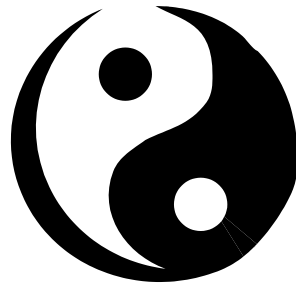


# Meta-Algorithms vs. Circuit Lower Bounds

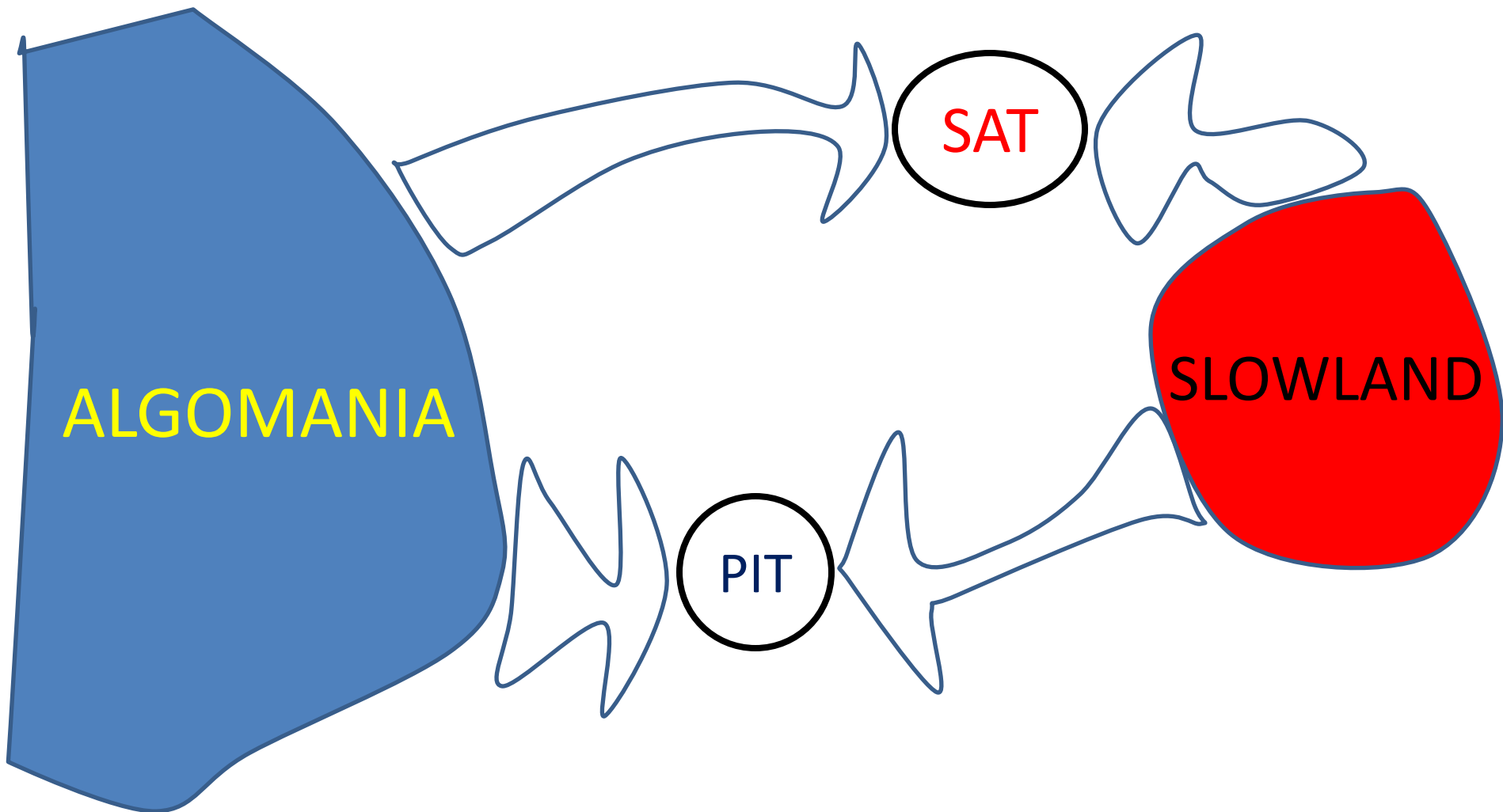


**Valentine Kabanets**

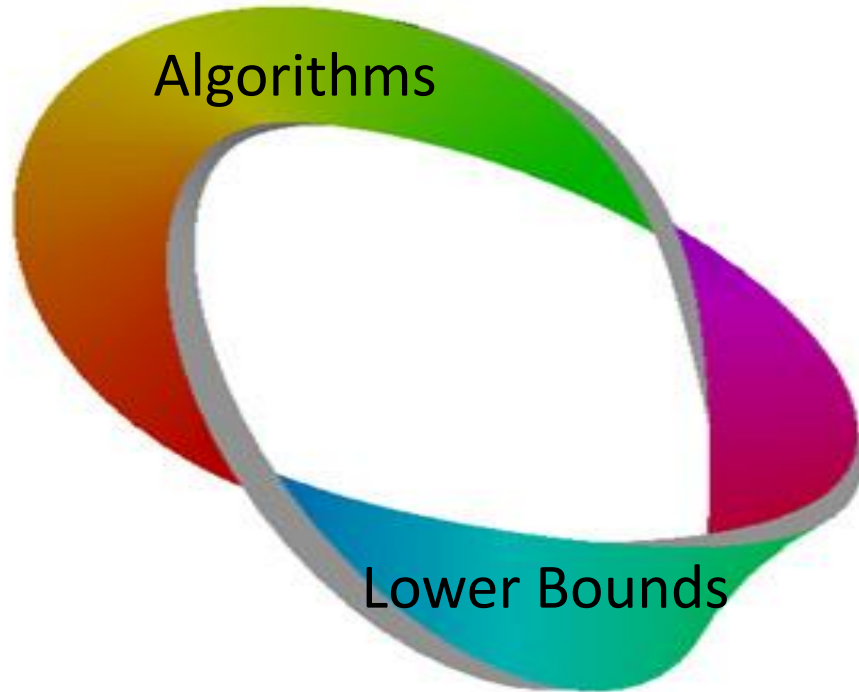
*Simon Fraser University*

*Vancouver, Canada*

# Algorithms vs Lower Bounds



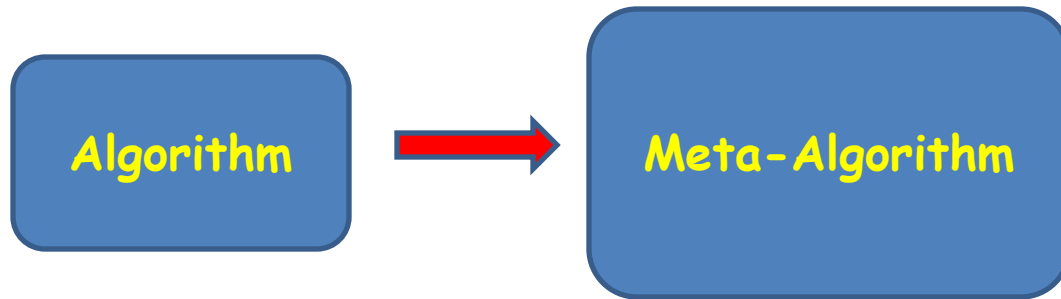
# Algorithms $\Leftrightarrow$ Lower Bounds



Algorithms yield lower bounds.  
Lower bounds yield algorithms.

