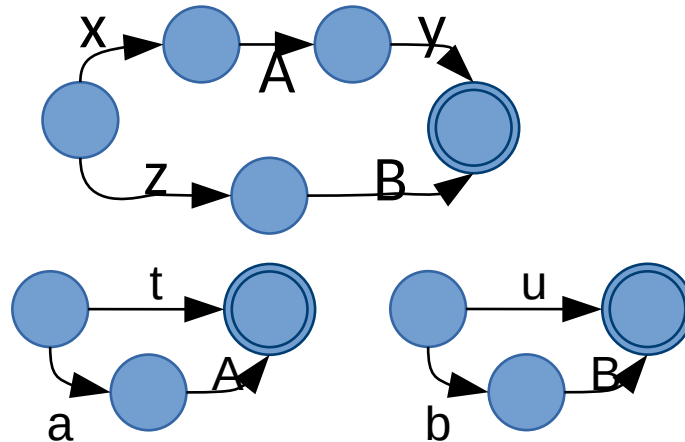


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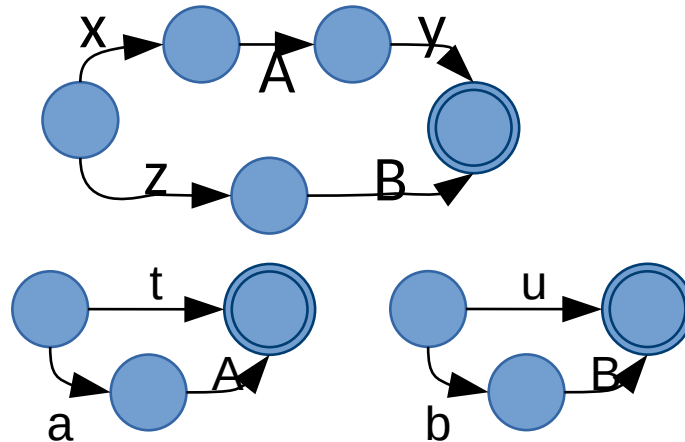
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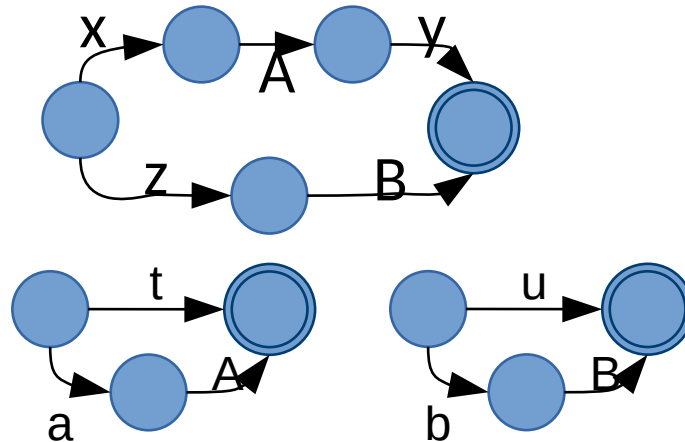
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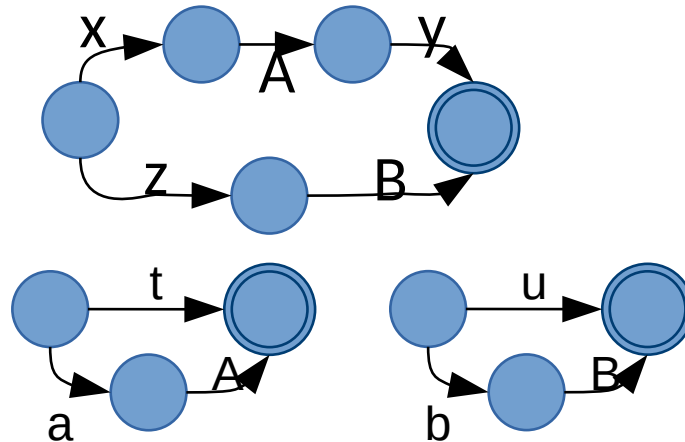
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A	t

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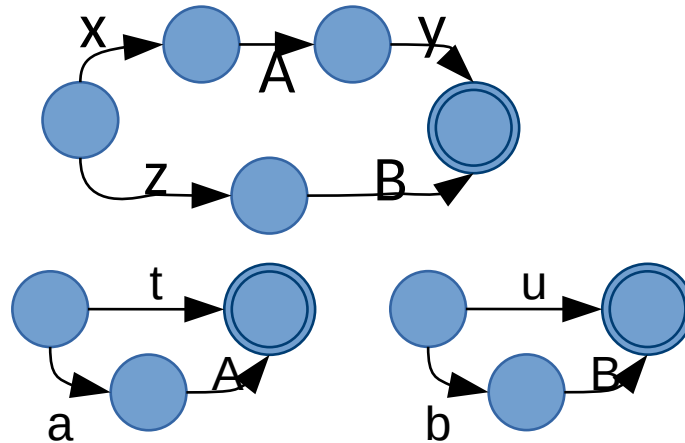
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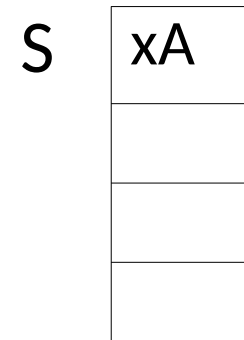
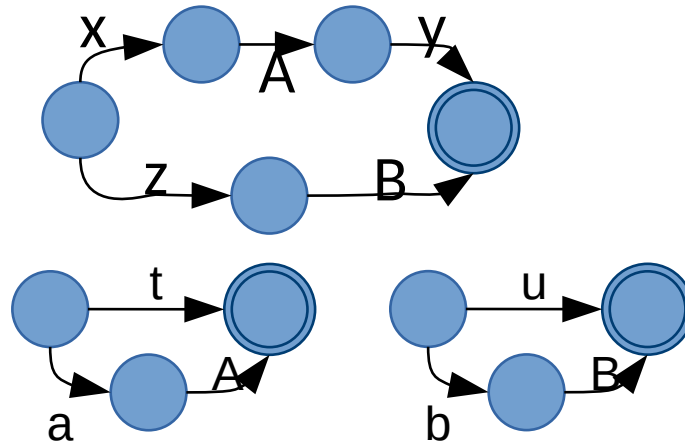
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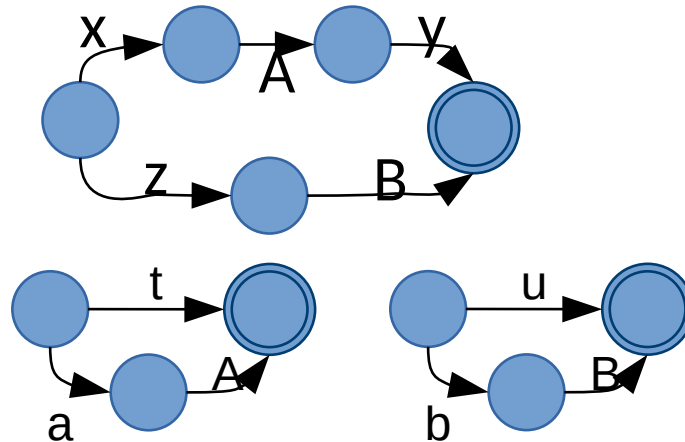


$xaaty$

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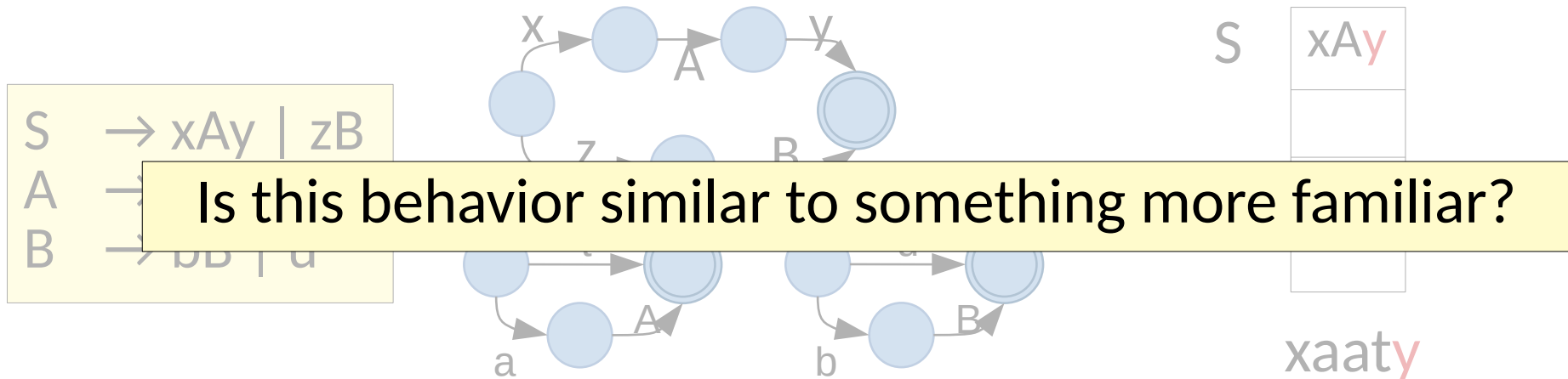
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xAy

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- Augmenting a finite automaton with a stack enables recognition and generation (via *pushdown automata*)
- Adding additional rules can extend the expressiveness
 - context sensitive languages
 - tree adjoining grammars
 - ...

