and ASP

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As Robert Moore observed, classical logic is terrific for representing *incomplete* information. For example:

• ∃x Loves(mary, x). But who?

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- But FOL is limited in the forms of inference that it permits, since the conclusion must be *guaranteed* by the premisses.
  - E.g. ask: Is Ralph, a raven, black?
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  - E.g. ask: Is Ralph, a raven, black?
  - To *derive* this information, we can (effectively) only reason from facts about Ralph, or general knowledge about ravens.
- Commonsense knowledge and reasoning are not like this.
  - Often we want to obtain *plausible* conclusions, ...
  - ... that fill in our incomplete information.

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*Observe*: Most of the properties of objects or topics in everyday life hold *normally* or *usually* or *in general*.

For example:

• "Ravens are black".

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For example:

"Ravens are black".

Every raven? Albinos? A raven you're told isn't black?

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- and similarly for everyday topics including trees, pens, games, weddings, coffee, temporal persistence, etc.
- In fact, in commonsense domains, there are almost no interesting conditionals that hold universally.

# Types of Defaults

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Call a statement of the form "P's are Q's" that allows exceptions a *default*.

Types of defaults:

- Normality: Birds normally fly.
- Prototypicality: The prototypical apple is red.
- Statistical: Most students know CPR.
- Conventional: Stop for a red light.
- Persistence: Things tend to remain the same unless something causes a change.
- and many others.

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General Goal:

Given that P's are normally Q's holds and that P(a) is true, want to conclude Q(a) unless there is a good reason not to.

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- Classical inference clearly isn't sufficient.
  - For example, listing exceptional conditions: ∀x P(x) ∧ ¬E<sub>x1</sub>(x) ∧ ··· ∧ ¬E<sub>xn</sub>(x) ⊃ Q(x) doesn't work since
    - we can't list all exceptional conditions  $E_{x_1}, \ldots, E_{x_n}$ , and
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Need theories of how *plausible* conclusions may be drawn from uncertain, partial evidence.

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In the notation of FOL:

 $\begin{array}{ll} \mbox{Monotonic:} & \mbox{If } \Gamma \vdash \alpha \mbox{ then } \Gamma, \Delta \vdash \alpha. \\ \mbox{Non-monotonic:} & \mbox{If } \Gamma \vdash \alpha, \mbox{ possibly } \Gamma, \Delta \not\vdash \alpha. \end{array}$ 

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- In nonmonotonic theories, an inference may depend on a *lack* of information.
  - E.g. conclude that a bird flys, *unless you have a reason to believe otherwise*
- A rule *P*'s are (normally, usually) *Q*'s is called a default.
- The goal is to account for *default reasoning* (not to be confused with *Default Logic*, which is a specific approach).

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There are several major approaches to NMR.

• *Closed World Assumption:* A fact is assumed to be false if it cannot be shown to be true.

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- Circumscription: Formalise the notion that a predicate applies to as few individuals as possible. Then can write ∀x(P(x) ∧ ¬ab(x) ⊃ Q(x)).

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- Circumscription: Formalise the notion that a predicate applies to as few individuals as possible. Then can write ∀x(P(x) ∧ ¬ab(x) ⊃ Q(x)).
- Nonmonotonic Inference Relations: Formalise a notion of nonmonotonic inference α ⊢ β.
- We'll use ASP, which is strongly related to Default Logic, to formalise default reasoning.

- We'll assume that we have classical negation in our rules. (Recall that we can encode classical nagation in ASP.)
  - Consider the assertion "birds (normally) fly".
  - For a given bird, we want to conclude "by default" that it flies.

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  - Q: What does this mean?
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      - there is no reason to believe that it doesn't fly,
      - i.e. it is *consistent* that it flies.
  - Can express this with the rule:

 $fly(X) \leftarrow bird(X), not \neg fly(X)$ 

## Example

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 Consider: bird(tweety). bird(opus). ¬fly(opus). fly(X) ← bird(X), not ¬fly(X).

## Example

- Consider: bird(tweety). bird(opus). ¬fly(opus). fly(X) ← bird(X), not ¬fly(X).
- Obtain one answer set containing *fly(tweety)*.