# Meta-Algorithms

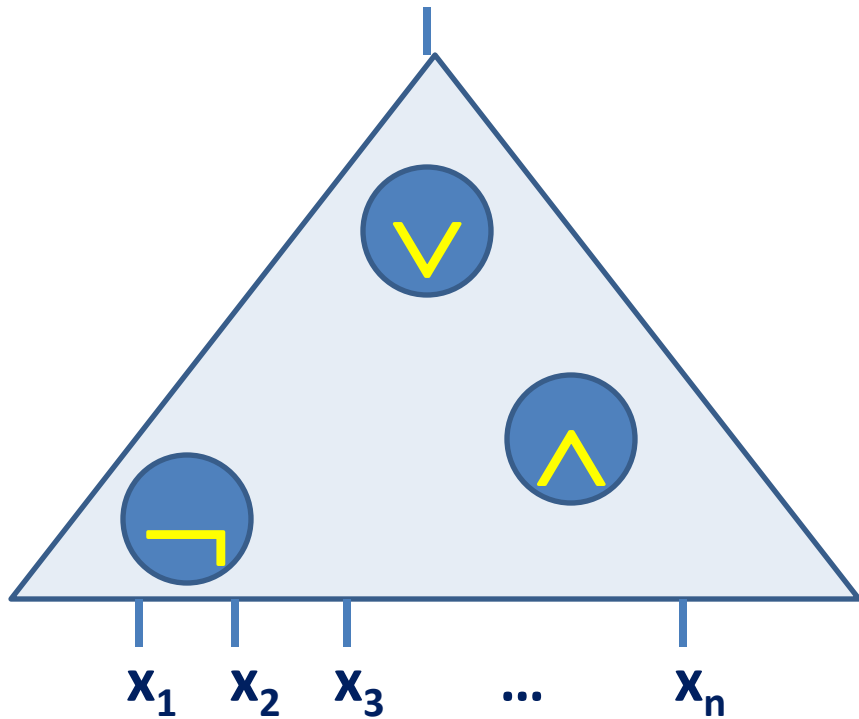
Algorithms operating on algorithms



# Examples of Meta-Algorithms

- **Computability Theory :**  
Virus checker ,  
Infinite-loop detector (aka Halting problem)
- **Complexity Theory :**  
SAT ,  
Polynomial Identity Testing (PIT)

# Circuit - SAT



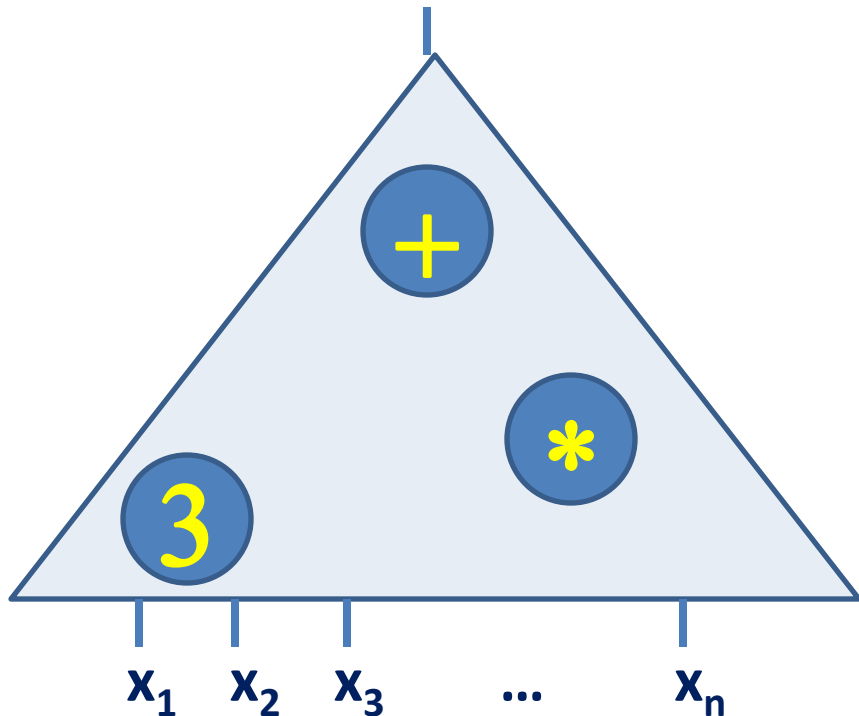
Given:  $\text{poly}(n)$ -size circuit  $C$  on  $n$  inputs.

Decide: Is  $C$  satisfiable?

Canonical NP-complete problem.

[ Cook; Levin ]

# Polynomial Identity Testing

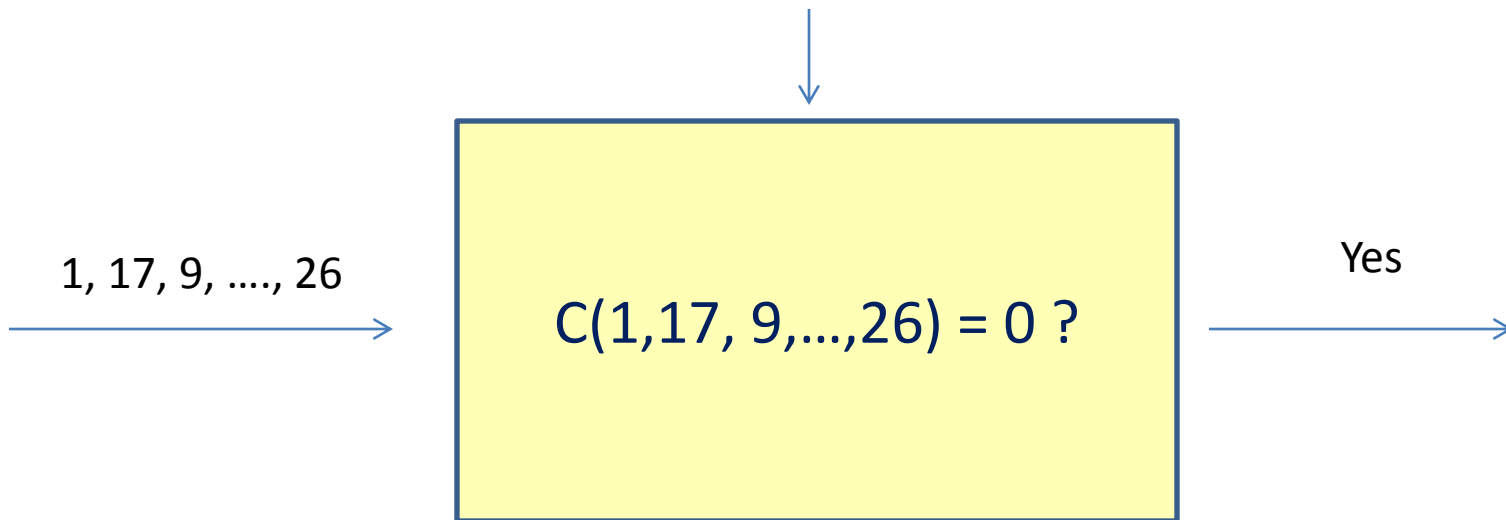
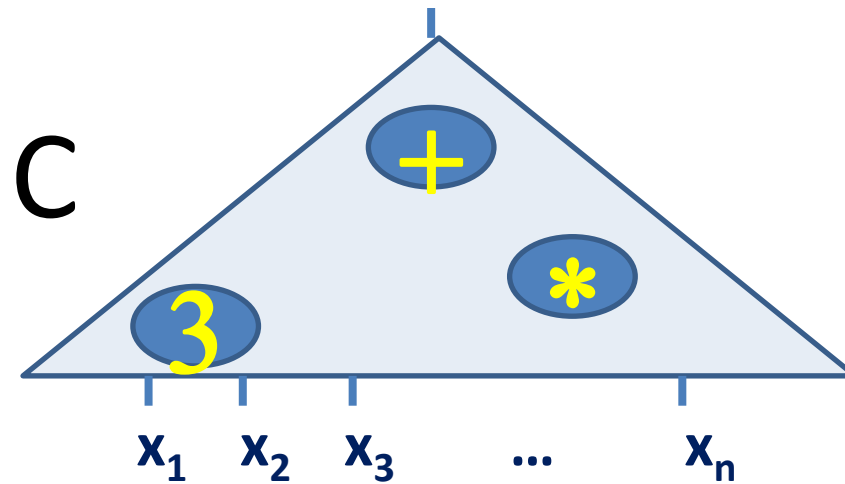


Given:  $\text{poly}(n)$ -size arithmetic circuit  $C$  on  $n$  inputs (over integers).