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- Augmenting a finite automaton with a stack enables recognition and generation (via *pushdown automata*)
- Adding additional rules can extend the expressiveness
- **Grammars can constrain far more than strings.**
 - graphs
 - semantic objects (furniture layout? sequences of actions? ...)

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```
if (e) {  
    ...  
}
```

Context Free Grammars & Pushdown Automata

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 - Precise static program analysis
 - Program synthesis

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if (e) {  
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Automated Repair

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true  
false
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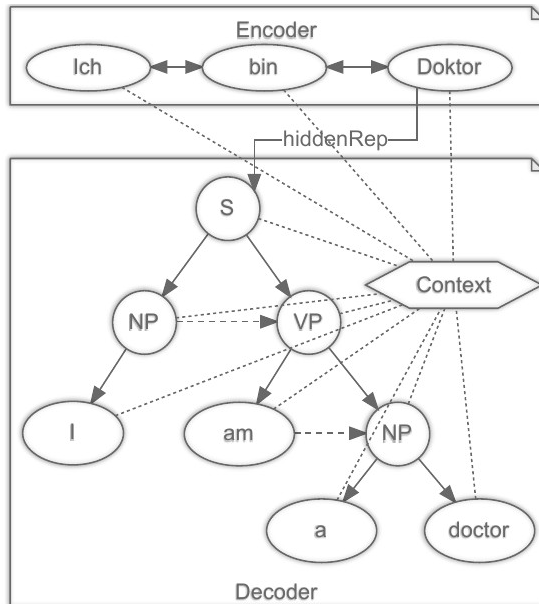
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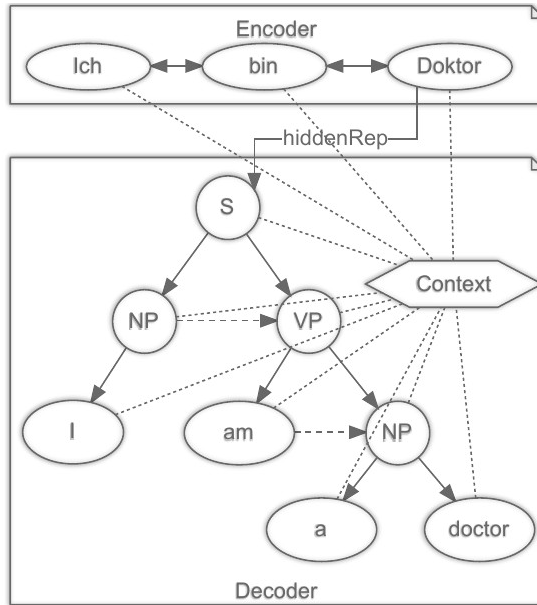
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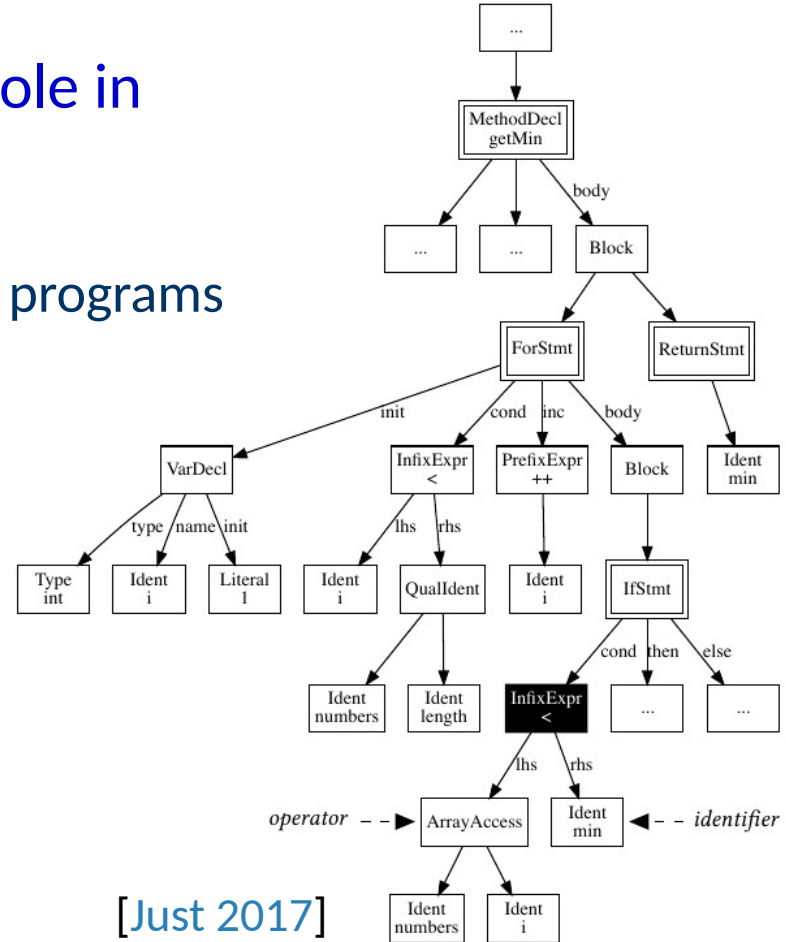
[Gu 2019]

Context Free Grammars & Pushdown Automata

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[Gu 2019]



[Just 2017]

Context Free Grammars & Pushdown Automata

- Context free grammars play a key role in
 - Precise static program analysis
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 - Prediction and machine learning on programs
 - Compact encodings of complex sets

Formal Logic

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 - Separate the messy content of an argument from its structure
- Sometimes the process can be automated
 - e.g. satisfiability problems, type inference, ...
- Program analysis has actually been one of the driving forces behind satisfiability in recent years.

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$$x \wedge \neg y \wedge z$$

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$I \vdash x$ and $I \vdash y$ iff $I \vdash x \wedge y$

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- We will see later how these can be used for a wide variety of tasks
 - Bug finding
 - Model checking (proving correctness)
 - Explaining defects
 - ...

Inference using classical logic

- Rules express how some judgments enable others

$$\frac{\Gamma \vdash x \quad \Delta \vdash y}{\Gamma, \Delta \vdash x \wedge y}$$

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- Proofs can be written by stacking rules

Intuitionistic & Constructive Logic

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- *Intuitionistic logic* restricts the rules of inference to require direct evidence

