

Chapter 15

Functional Programming

Topics

- ◆ Lists Operations
- ◆ Trees
- ◆ Lazy Evaluation

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Concat

- ◆ The function `concat` concatenates a list of lists into one long list.

```
? concat [[1,2],[3,2,1]]  
[1,2,3,2,1]
```

- ◆ Definition

```
concat      :: [[α] → [α]  
concat []   = []  
concat (xs:xss) = xs ++ concat xss
```

- ◆ Basic property:

```
concat (xss ++ yss) = concat xss ++ concat yss
```

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Take and drop

- ◆ The function `take` and `drop` each take a nonnegative integer `n` and a list `xs` as arguments.

- The value `take n xs` consists of the first `n` elements of `xs`

- The value `drop n xs` is what remains

```
? take 3 "functional" ? take 3 [1,2]  
"fun"                 [1,2]  
? drop 3 "functional" ? drop 3 [1,2]  
"ctional"             []
```

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Take and drop

- ◆ Definitions:

```
take      :: Int → [α] → [α]  
take 0 xs = []  
take n [] = []  
take (n+1) (x:xs) = x : take n xs
```

```
drop      :: Int → [α] → [α]  
drop 0 xs = xs  
drop n [] = []  
drop (n+1) (x:xs) = drop n xs
```

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Take and drop

- ◆ These definitions use a combination of pattern matching with natural numbers and lists.

- ◆ Patterns are disjoint and cover all possible cases.

- Every natural number is either zero (first equation) or

- The successor of a natural number

- ◆ Distinguish between an empty list (second equation) and

- ◆ A nonempty list (third equation).

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Take and drop

- ◆ There are two arguments on which pattern matching is performed
 - Pattern matching is performed on the clauses of a definition in order from the first to the last.
 - Within a clause, pattern matching is performed from left to right.

```
? take 0 []
[]
? take [] []
[]
```

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Take and drop

- ◆ The functions `take` and `drop` satisfy a number of useful laws:

```
take n xs ++ drop n xs = xs
```

for all (finite) natural numbers n and all lists xs .

```
take [] xs ++ drop [] xs = [] ++ [] = []
```

not xs .

```
take m · take n = take (m min n)
```

```
drop m · drop n = drop (m + n)
```

```
take m · drop n = drop n · take (m + n)
```

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List index

- ◆ A list xs can be indexed by a natural number n to find the element appearing at position n .

- ◆ This operation is denoted by $xs !! n$

```
? [1,2,3,4] !! 2
```

```
3
```

```
? [1,2,3,4] !! 0
```

```
1
```

- Indexing begins at 0.

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List index

- ◆ Definition

```
(!!) :: [α] → Int → α
```

```
(x:xs) !! 0 = x
```

```
(x:xs) !! (n+1) = xs !! n
```

- ◆ Indexing is an expensive operation since $xs !! n$ takes a number of reduction steps proportional to n .

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Map

- ◆ The function `map` applies a function to each element of a list.

```
? map square [9,3]
```

```
[81,9]
```

```
? map (<3) [1,2,3]
```

```
[True,True,False]
```

```
? map nextLetter "HAL"
```

```
"IBM"
```

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Map: definition

- ◆ The definition is

```
map :: (α → β) → [α] → [β]
```

```
map f [] = []
```

```
map f (x:xs) = f x : map f xs
```

- ◆ The use of `map` is illustrated by the following example:

- "the sum of the squares of the integers from 1 up to 100"
- The function `sum` and `upto` can be defined by

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Map: example

```
sum      :: (Num α) => [α] → α
sum []   = 0
sum (x:xs) = x + sum xs
upto    :: (Integral α) => α → α → [α]
upto m n = if m > n then []
          else m:upto(m+1)n
```

```
? sum(map square(upto 1 100))
338700
```

```
[m..n] = upto m n
[m..]  = from m
```

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Map: laws

```
map id = id
```

- Applying the identity function to every element of a list leaves the list unchanged.

• The two occurrences of `id` have different types; on the left `id :: α → α`, and on the right `id :: [α] → [α]`

```
map (f · g) = map f · map g
```

- Applying `g` to every element of a list, and then applying `f` to each element of the result gives the same result as applying `f · g` to the original list.

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Map: laws

```
f · head = head · map f
map f · tail = tail · map f
map f · reverse = reverse · map f
map f · concat = concat · map(map f)
map f (xs ++ ys) = map f xs ++ map f ys
```

- The common theme behind each of these equations concern the types of the functions involved:

```
head    :: [α] → α
tail    :: [α] → [α]
reverse :: [α] → [α]
concat  :: [[α]] → [α]
```

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Map: laws

- Those functions do not depend in any way on the nature of the list elements.
 - They are simply combinators that shuffle, rearrange, or extract elements from lists.
 - This is why they have polymorphic types.
- We can either 'rename' the list elements (via `map f`) and then do the operation, or do the operation and then rename the elements.