Decide: Is  $C \equiv 0$  ?

Extra structure (  $C$  is a polynomial ) makes PIT easier than UNSAT :  
PIT in BPP [ Schwartz, Zippel, DeMillo-Lipton, ... ]

# Randomized PIT-Algorithm



If  $C \equiv 0$ , then always correct. Else, correct with high probability. [Schwartz-Zippel]



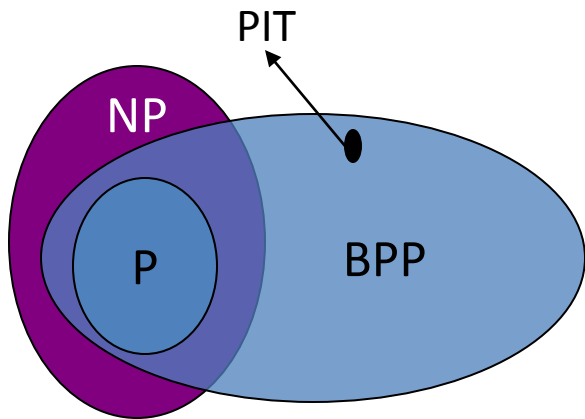
Meta-Algorithms  
from  
Circuit Lower Bounds :

" Black-Box " use of lower  
bounds

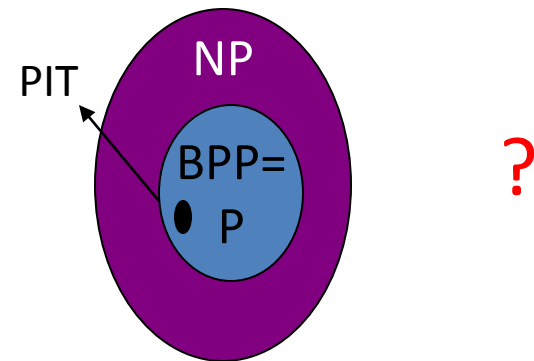
# Is randomness useful ?



Can we remove the need for random coins in algorithms, without much slowdown ?



OR

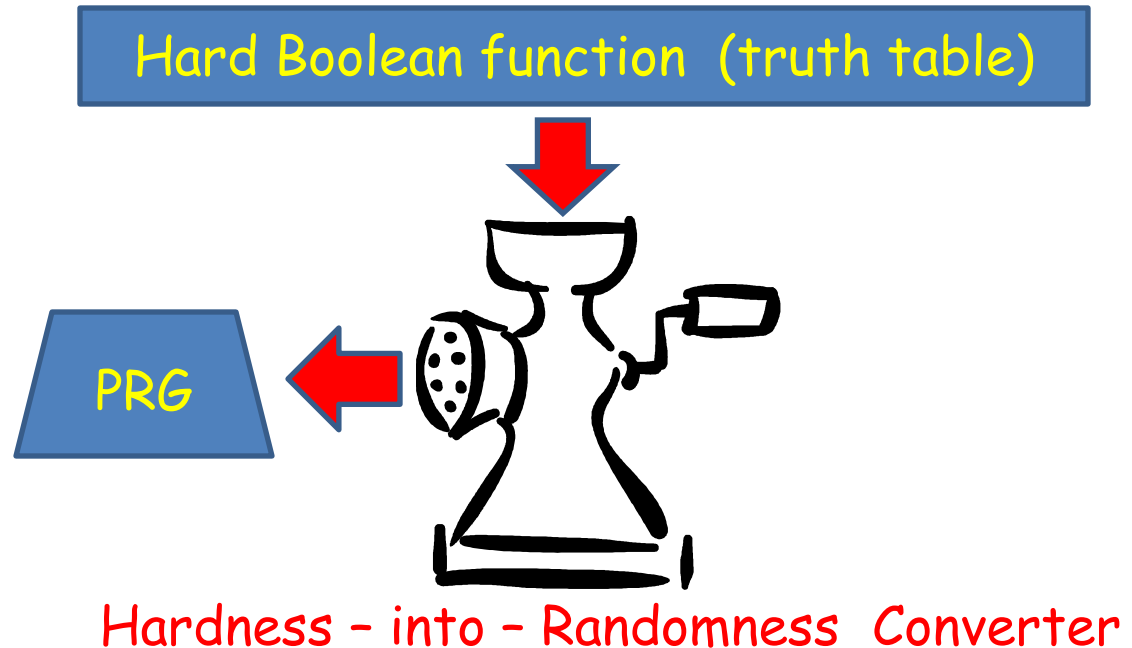


# Derandomization

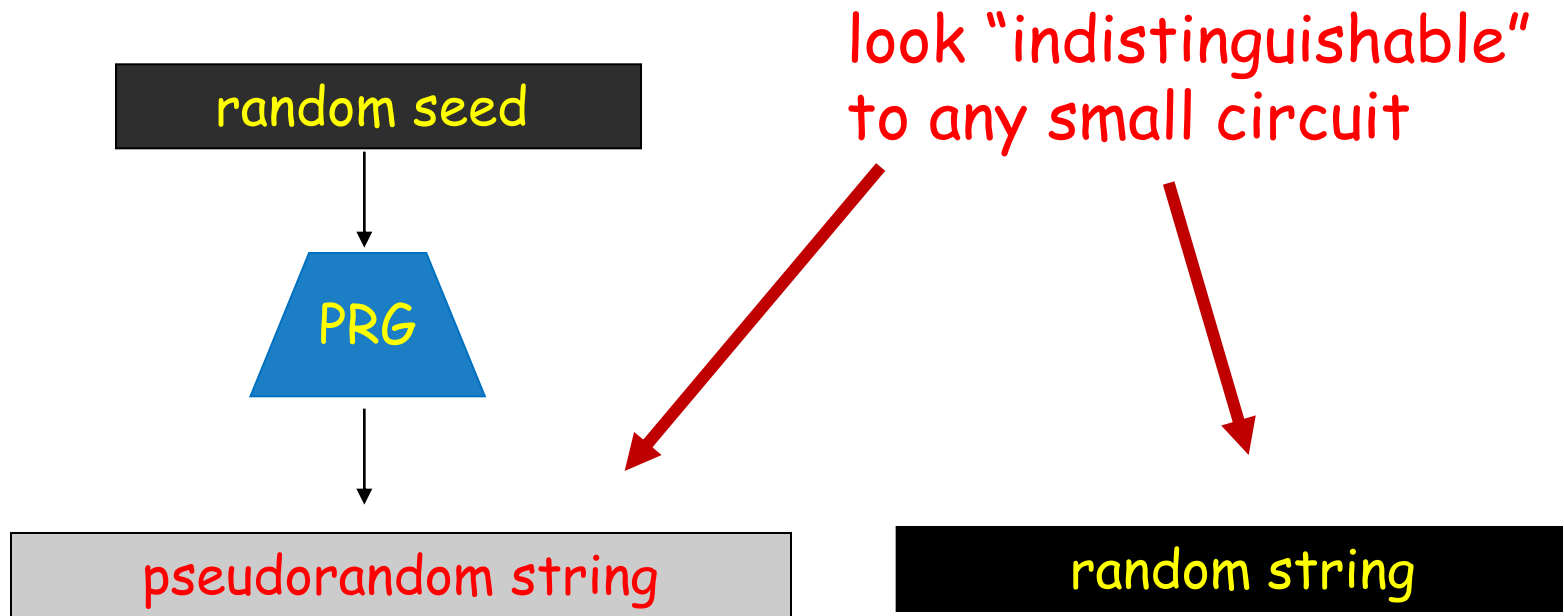
Computational Hardness  $\Rightarrow$

Computational Randomness (pseudorandomness)

[ Blum, Micali, Yao; Nisan & Wigderson, Babai et al., ... ]



# Pseudo-Random Generator (PRG)



# Incompressibility Argument



Each  $x \in \text{Bad}$  has "small" description relative to  $C$ :  
 $\log |\text{Bad}|$  bits specifying the rank of  $x$  in  $\text{Bad}$ , plus the description of  $C$

Any string **incompressible** relative to  $C$  is accepted by  $C$ .