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Law of excluded middle

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$$\frac{\Gamma \vdash \neg\neg p}{\Gamma \vdash p}$$

Double negation
elimination

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- Note, this is commonly used in *type systems*

Linear & Substructural Logic

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Classical & intuitionistic logic
have trouble expressing consumable facts

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Idea: Some facts (resources)
require careful accounting

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Logics that remove additional rules from intuitionistic logic are *substructural*

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struct Thing(u32);  
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⊢ a:Thing  
a:Thing ⊢ b:Thing
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Error ( $\vdash c:?$ )
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We want a logic that reasons about changes in state.

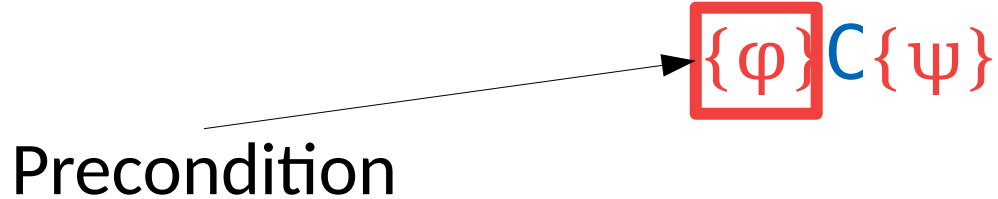
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- *Hoare logic* reasons about the behavior of programs and program fragments

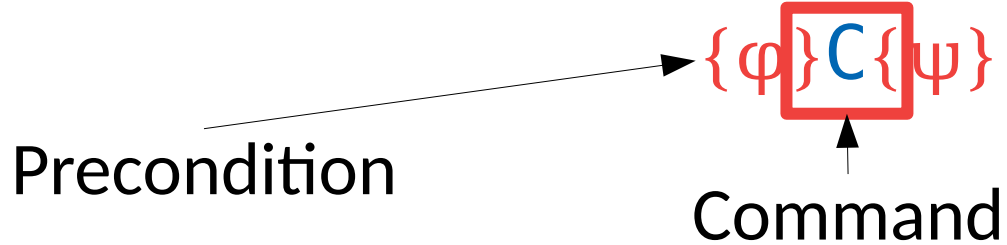
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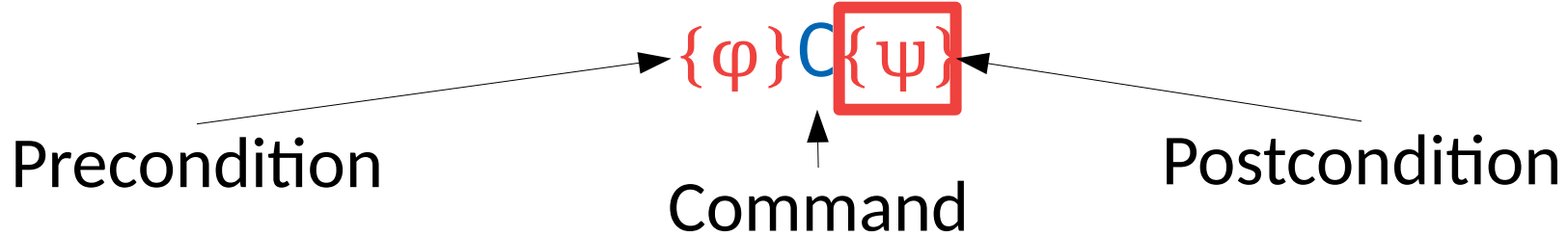
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$\{x=3 \wedge$ You already have an *intuition*
for weakest preconditions

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Command

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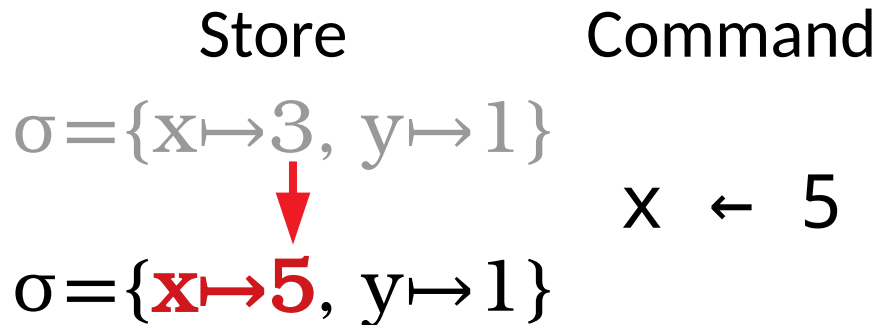
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This was *technically* true,
but not so useful
(...or even compatible with our states)

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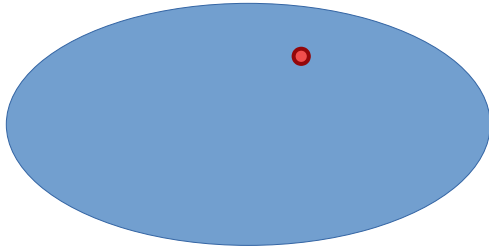
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- $\sigma \in \Sigma$ (all possible states), and we can reason about *subsets of Σ*

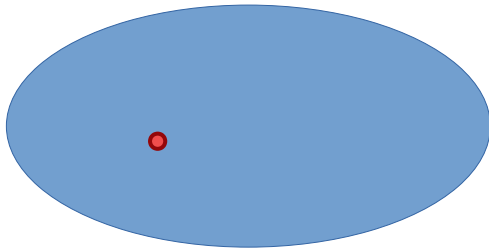
Hoare Logic – weakest preconditions

- What do we really mean by *captures all states*?

$$\sigma = \{x \mapsto 3, y \mapsto 1\}$$



$x \leftarrow 5$

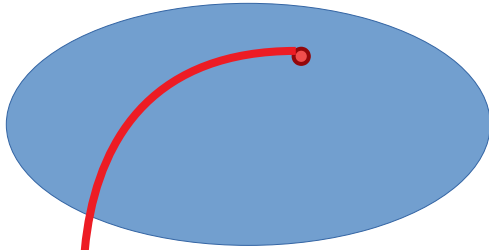


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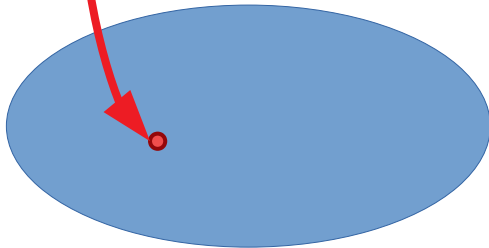
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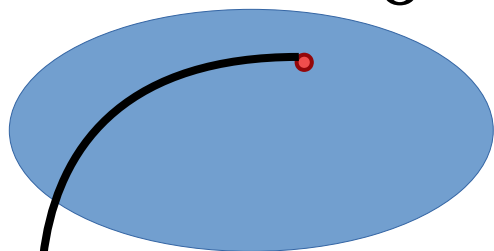


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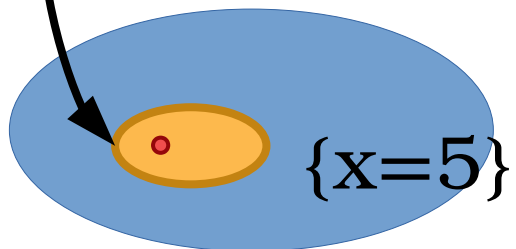
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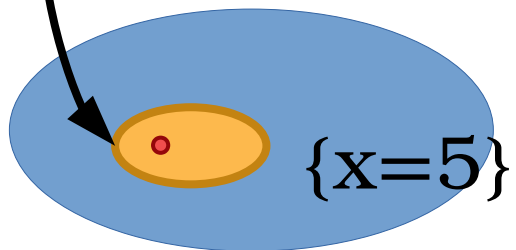