#### Another Example

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• Consider: q(rn). r(rn).  $p(X) \leftarrow q(X)$ , not  $\neg p(X)$ .  $\neg p(X) \leftarrow r(X)$ , not p(X).

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- Consider: q(rn). r(rn).  $p(X) \leftarrow q(X)$ , not  $\neg p(X)$ .  $\neg p(X) \leftarrow r(X)$ , not p(X).
- Obtain two answer sets
   {q(rn), r(rn), p(rn)}
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- Obtain two answer sets
   {q(rn), r(rn), p(rn)}
   {q(rn), r(rn), ¬p(rn)}
- What to believe?

First approximation:

Credulous: Choose an extension arbitrarily Skeptical: Intersect the extensions.

• Consider where we have that birds fly but penguins don't fly: *penguin(opus)*.

$$fly(X) \leftarrow bird(X), not \neg fly(X).$$
  
 $\neg fly(X) \leftarrow penguin(X), not fly(X).$   
 $bird(X) \leftarrow penguin(X).$ 

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 Aside: What if we replaced the rule by: fly(X) ← bird(X), ¬penguin(X), not ¬fly(X). ?

## A Similar Problem

- "Penguins are birds" is represented by a strict rule.
- A transitivity involving only defaults is handled the same way. E.g.:
  - typically topics in ASP are topics in KR:  $kr(X) \leftarrow asp(X), not \neg kr(X)$
  - typically topics in KR are interesting:  $int(X) \leftarrow kr(X), not \neg int(X)$
  - typically topics in ASP are not interesting:  $\neg int(X) \leftarrow asp(X), not int(X)$
- For asp(co), get two answer sets with int(co) and  $\neg int(co)$ .
  - (Aside: co = "combinatorial optimization")
- Fix by replacing the second rule by

 $int(X) \leftarrow kr(X)$ , not asp(X), not  $\neg int(X)$ 

#### Other Interacting Defaults

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- Default may interact in other ways. E.g.:
  - typically birds fly: fly(X) ← bird(X), not ¬fly(X)
    typically baby birds don't fly: ¬fly(X) ← bbird(X), not fly(X) (Of course, if baby birds never fly, then one would use ¬fly(X) ← bbird(X).)
- For *bbird(huey*) we get two answer sets, and fix by using:

 $fly(X) \leftarrow bird(X), not \ bbird(X), not \ \neg fly(X)$ 

# A Methodology for Interacting Defaults

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Problem: One difficulty with the "fix" for avoiding unwanted answer sets is that all exceptional conditions are added to a rule.

• May become cumbersome, difficult to read, or error prone.

## A Methodology for Interacting Defaults

Problem: One difficulty with the "fix" for avoiding unwanted answer sets is that all exceptional conditions are added to a rule.

- May become cumbersome, difficult to read, or error prone.
- Methodology: Express a default like "birds normally fly" as:  $fly(X) \leftarrow bird(X)$ , not  $ab_{bf}(X)$ , not  $\neg fly(X)$ 
  - I.e. "birds that are not known to be abnormal wrt flight, fly"
  - Since penguins are abnormal in this regard, we would also have  $ab_{bf}(X) \leftarrow penguin(X)$ .

• In fact we could also assert:

 $ab_{bf}(X) \leftarrow \neg fly(X)$ 

and then have the simple default:

 $fly(X) \leftarrow bird(X), not ab_{bf}(X)$ 

#### Another Example: Flying Birds

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Assuming that (very!) exceptional penguins may fly:

 $bird(X) \leftarrow penguin(X).$ 

$$fly(X) \leftarrow bird(X), \text{ not } ab_{bf}(X), \text{ not } \neg fly(X).$$
  

$$\neg fly(X) \leftarrow penguin(X), \text{ not } ab_{pf}(X), \text{ not } fly(X).$$
  

$$fly(X) \leftarrow penguin(X), \text{ very }_fit(X), \text{ not } ab_{fitp}(X), \text{ not } \neg fly(X).$$
  

$$ab_{bf}(X) \leftarrow penguin(X)$$

 $ab_{bf}(X) \leftarrow penguin(X)$ .  $ab_{pf}(X) \leftarrow very_fit(X)$ .