# Incompressibility Argument



Each  $x \in \text{Bad}$  has "small" description relative to  $C$

Any string **incompressible** relative to  $C$  is accepted by  $C$ .

**High circuit complexity**

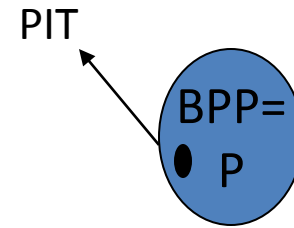
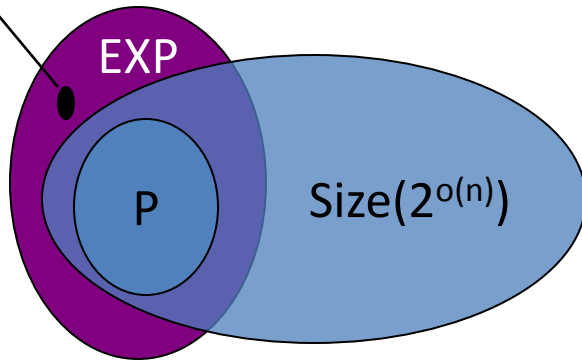
**=**

**incompressibility relative to small circuits**

# Hardness into Randomness

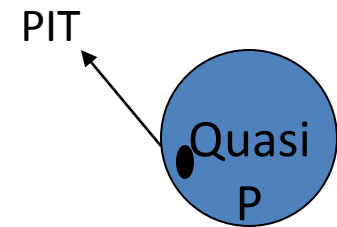
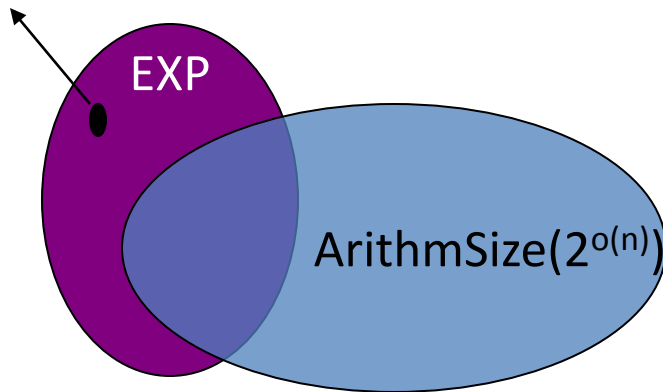


Hard language



EXP requires circuit size  $2^{\Omega(n)} \Rightarrow \text{BPP} = \text{P}$  [Impagliazzo & Wigderson]

Hard polynomial



EXP requires arithmetic circuit size  $2^{\Omega(n)} \Rightarrow \text{PIT}$  in  $\text{Time}(n^{\text{polylog } n})$  [K. & Impagliazzo]

Non - " Black-Box " Use  
of  
Circuit Lower Bounds

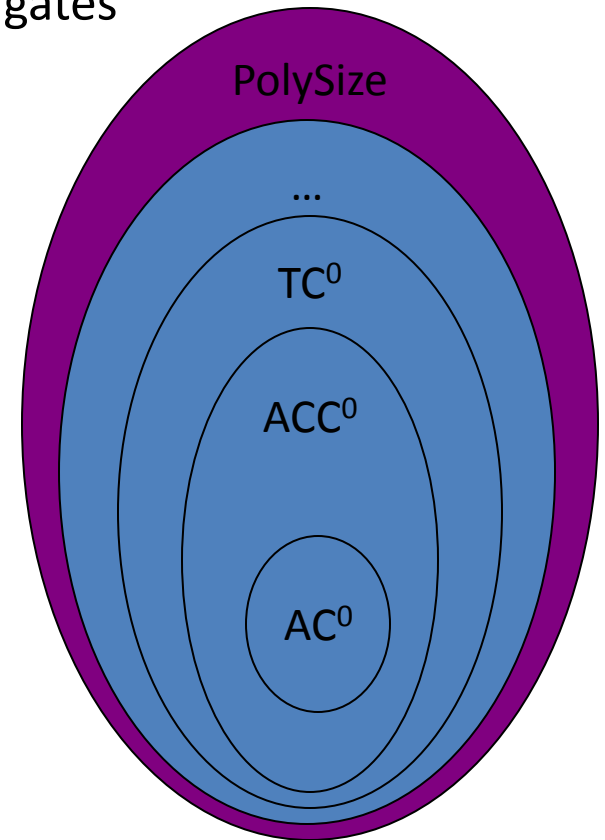
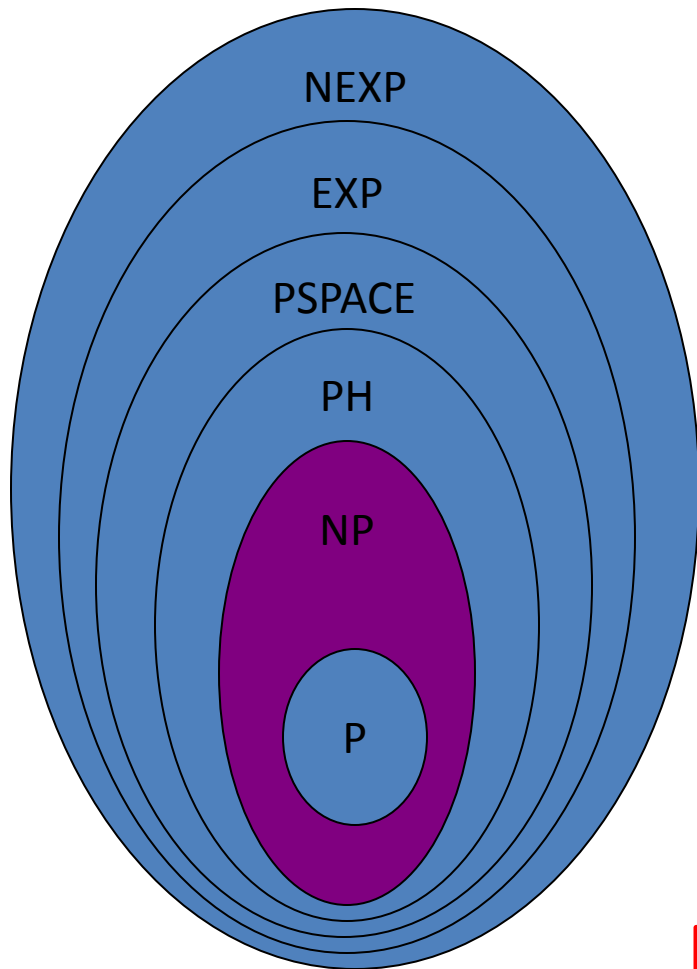


# Elusive Circuit Lower Bounds

$AC^0$ : Constant depth, unbounded fan-in, poly-size

$ACC^0$ :  $AC^0$  with MOD  $m$  gates

$TC^0$ :  $AC^0$  with MAJ gates



NEXP in PolySize ?

# Natural Proofs [ Razborov, Rudich ]

A combinatorial property  $T$  of  $n$ -variable Boolean functions is **natural against** a class  $C$  if it is

- **Constructive**: " $f$  in  $T$ " is decidable in  $\text{poly}(2^n)$  time
- **Large**:  $|T| > 1/\text{poly}(2^n)$  of all  $n$ -variable fns
- **Useful against  $C$** :  $f \text{ in } T \Rightarrow f \text{ not in } C$



# Natural Proofs $\Rightarrow$ No Crypto

A **natural proof** of a circuit lower bound =  
a proof using a natural property .