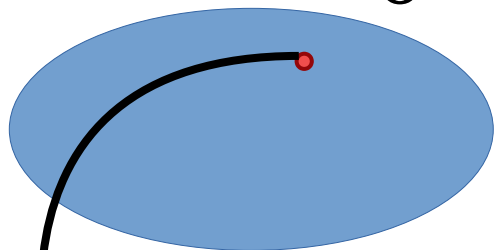


$$\sigma = \{x \mapsto 5, y \mapsto 1\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

$$\sigma = \{x \mapsto 3, y \mapsto 1\}$$



$$x \leftarrow 5$$

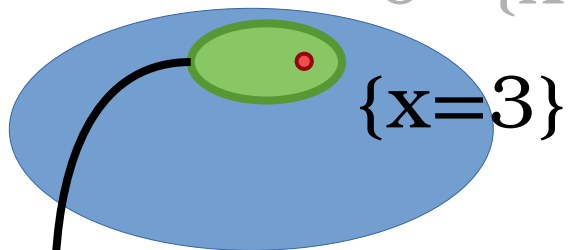
Each set of states corresponds to a condition defining the set

$$\sigma = \{x \mapsto 5, y \mapsto 1\}$$

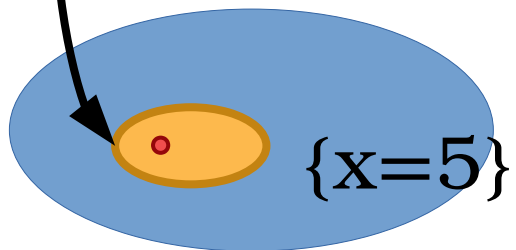
Hoare Logic – weakest preconditions

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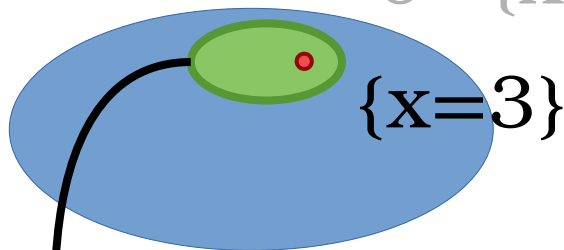


$$\sigma = \{x \mapsto 5, y \mapsto 1\}$$

Hoare Logic – weakest preconditions

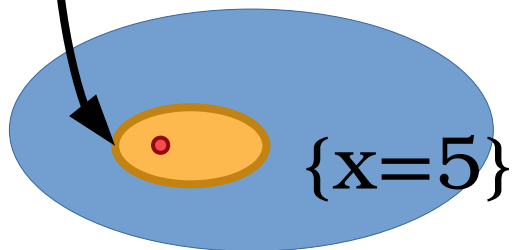
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$$\sigma = \{x \mapsto 3, y \mapsto 1\}$$



Commands map sets to sets

$x \leftarrow 5$

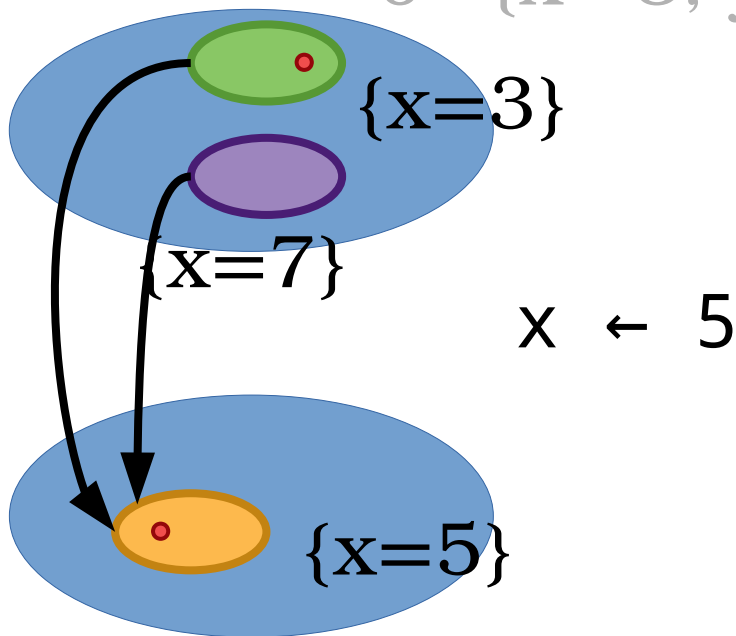


$$\sigma = \{x \mapsto 5, y \mapsto 1\}$$

Hoare Logic – weakest preconditions

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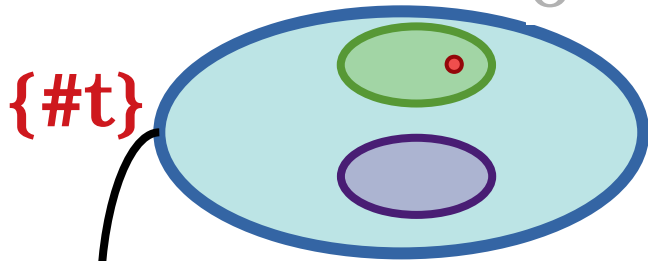


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Hoare Logic – weakest preconditions

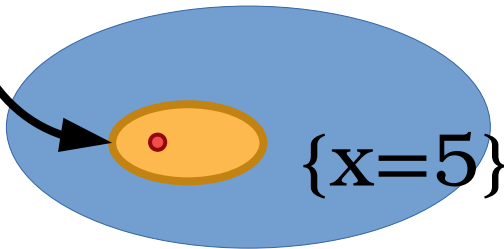
- What do we really mean by captures all states?

$$\sigma = \{x \mapsto 3, y \mapsto 1\}$$



All states lead
to the postcondition!

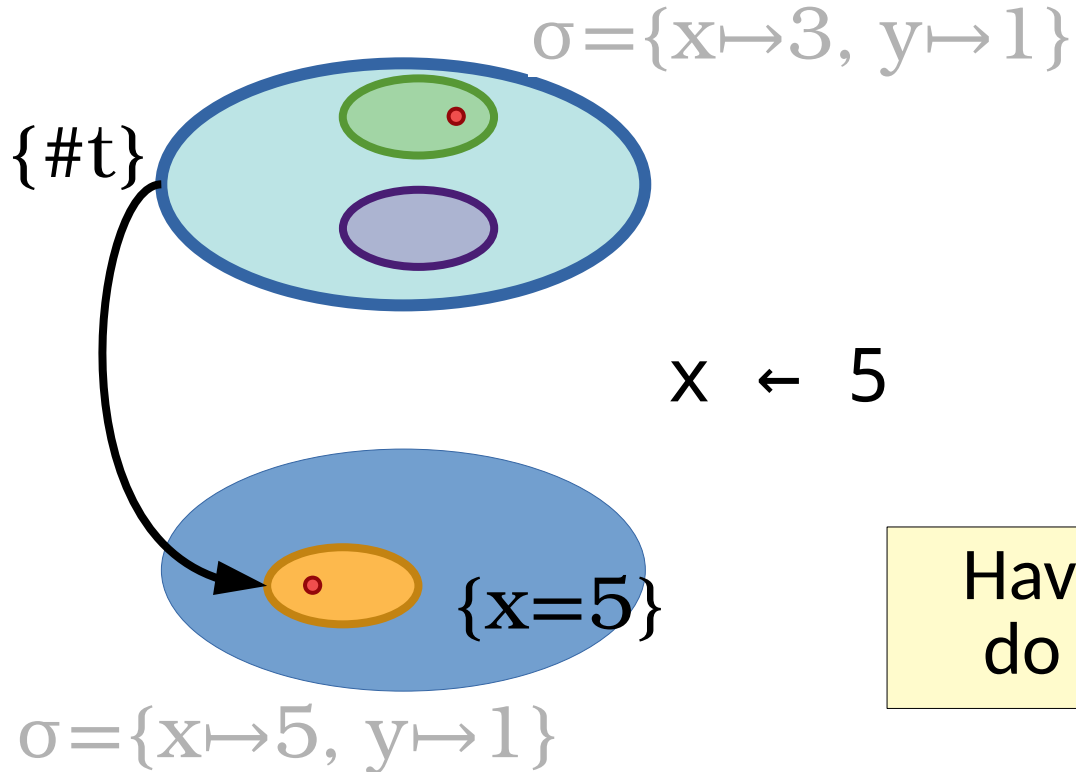
$x \leftarrow 5$



$$\sigma = \{x \mapsto 5, y \mapsto 1\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?



Have we already seen a way to describe this structure?

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?
- $wp(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
 - Where $(A \rightarrow B) \vdash (A < B)$

Hoare Logic – weakest preconditions

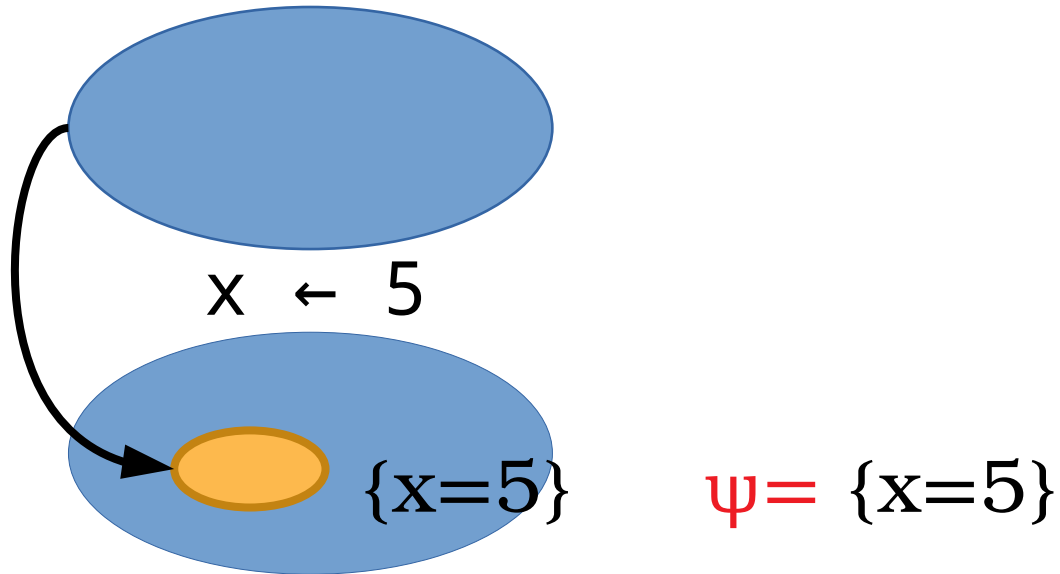
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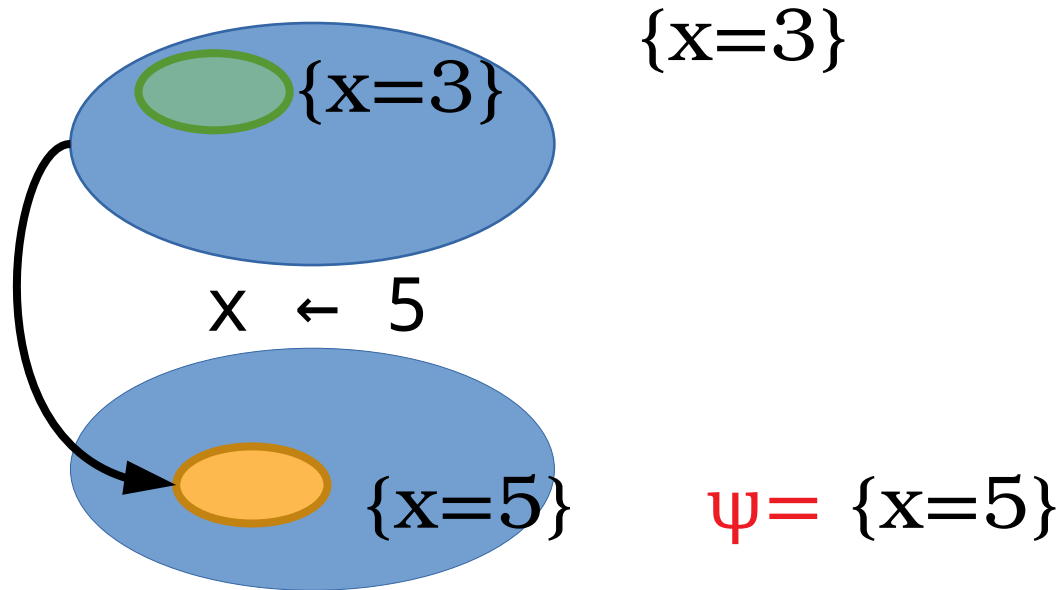
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Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

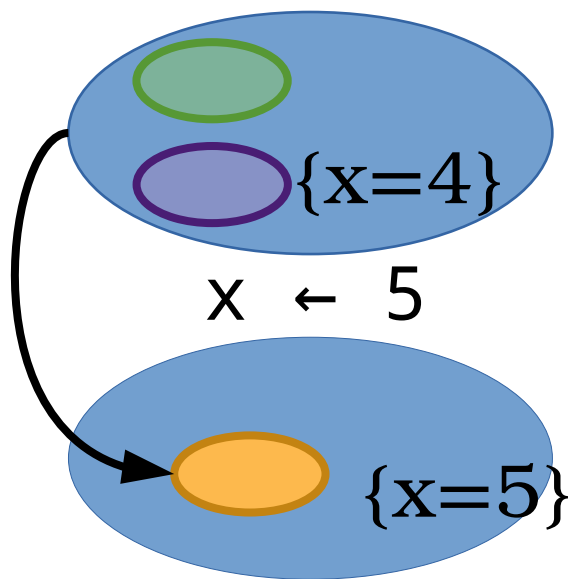
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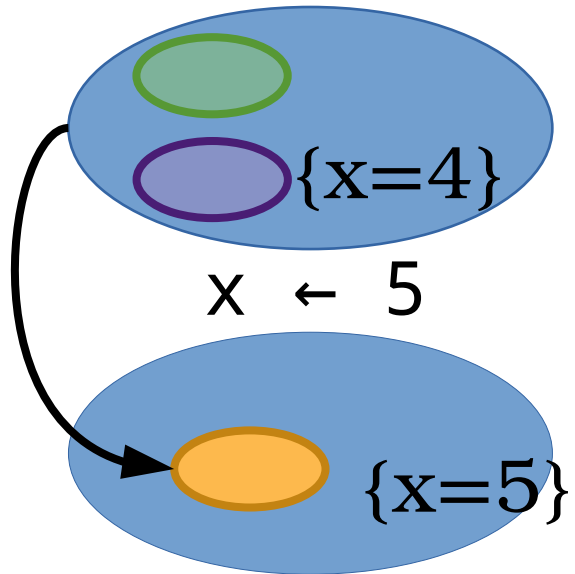
$$\{x=3\} \sqcup \{x=4\} = ?$$

$$\psi = \{x=5\}$$

Hoare Logic – weakest preconditions

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$$\{x=3\} \sqcup \{x=4\} = ?$$

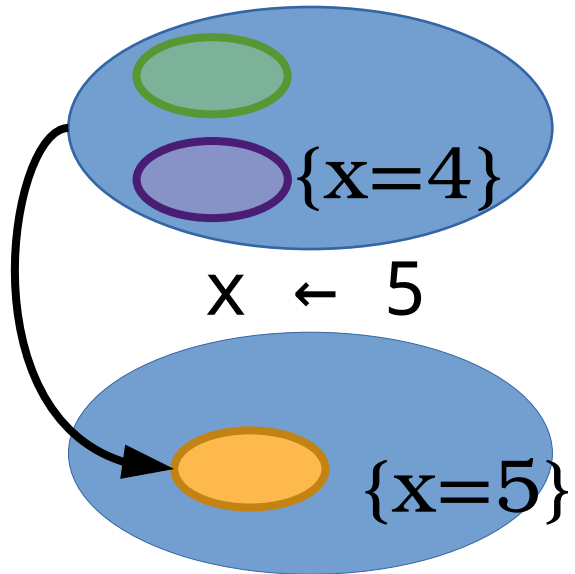
$$\begin{aligned} \{x=3\} &\rightarrow \{x=3 \vee x=4\} \\ \{x=4\} &\rightarrow \{x=3 \vee x=4\} \end{aligned}$$

$$\psi = \{x=5\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

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$$\{x=3\} \sqcup \{x=4\} \\ = \{x=3 \vee x=4\}$$

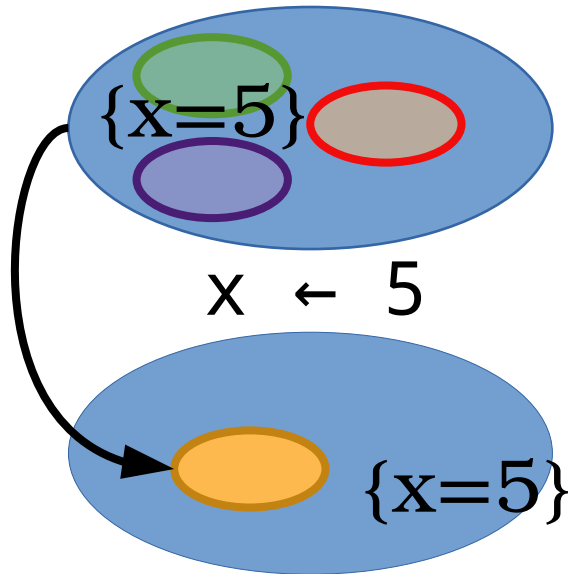