Theorem [Razborov, Rudich]:

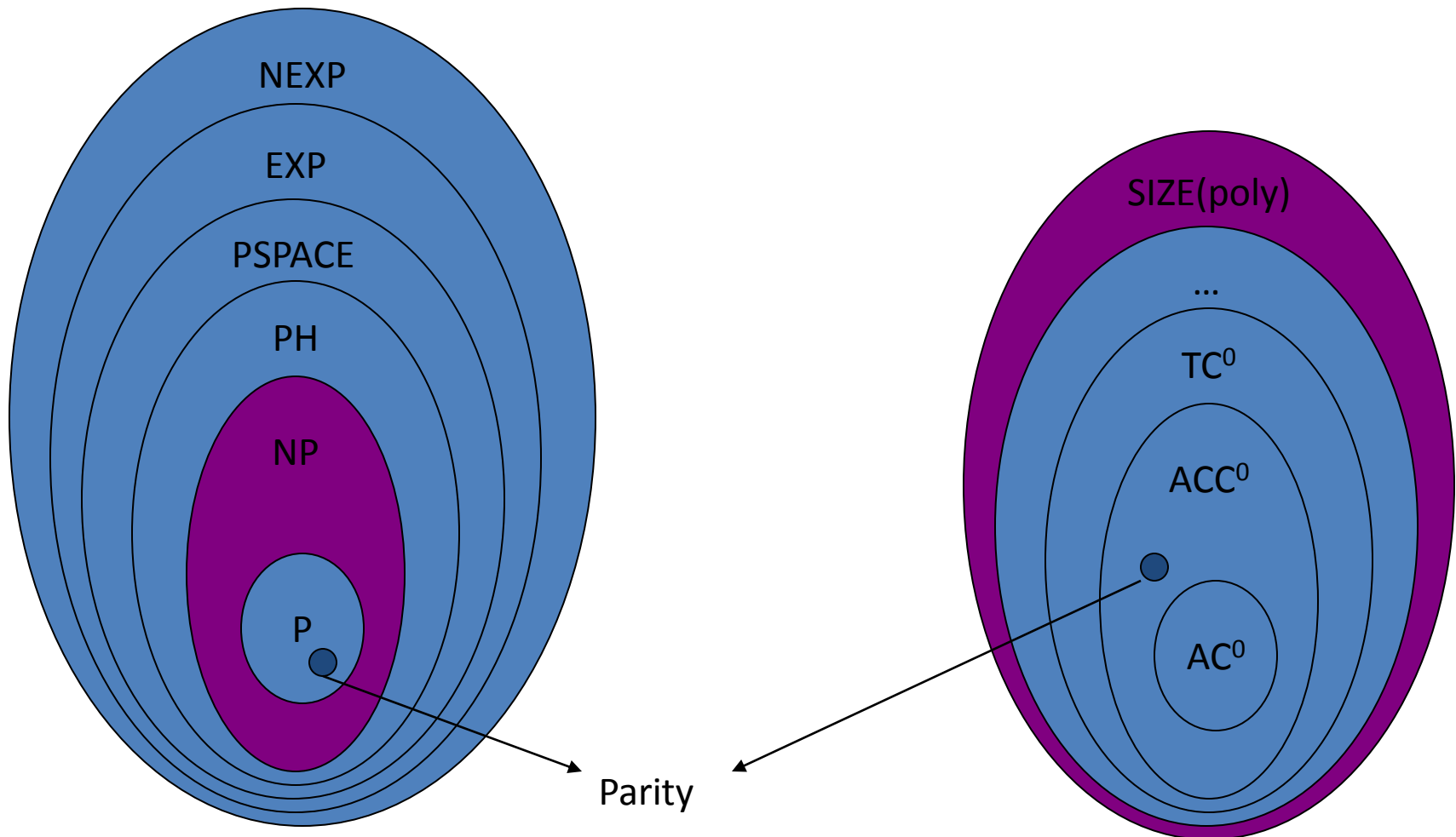
A natural proof of a circuit lower bound  
against a class  $C \Rightarrow$

algorithm **breaking every candidate PRG**  
implemented in  $C$

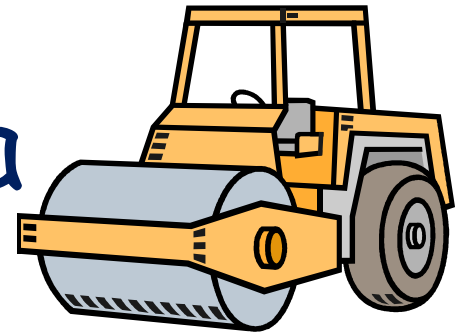
(i.e., class  $C$  cannot compute a strong PRG )

# Parity is not in $AC^0$ [FSS+84, Yao85, Hastad86]

$AC^0$ : Constant depth, unbounded fan-in, poly-size



# Switching Lemma



Given an  $AC^0$  circuit  $C(x_1, \dots, x_n)$ ,

- Choose a random subset of variables,
- Assign them to 0 or 1 randomly.

Very likely, the circuit becomes shallow.

[ Hastad ]

$C$  not too large  $\Rightarrow$  can make it a constant function, with some variables still free.

So,  $C$  can't compute PARITY.

# $AC^0$ -functions are sparse

small  $AC^0$ -circuit

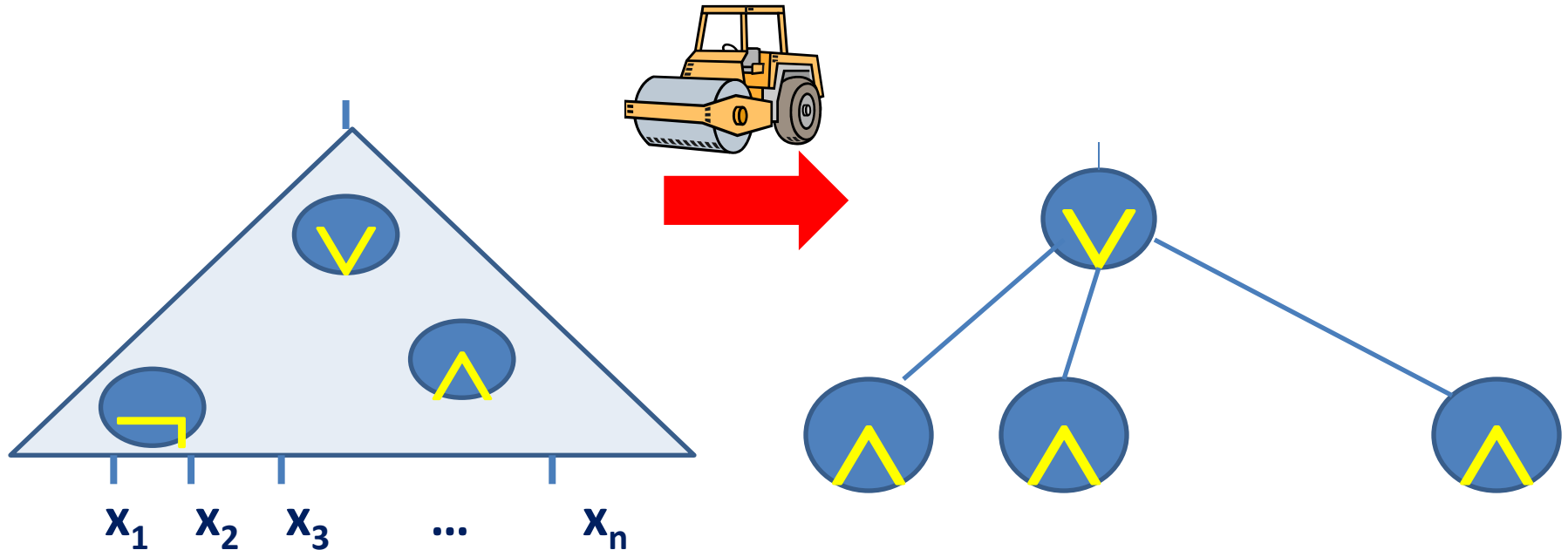
$\approx$

sparse Fourier representation

[ Linial, Mansour, Nisan ]

Can approximately learn  $AC^0$ -computable functions.

# AC<sup>0</sup>-SAT faster than “brute-force”



AC<sup>0</sup> circuit:  
size  $cn$ , depth  $d$

DNF :  $\leq 2^{n(1-\mu)}$  ANDs, with  
 $\mu = 1/(\log c + d \log d)^{d-1}$

SAT for such  
DNFs is easy!

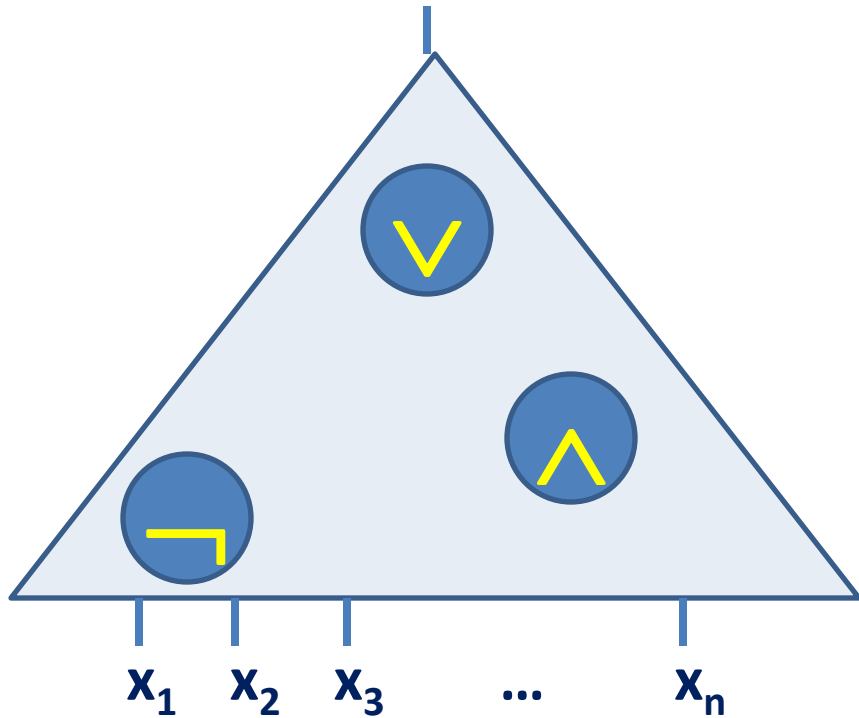
ANDs have **disjoint** sets of  
satisfying assignments

[ Impagliazzo, Mathews, Paturi ]

Circuit Lower Bounds  
from  
Meta-Algorithms

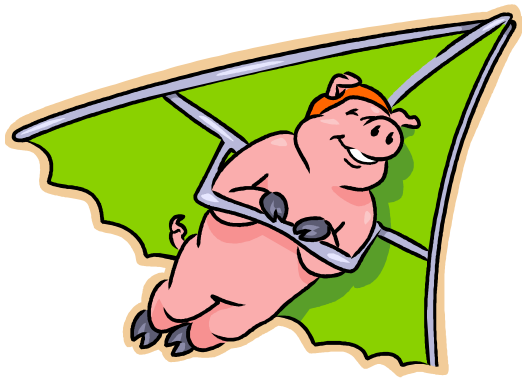


# Circuit - SAT



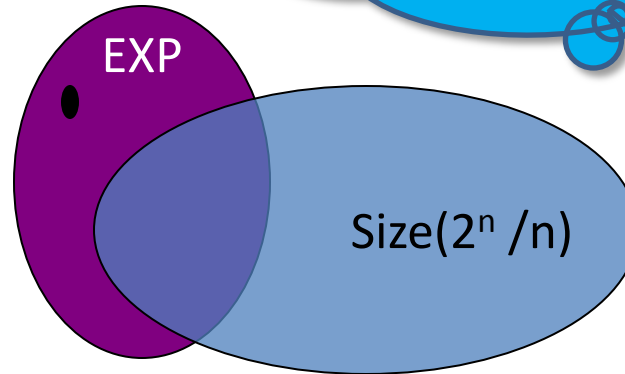
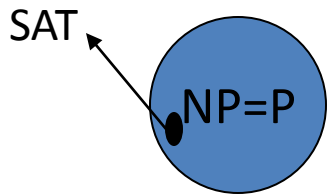
Given:  $\text{poly}(n)$ -size circuit  $C$  on  $n$  inputs.

Decide: Is  $C$  satisfiable?



# If pigs can fly ...

$$\text{EXP} \subset \text{SIZE}(2^n/n) \\ \Rightarrow P \neq \text{NP}$$



If  $\text{SAT}$  in  $P$ , then  $\text{EXP}$  requires circuit size  $> 2^n/n$  [ Kannan ]

## Facts:

- Almost all Boolean functions  $f(x_1, \dots, x_n)$  require circuit size  $> 2^n/n$ .
- But, open if  $\text{NEXP} \subset \text{PolySize}$ .

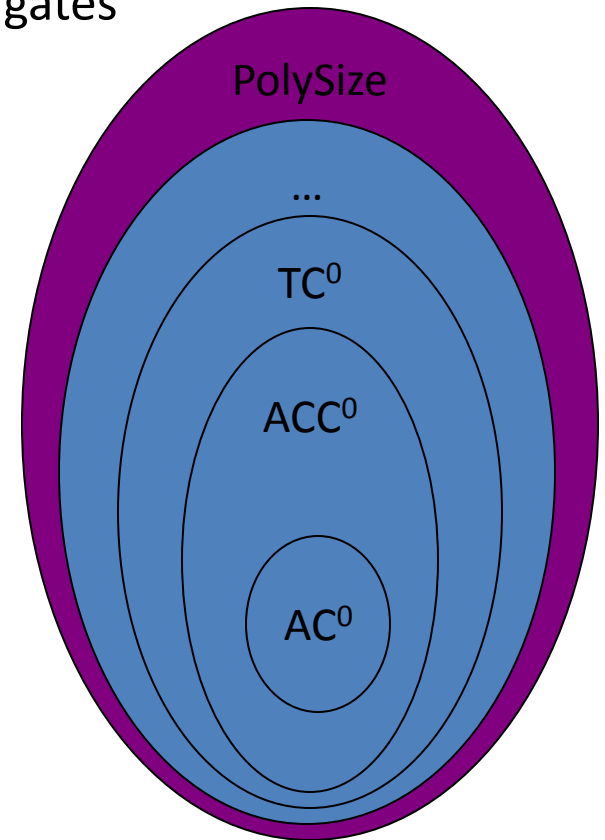
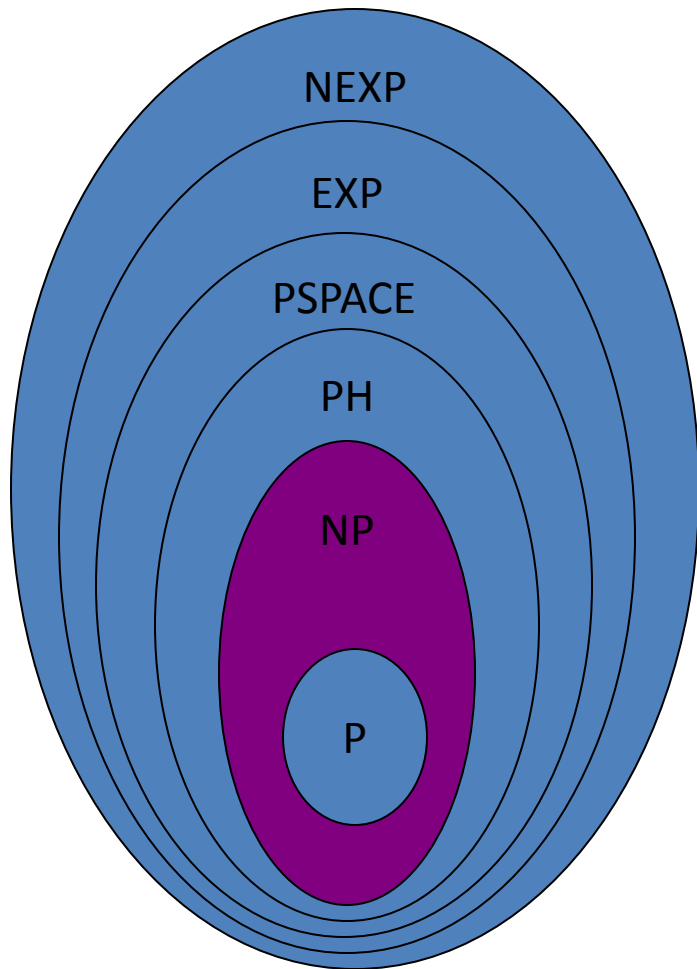
Can we use this approach to get  
any actual  
circuit lower bounds ???