$$\{x=3\} \rightarrow \{x=3 \vee x=4\} \\ \{x=4\} \rightarrow \{x=3 \vee x=4\}$$

$$\psi = \{x=5\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

- $\text{wp}(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
 - Where $(A \rightarrow B) \vdash (A < B)$



$$\{x=3\} \sqcup \{x=4\} \sqcup \{x=5\} \\ = \{3 \leq x \wedge x \leq 5\}$$

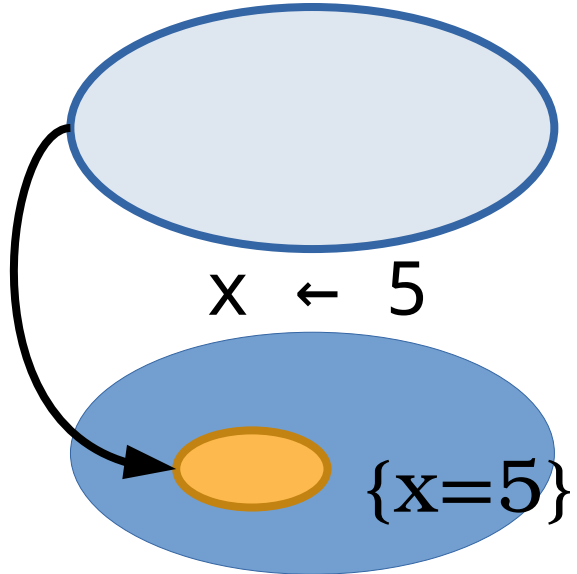
$$\begin{aligned} \{x=3\} &\rightarrow \{3 \leq x \wedge x \leq 5\} \\ \{x=4\} &\rightarrow \{3 \leq x \wedge x \leq 5\} \\ \{x=5\} &\rightarrow \{3 \leq x \wedge x \leq 5\} \end{aligned}$$

$$\psi = \{x=5\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

- $\text{wp}(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
 - Where $(A \rightarrow B) \vdash (A < B)$



$$\{x=3\} \sqcup \{x=4\} \sqcup \{x=5\} \sqcup \dots$$
$$= \{\#T\}$$

$$\{x=3\} \rightarrow \{\#T\}$$

$$\{x=4\} \rightarrow \{\#T\}$$

...

$$\psi = \{x=5\}$$

Hoare Logic – weakest preconditions

- What do we really mean by captures all states?

- $\text{wp}(C, \Psi) = \bigsqcup \{x \mid \{x\} C \{\Psi\}\}$
 - Where $(A \rightarrow B) \vdash (A < B)$

Intuitively, B is at least as general as A
(it holds in at least as many states)

Hoare Logic – weakest preconditions

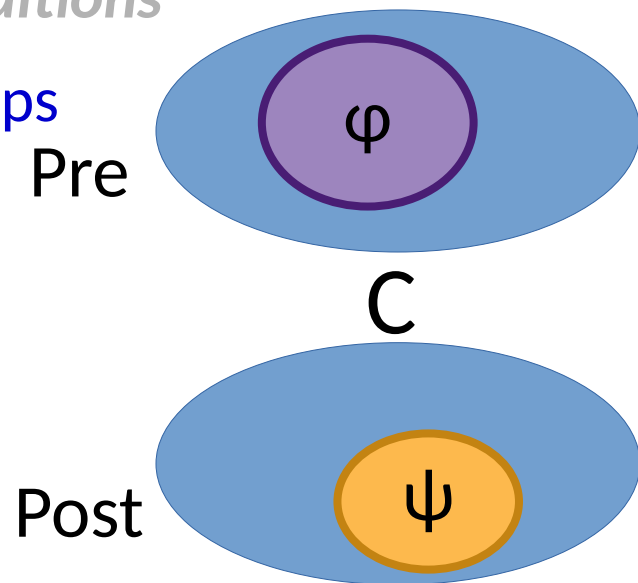
- What do we really mean by captures all states?
- $wp(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
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- Technically, these are **Weakest Sufficient Preconditions**

Hoare Logic

- What do we really mean by captures all states?
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- We may also consider/compute other relationships

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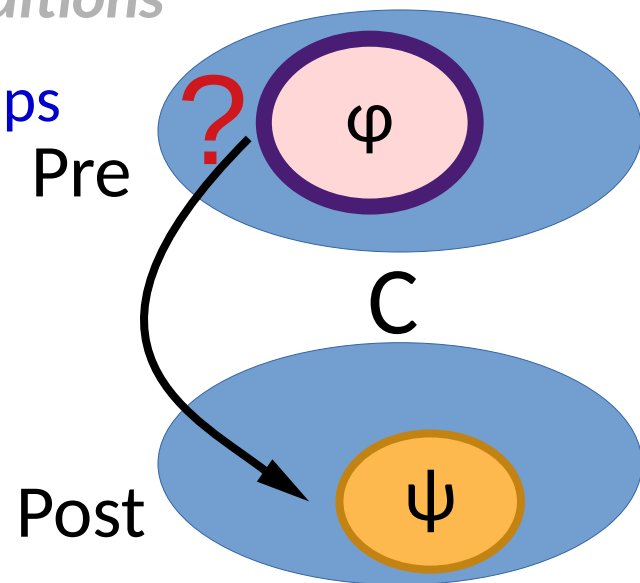


Hoare Logic

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 - Where $(A \rightarrow B) \vdash (A < B)$
- Technically, these are *Weakest Sufficient Preconditions*
- We may also consider/compute other relationships
 - Weakest Sufficient Preconditions (wsp)

What states φ lead to ψ ?

“Given ψ , what must be true for it to hold?”

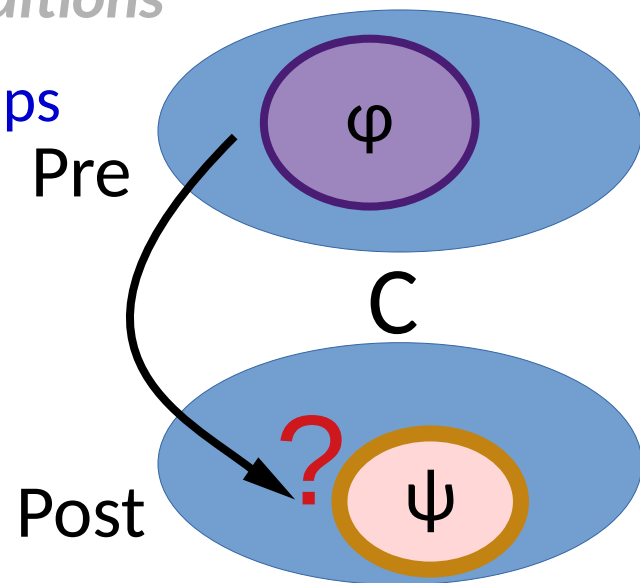


Hoare Logic

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- $wp(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
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- Technically, these are *Weakest Sufficient Preconditions*
- We may also consider/compute other relationships
 - Weakest Sufficient Preconditions
 - Strongest Necessary Postconditions (snp)

What states ψ must ϕ lead to?

“Given ϕ , what is guaranteed when it holds?”

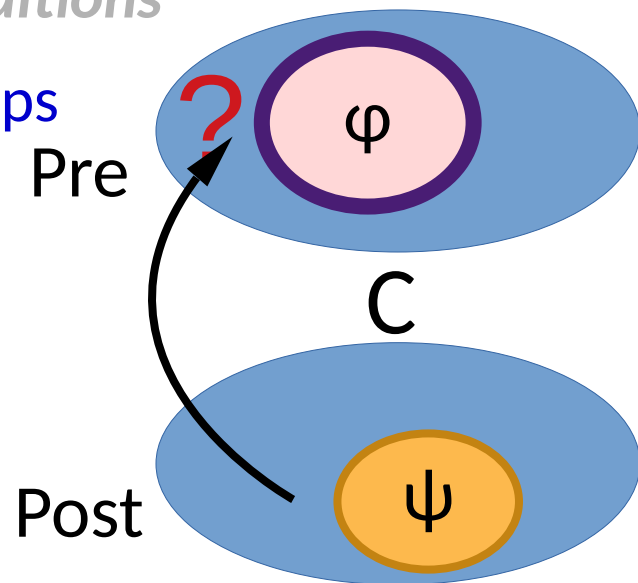


Hoare Logic