# Elusive Circuit Lower Bounds

$AC^0$ : Constant depth, unbounded fan-in, poly-size

$ACC^0$ :  $AC^0$  with MOD  $m$  gates

$TC^0$ :  $AC^0$  with MAJ gates

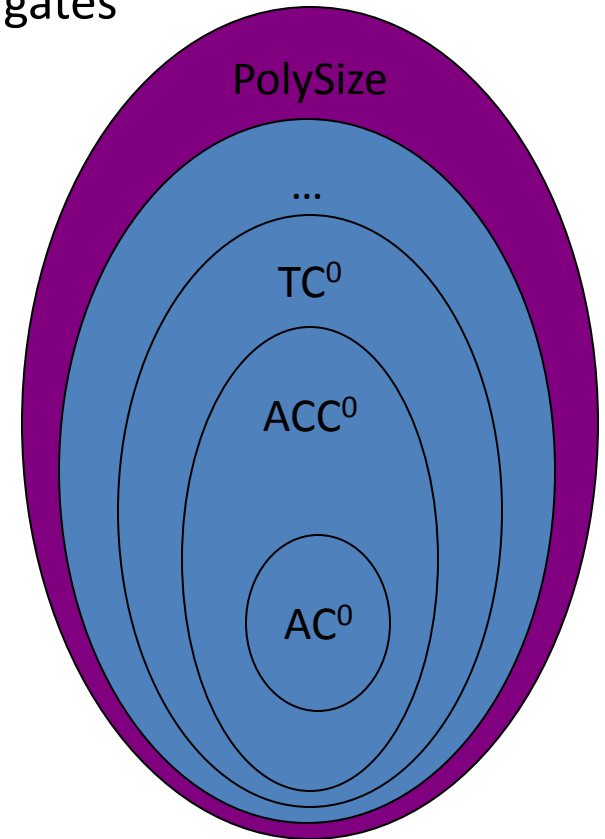
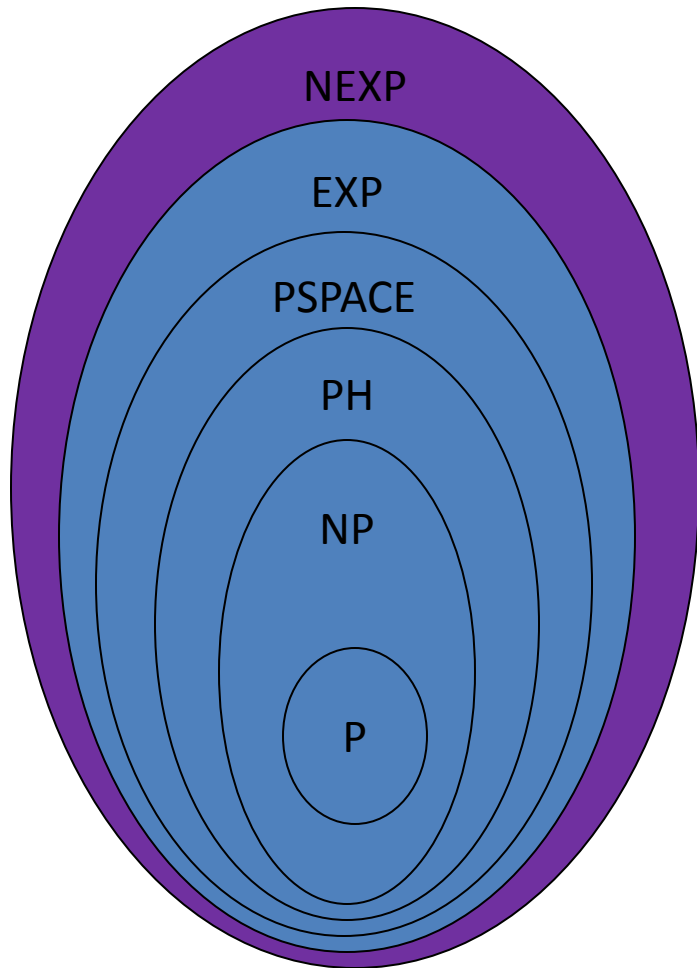


# Elusive Circuit Lower Bounds

$AC^0$ : Constant depth, unbounded fan-in, poly-size

$ACC^0$ :  $AC^0$  with MOD  $m$  gates

$TC^0$ :  $AC^0$  with MAJ gates

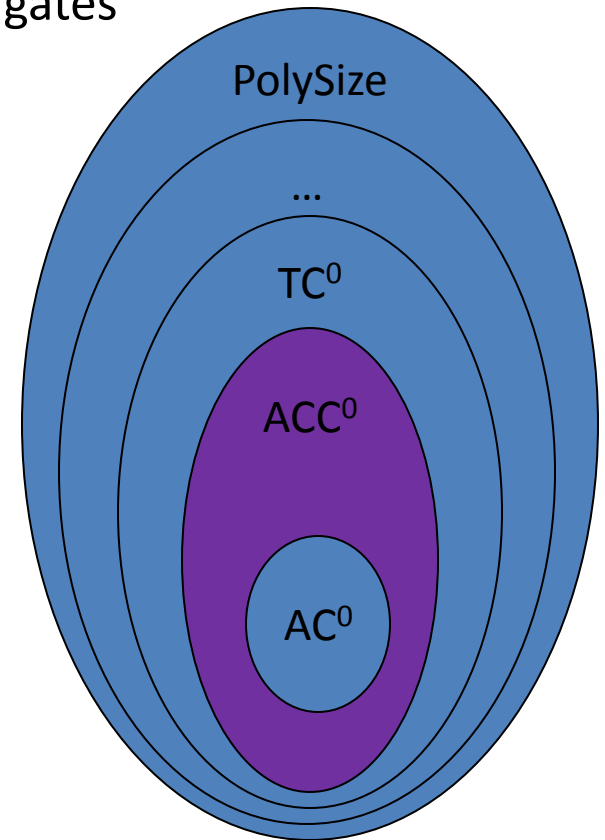
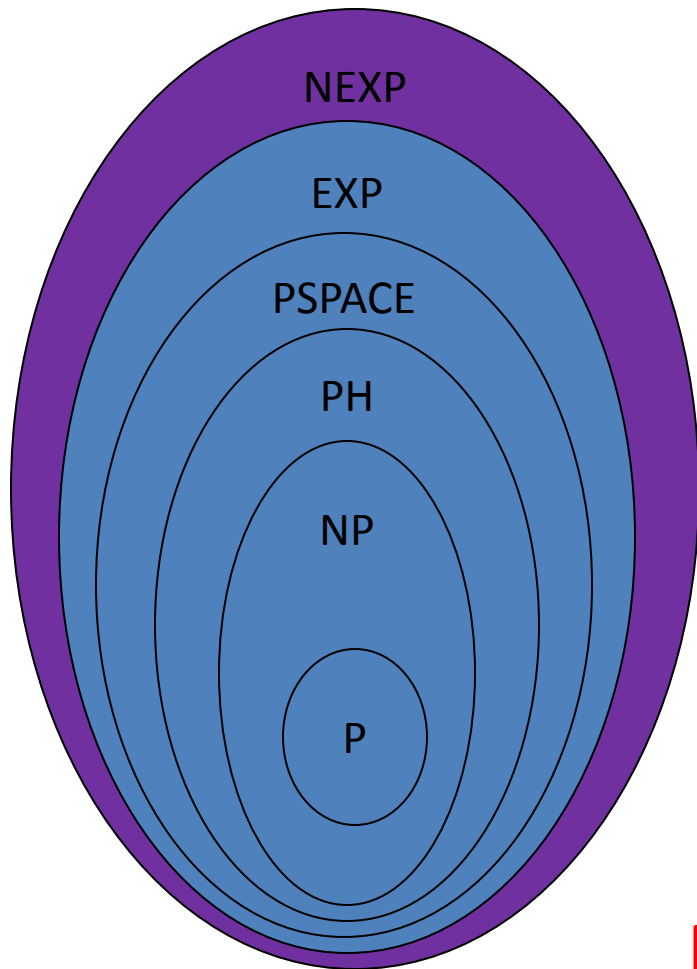


# Elusive Circuit Lower Bounds

$AC^0$ : Constant depth, unbounded fan-in, poly-size

$ACC^0$ :  $AC^0$  with MOD  $m$  gates

$TC^0$ :  $AC^0$  with MAJ gates



$NEXP$  in  $ACC^0$  ?

# Williams' Circuit Lower Bound

**Theorem 1:** If can solve  $\epsilon$ -SAT slightly better than "brute-force", then NEXP not in  $\epsilon$ -PolySize.

**Theorem 2:**  $ACC^0$ -SAT can be solved faster than "brute-force".

**Corollary:** NEXP not in  $ACC^0$ .

# $\epsilon$ -Circuit Satisfiability

**Theorem 1.** There is  $k > 0$  such that :

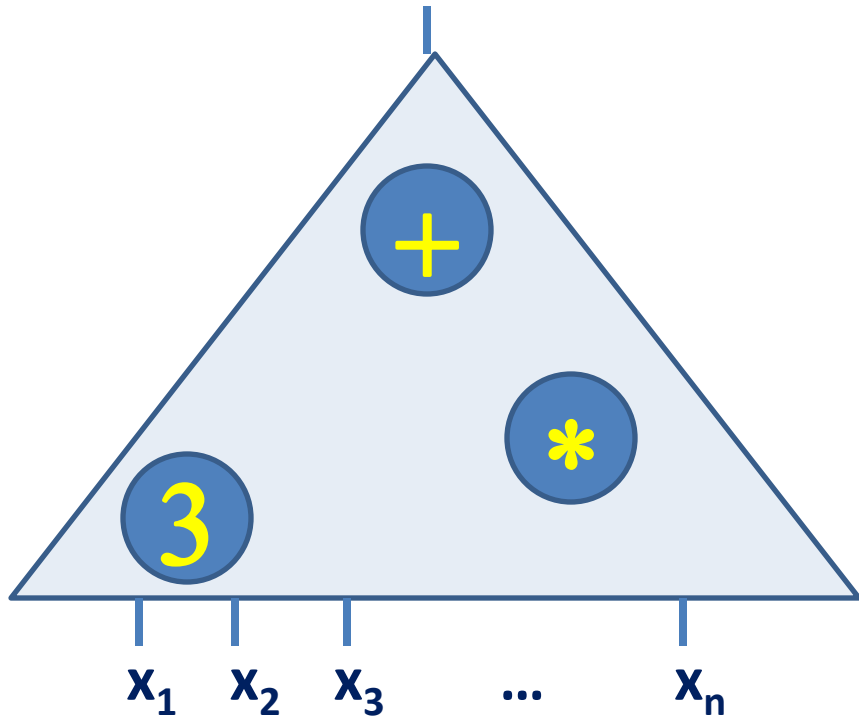
If  $\epsilon$ -SAT for  $n^c$ -size  $n$ -input circuits is in time  $O(2^n / n^k)$  for every  $c$ , then  $\text{NTime}(2^n)$  is not in  $\epsilon$ -PolySize.

**Contrast:** "Brute-force"  $\epsilon$ -SAT algorithm is in time  $2^n \text{poly}(n^c)$ .



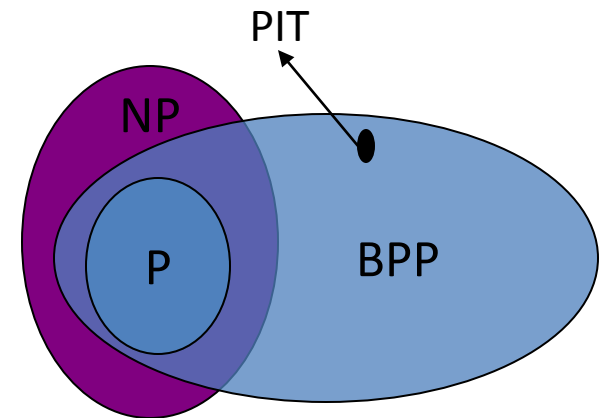
Circuit Lower Bounds  
from  
**PIT**-Algorithms

# Polynomial Identity Testing (PIT)

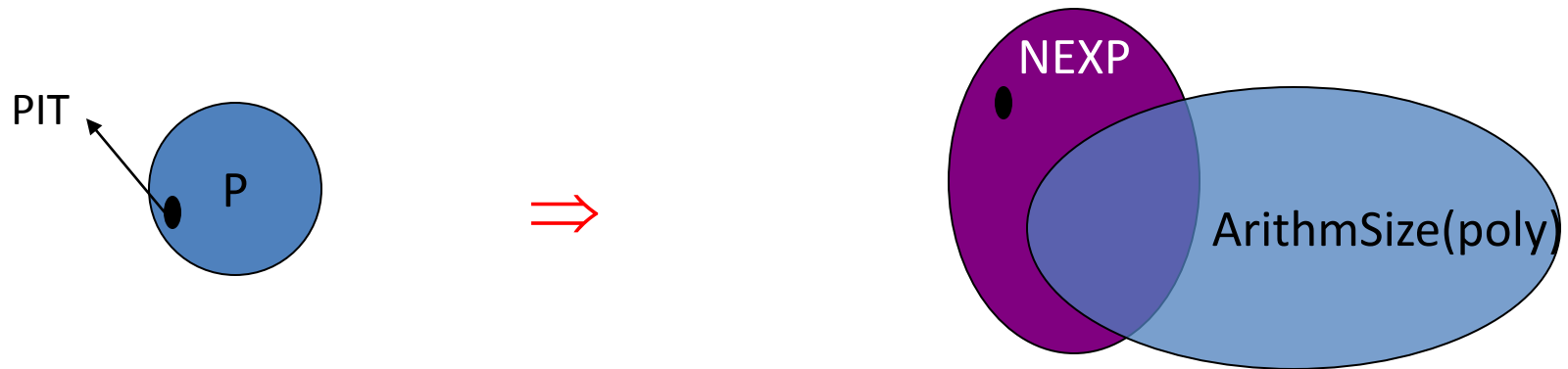


Given:  $\text{poly}(n)$ -size arithmetic circuit  $C$  on  $n$  inputs (over integers).

Decide: Is  $C \equiv 0$  ?



PIT easy  $\Rightarrow$  NEXP hard



PIT in  $P \Rightarrow$  NEXP requires superpoly-size arithmetic circuits

(i.e., NEXP not in PolySize, OR Permanent not in Arithmetic PolySize) [K., Impagliazzo]

# Important Polynomial Identities

**Permanent:** For  $X = (x_{i,j})_{n \times n}$

$$\text{Perm}_n(X) = \sum_{\pi} \prod x_{i,\pi(i)}$$

**Defining Identities (expansion by minors):**

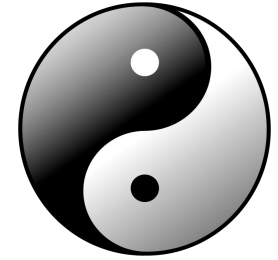
$$\text{Perm}_n(X) \equiv \sum x_{1,j} \text{Perm}_{n-1}(X^{1,j})$$

...

$$\text{Perm}_1(x) \equiv x$$

**PIT easy**  $\Rightarrow$  efficient program-checker for  
**Permanent**

# Summary



- Good **meta-algorithms** (SAT, PIT, Learning)



strong **circuit lower bounds**

- Can get unconditional results on each side.

# Some Challenges

- **Non-black-box** "SAT-Algorithms  $\Rightarrow$  Circuit Lower Bounds" conversions ?

[ BPP = P  $\Rightarrow$  circuit l.b. for NEXP,  
but PRG  $\Rightarrow$  circuit l.b. for EXP ]

- Better circuit lower bounds for  $AC^0 \Rightarrow$  better SAT-algorithms ?

[ beyond the Switching Lemma ? ]