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 - Weakest Sufficient Preconditions
 - Strongest Necessary Postconditions
 - Strongest Necessary Preconditions (snpre)

What states φ lead to ψ ?

“Given ψ , what if false at φ would exclude it?”

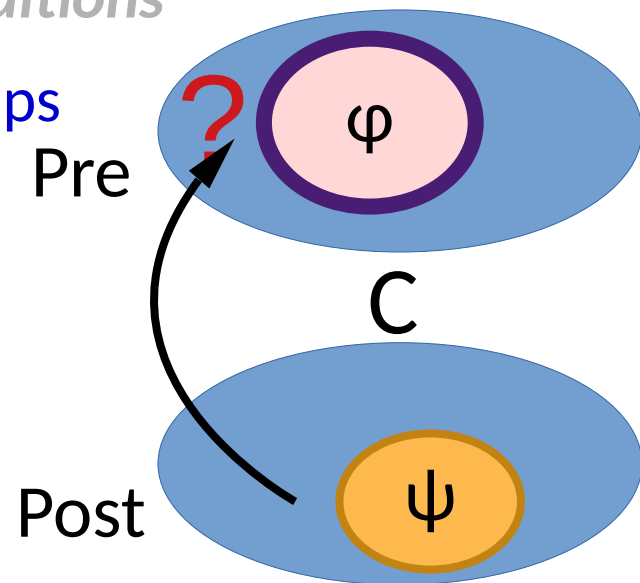


Hoare Logic

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 - Strongest Necessary Preconditions (snpre)

What states φ lead to ψ ?

Then how does this differ from *wsp*?



Hoare Logic

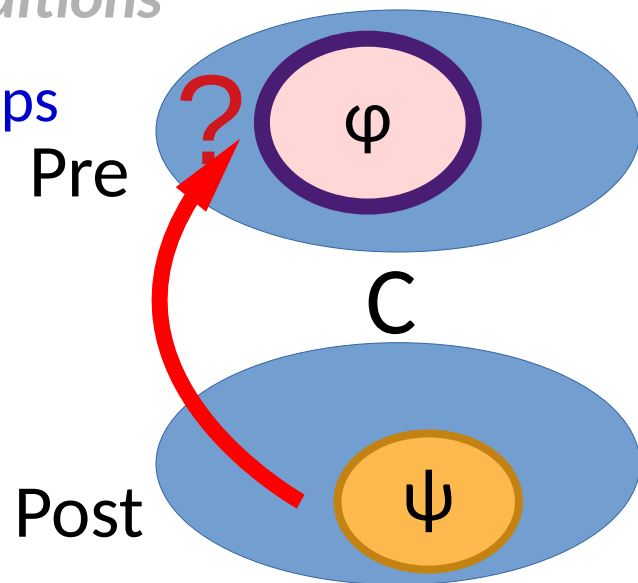
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- **We may also consider/compute other relationships**
 - Weakest Sufficient Preconditions
 - Strongest Necessary Postconditions
 - Strongest Necessary Preconditions

WSP

$\varphi @ pre \rightarrow \psi @ post$

SNPre

$\varphi @ pre \leftarrow \psi @ post$



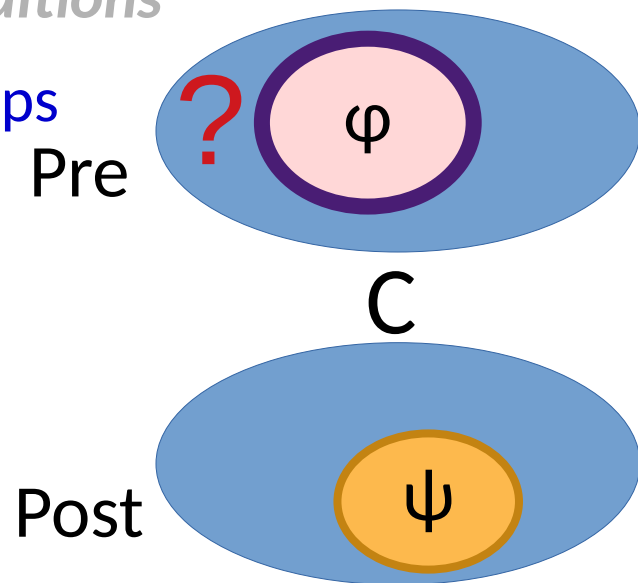
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WSP

SNPre

Since solving them is technically impossible, these differ in practice!



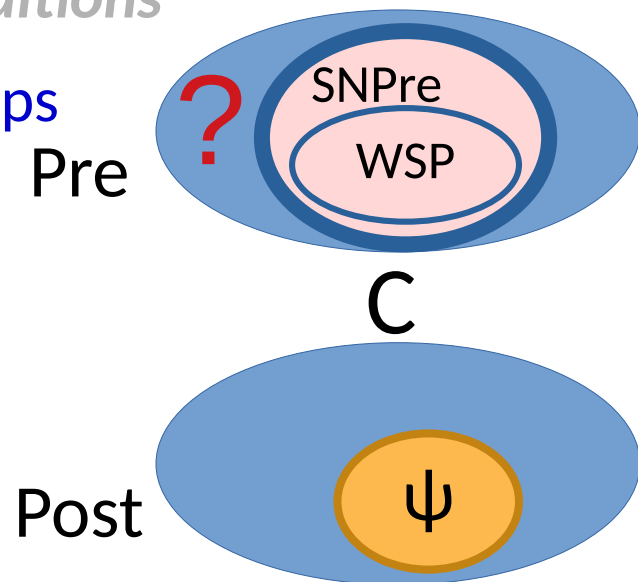
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WSP

SNPre

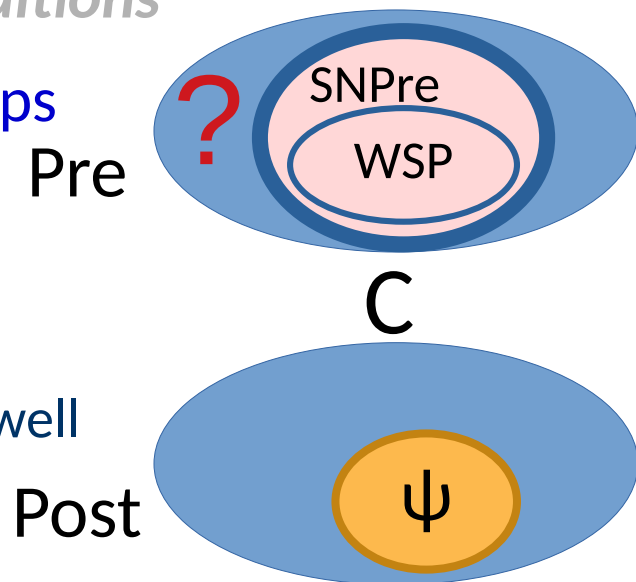
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Hoare Logic

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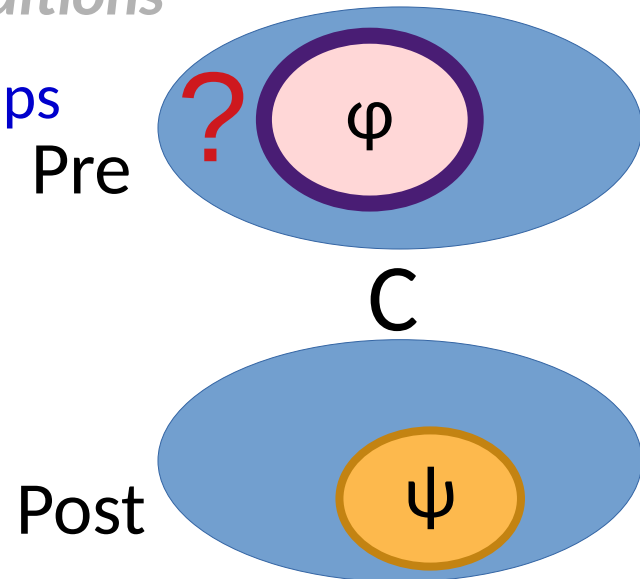
In practice, SNPre captures *precondition assertions* well
[Cousot 2013]



Hoare Logic

- What do we really mean by captures all states?
- $wp(C, \psi) = \bigsqcup \{x \mid \{x\} C \{\psi\}\}$
 - Where $(A \rightarrow B) \vdash (A < B)$
- Technically, these are *Weakest Sufficient Preconditions*
- **We may also consider/compute other relationships**
 - Weakest Sufficient Preconditions
 - Strongest Necessary Postconditions
 - Strongest Necessary Preconditions
 - *Weakest Liberal Preconditions*

What states φ lead to ψ
or *do not terminate*?



Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi \quad \left\{ \begin{array}{l} \text{???} \\ x \leftarrow a + b \\ \{x < 5\} \end{array} \right\}$$

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$
$$\begin{array}{l} \{a + b < 5\} \\ x \leftarrow a + b \\ \{x < 5\} \end{array}$$

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

$$\text{wp}(S; T, \psi) = \text{wp}(S, \text{wp}(T, \psi))$$

Hoare Logic – weakest preconditions

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$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

$$\text{wp}(S; T, \psi) = \text{wp}(S, \text{wp}(T, \psi))$$

{ ??? }

b ← 7;

x ← a + b

{x < 5}

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

$$\text{wp}(S; T, \psi) = \text{wp}(S, \text{wp}(T, \psi))$$

```
{   ???   }  
  b ← 7;  
{a + b < 5}  
  x ← a + b  
{x < 5}
```

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

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$\{a + 7 < 5\}$

$b \leftarrow 7;$

$\{a + b < 5\}$

$x \leftarrow a + b$

$\{x < 5\}$

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

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$$\begin{aligned} \text{wp}(\text{if } B \text{ then } S \text{ else } T, \psi) \\ = B \rightarrow \text{wp}(S, \psi) \wedge \neg B \rightarrow \text{wp}(T, \psi) \end{aligned}$$

Hoare Logic – weakest preconditions

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```
if c then
  d = y + 2
else
  d = y + 5
x/d
```


Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

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if c then	{ ??? }
d = y + 2	
else	
d = y + 5	
x/d	{d ≠ 0}

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

$$\text{wp}(S; T, \psi) = \text{wp}(S, \text{wp}(T, \psi))$$

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if c then	{ ??? }
d = y + 2	{ y+2 ≠ 0 }
else	
d = y + 5	{ y+5 ≠ 0 }
x/d	{ d ≠ 0 }

Hoare Logic – weakest preconditions

- Inference rules for weakest preconditions

$$\text{wp}(x \leftarrow E, \psi) = [E/x]\psi$$

$$\text{wp}(S; T, \psi) = \text{wp}(S, \text{wp}(T, \psi))$$

$$\begin{aligned} \text{wp}(\text{if } B \text{ then } S \text{ else } T, \psi) \\ = B \rightarrow \text{wp}(S, \psi) \wedge \neg B \rightarrow \text{wp}(T, \psi) \end{aligned}$$

if c then		$\{c \rightarrow y+2 \neq 0 \wedge \neg c \rightarrow y+5 \neq 0\}$
d = y + 2		$\{y+2 \neq 0\}$
else		$\{y+5 \neq 0\}$
d = y + 5		$\{d \neq 0\}$
x/d		

Hoare Logic

- Careful points
 - Redefinition of variables

Pre: $\{a < 5, c < 2\}$

$b = a + 2$

$a = 3 * c$

Post: $\{??\}$

Hoare Logic

- Careful points
 - Redefinition of variables

Pre: $\{a < 5, c < 2\}$

$b = a + 2$

$a = 3 * c$

Post: $\{??\}$

It can be necessary to rename variables that are redefined.

Hoare Logic

- Careful points
 - Redefinition of variables

Pre: $\{a < 5, c < 2\}$

$b = a + 2$

$a = 3 * c$

Post: $\{??\}$

It can be necessary to rename variables that are redefined.

Hoare Logic

- Careful points
 - Redefinition of variables
 - Pointers

Pre: {??}

`*a = *a + 5`

Post: { *a + *b < 10 }

Hoare Logic

- Careful points
 - Redefinition of variables
 - Pointers

Pre: {??}

`*a = *a + 5`

Post: { *a + *b < 10 }

Efficiently modeling memory is challenging!
Newer logics target this directly.
(points-to analysis allows for *weak* and *strong* updates)

Hoare Logic

- Careful points
 - Redefinition of variables
 - Pointers
 - Loops

Hoare Logic

- Careful points

- Redefinition of variables
- Pointers
- Loops

Loops run head first into undecidability!
They require deriving an *inductive invariant*.

Hoare Logic

- Careful points
 - Redefinition of variables
 - Pointers
 - Loops

$\{\varphi\}C\{\psi\}$

while B do S done

Hoare Logic

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$\{\varphi\}C\{\psi\}$ **while B do S done**

$Inv \wedge \neg B \rightarrow \psi$ **exit**

Hoare Logic

- Careful points
 - Redefinition of variables
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$\{\varphi\}C\{\psi\}$ **while B do S done**

$\{\text{Inv} \wedge \neg B \rightarrow \psi\}$ exit
 $\{\text{Inv} \wedge B\} S \{\text{Inv}\}$ continue

Hoare Logic

- Careful points
 - Redefinition of variables
 - Pointers
 - Loops

$\{\varphi\}C\{\psi\}$ **while B do S done**

$\{Inv \wedge \neg B \rightarrow \psi\}$	exit
$\{Inv \wedge B\} S \{Inv\}$	continue
$\{\varphi \rightarrow Inv\}$	enter

Hoare Logic

- Careful points

- Redefinition of variables
- Pointers
- Loops

Automatically inferring such invariants
is used for verifying safe:
 avionics
 machine learning
 ...

$\{\varphi\}C\{\psi\}$

while B do S done

$\{Inv \wedge \neg B \rightarrow \psi\}$

exit

$\{Inv \wedge B\} S \{Inv\}$

continue

$\{\varphi \rightarrow Inv\}$

enter

Separation Logic

- Linear logic allows facts to be used exactly once $\langle \rangle$ or arbitrarily many times $[]$.

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- *Separation logic* (informally) distinguishes separate facts (counting), allowing them to be used separately
 - This helps to solve reasoning about pointers as we saw earlier

Separation Logic

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- Hoare logic is extended with a separating conjunction *

Separation Logic

- Linear logic allows facts to be used exactly once $\langle \rangle$ or arbitrarily many times $[]$.
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- Hoare logic is extended with a separating conjunction $*$

$$\{x \mapsto y * y \mapsto x\} x = z \{x \mapsto z * y \mapsto x\}$$

Facts separated by $*$ do not “mix” (overlap)

Separation Logic

- Linear logic allows facts to be used exactly once $\langle \rangle$ or arbitrarily many times $[]$.
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Suppose we used \wedge instead, what problem exists?

Separation Logic

- Linear logic allows facts to be used exactly once $\langle \rangle$ or arbitrarily many times $[]$.
- *Separation logic* (informally) distinguishes separate facts (counting), allowing them to be used separately
- Hoare logic is extended with a separating conjunction $*$

$$\{x \mapsto y * y \mapsto x\} x = z \{x \mapsto z * y \mapsto x\}$$

- Separation logic enables efficient compositional reasoning
 - It is the backbone of Facebook's Infer engine!
 - It combines Hoare logic with a substructural logic

Separation Logic

- The *frame rule* enables reasoning about the logical footprint of a command

$$\frac{\{\varphi\}C\{\psi\}}{\{\varphi * r\}C\{\psi * r\}}$$

Separation Logic

- The *frame rule* enables reasoning about the logical footprint of a command

$$\frac{\{\varphi\}C\{\psi\}}{\{\varphi * r\}C\{\psi * r\}}$$

- Part of the power is that frames can be inferred via *bi-abduction*

Solving Problems Using Logic

Solving problems using logic

- We will look at a few ways logic can attack real problems

Solving problems using logic

- We will look at a few ways logic can attack real problems
- The exact techniques may have flaws,
but how they attack problems with logic is interesting

Discovering & Disproving Bugs

```
foo(a,b,c) {  
  if (a != null) {  
    b = c;  
    t = new...;  
    c.f = t;  
  }  
  d = a;  
  if (d != null) {  
    b.f.g = 10;  
  }  
}
```

[Margoer & Komondoor, 2015]

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foo(a,b,c) {  
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}
```

[Margoer & Komondoor, 2015]

Can accessing the field g
cause a null pointer exception?

Discovering & Disproving Bugs

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    t = new...;  
    c.f = t;  
  }  
  d = a;  
  if (d != null) {  
    b.f.g = 10; {b.f=null}  
  }  
}
```

[Margoer & Komondoor, 2015]

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foo(a,b,c) {  
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{b.f=null \wedge d \neq null}

{b.f=null}

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$\{(b \neq c) \wedge b.f = \text{null} \wedge a \neq \text{null}\} \vee \{(b = c) \wedge t = \text{null} \wedge a \neq \text{null}\}$

$\{b.f = \text{null} \wedge a \neq \text{null}\}$

$\{b.f = \text{null} \wedge d \neq \text{null}\}$

$\{b.f = \text{null}\}$

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$\{(b \neq c \wedge b.f = \text{null} \wedge a \neq \text{null}) \vee (b = c \wedge t = \text{null} \wedge a \neq \text{null})\}$

$\{b.f = \text{null} \wedge a \neq \text{null}\}$

$\{b.f = \text{null} \wedge d \neq \text{null}\}$

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    c.f = t;  
  }  
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  if (d != null) {  
    b.f.g = 10;  
  }  
}
```

{#f}

{b≠c ∧ b.f=null ∧ a≠null}

{(b≠c ∧ b.f=null ∧ a≠null) ∨ (b=c ∧ t=null ∧ a≠null)}

{b.f=null ∧ a≠null}

{b.f=null ∧ d≠null}

{b.f=null}

Discovering & Disproving Bugs

```
foo(a,b,c) {  
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  }  
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```

$\{(a \neq \text{null} \rightarrow \#f) \vee (a = \text{null} \rightarrow b.f = \text{null} \wedge a \neq \text{null})\}$

$\{\#f\}$

$\{b \neq c \wedge b.f = \text{null} \wedge a \neq \text{null}\}$

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$\{b.f = \text{null} \wedge d \neq \text{null}\}$

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Discovering & Disproving Bugs

Safe!

```
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```

~~$\{(a \neq \text{null} \rightarrow \#f) \vee (a = \text{null} \rightarrow b.f = \text{null} \wedge a \neq \text{null})\} = \#f$~~

$\{\#f\}$

$\{b \neq c \wedge b.f = \text{null} \wedge a \neq \text{null}\}$

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Discovering & Disproving Bugs

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$\{b \neq c \wedge b.f = \text{null} \wedge a \neq \text{null}\}$

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$\{b.f = \text{null} \wedge a \neq \text{null}\}$

$\{b.f = \text{null} \wedge d \neq \text{null}\}$

$\{b.f = \text{null}\}$

Note: this can be automated within a tool!

Localizing Bugs

[Jose & Majumdar, 2011]

```
int arr[3];
...
if (index != 1) {
    index = 2;
} else {
    index = index + 2;
}
i = index;
print(arr[i]);
```

Localizing Bugs

[Jose & Majumdar, 2011]

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int arr[3];
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assert($0 \leq i < 3$) should hold

Localizing Bugs

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print(arr[i]);
```

`assert(0 ≤ i < 3)` *should* hold

When the starting index is 1,
i is out of bounds

Localizing Bugs

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int arr[3];  
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```

We will generate constraints
in the forward direction

$\text{assert}(0 \leq i < 3)$ *should* hold

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Localizing Bugs

$\text{index}_1 = 1$

$\wedge (0 \leq i < 3)$

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 $\wedge guard_1 = (index_1 \neq 1)$

$\wedge (0 \leq i < 3)$

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index1 = 1  
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^ index3 = (index1 + 2)
```

```
^ (0 ≤ i < 3)
```

[Jose & Majumdar, 2011]

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 $\wedge (0 \leq i < 3)$

[Jose & Majumdar, 2011]

P {

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Localizing Bugs

[Jose & Majumdar, 2011]

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 $\wedge (\text{guard}_1 \rightarrow i = \text{index}_2)$
 $\wedge (\neg \text{guard}_1 \rightarrow i = \text{index}_3)$
 $\wedge (0 \leq i < 3)$

} $\text{snp}(P, \#t)$

P {

```
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...
if (index != 1) {
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 $\wedge (0 \leq i < 3)$

This is *always false*,
but we can use that!

[Jose & Majumdar, 2011]

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These constraints define our goal,
so they are essential

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^ (guard1 → i=index2)
^ (¬guard1 → i=index3)
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Some of these constraints **conflict** with our goal

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Minimum unsat cores & **partial MAX-SAT** can discover the conflicts

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Must SAT

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[Jose & Majumdar, 2011]

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^ (0 ≤ i < 3)
```

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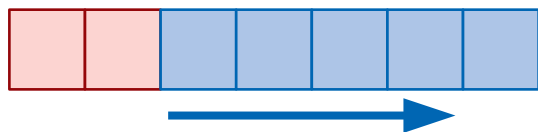
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Must SAT

Max # satisfiable

Localizing Bugs

[Jose & Majumdar, 2011]

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^ (0 ≤ i < 3)
```

These constraints define our goal, so they are essential

Some of these constraints **conflict** with our goal

Minimum unsat cores & **partial MAX-SAT** can discover the conflicts

```
if (index != 1) {
    index = 2;
    index = index + 2;
    print(arr[1]);
}
```

Could not SAT;
Blame for inconsistency



Must SAT

Max # satisfiable

`assert(0 ≤ i < 3)` should hold

When the starting index is 1, *i* is out of bounds

Further notes

- We will explore this further within Symbolic Execution

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- Recognizing invariants & likely invariants can tackle many problems

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- Recognizing invariants & likely invariants can tackle many problems
- Interpolants can help synthesize information as if “out of thin air”

Recap

- Formalism is a tool that can simplify reasoning about tasks

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- Formalism is a tool that can simplify reasoning about tasks
- Many solutions involve a careful combination of
 - order theory (for comparison)
 - formal grammars (for structure)
 - formal logic (for inference)