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Filter

- The function `filter` takes a boolean function `p` and a list `xs` and return that sublist of `xs` whose elements satisfy `p`.

```
? filter even [1,2,4,5,32]
[2,4,32]
```

```
? (sum · map square · filter even) [1..10]
220
```

- The sum of the squares of the even integers in the range 1 to 10

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Filter: definition

```
filter      :: (α → Bool) → [α] → [α]
filter p [] = []
filter p (x:xs) = if p x then x:filter p xs
                  else filter p xs
```

- Some laws

```
filter p · filter q = filter (p and q)
Filter p · concat = concat · map(filter p)
```

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Zip

- ◆ The function `zip` takes two lists and returns a list of pairs of corresponding elements.

```
? zip [0..4] "hello"
[(0,'h'),(1,'e'),(2,'l'),(3,'l'),(4,'o')]

? zip [0,1] "hello"
[(0,'h'),(1,'e')]
```

Zip: definition

- ◆ If two lists do not have the same length, then the length of the zipped list is the shorter of the lengths of the two arguments.

```
zip :: [α] → [β] → [(α,β)]
zip [] ys = []
zip xs [] = []
zip (x:xs) (y:ys) = (x,y):zip xs ys
```

- What would happen if we just defined `zip [] []` instead of the two basic cases.

Unzip

- ◆ The function `unzip` takes a list of pairs and unzips it into two lists.

```
? unzip [(1,True),(2,True),(3,False)]
[[1,2,3],[True,True,False]]
```

- ◆ Definition

```
unzip :: [(α,β)] → ([α],[β])
unzip = pair(map fst, map snd)
```

Unzip

- ◆ Two basic functions on pairs are `fst` and `snd`, defined by:

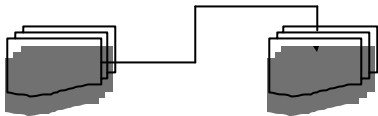
```
fst :: (α,β) → α
fst (x,y) = x

snd :: (α,β) → β
snd (x,y) = y
```

- ◆ A basic function that takes pairs of functions as arguments:

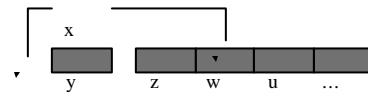
```
pair :: (α → β, α → γ) → α → (β, γ)
pair (f,g) x = (f x, g x)
```

Insertion Sort



```
sort [] = []
sort (x : xs) = insert x (sort xs)
```

Insertion



```
insert x (y : ys)
  | x <= y = x : y : ys
  | x > y = y : insert x ys
insert x [] = [x]
```

Sorting: example

```

sort [3,1,2]
insert 3 (sort [1,2])
insert 3 (insert 1 (sort [2]))
insert 3 (insert 1 (insert 2 (sort [])))
insert 3 (insert 1 (insert 2 []))
insert 3 (insert 1 [2])

```

```

insert 3 [1, 2]
1 : insert 3 [2]
1 : 2 : insert 3 []
1 : 2 : [3]
[1, 2, 3]

```

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The Type of Sort

What is the type of sort?

```
sort :: [a] -> [a]
```

Can sort many different types of data.

But not all!

Consider a list of functions, for example...

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The Correct Type of Sort

```
sort :: Ord a => [a] -> [a]
```

If a has an ordering...

...then sort has this type.

Sort has this type because

```
(<=) :: Ord a => a -> a -> Bool
```

Overloaded, rather than polymorphic.

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Polymorphism vs. Overloading

- ◆ A *polymorphic* function works in the same way for every type
 - Example: `length`, `++`
- ◆ An *overloaded* function works in different ways for different types
 - Example: `==`, `<=`

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A Better Way of Sorting

- ◆ Divide the list into two roughly equal halves.
- ◆ Sort each half.
- ◆ Merge the sorted halves together.

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Merge Sort: definition

```

mergeSort xs = merge (mergeSort front)
                  (mergeSort back)
  where size = length xs `div` 2
        front = take size xs
        back  = drop size xs

```

- ◆ But when are front and back smaller than `xs`?

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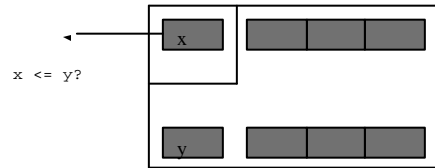
MergeSort with Base Cases

```
mergeSort [] = []
mergeSort [x] = [x]
mergeSort xs | size > 0 =
    merge (mergeSort front)
          (mergeSort back)
  where size = length xs `div` 2
        front = take size xs
        back = drop size xs
```

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Merging: example



```
merge [1, 3] [2, 4]
  └─ 1 : merge [3] [2, 4]
    └─ 1 : 2 : merge [3] [4]
      └─ 1 : 2 : 3 : merge [] [4]
        └─ 1 : 2 : 3 : [4] ── [1, 2, 3, 4]
```

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Requires an ordering.

Defining Merge

```
merge :: Ord a => [a] -> [a] -> [a]
```

```
merge (x : xs) (y : ys)
```

```
  | x <= y = x : merge xs (y : ys)
```

```
  | x > y = y : merge (x : xs) ys
```

```
merge [] ys = ys
```

```
merge xs [] = xs
```

One list gets smaller.

Two possible base cases.

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The Cost of Sorting

Insertion Sort
Sorting n elements
takes $n^2/2$ comparisons.

Merge Sort
Sorting n elements
takes $n \cdot \log_2 n$ comparisons.

Num elements	Cost by insertion	Cost by merging
10	50	40
1000	500000	10000
1000000	500000000000	20000000

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Summary: List Recursion

◆ Recursive case: expresses the results in terms of the same function on a shorter list.

- $f (x:xs) = \dots f \ xs \ \dots$

◆ Base case(s): handles the shortest possible list.

- $f \ [] = \dots$

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Example: Counting Words

Input

A string representing a text containing many words.
For example

```
"hello clouds hello sky"
```

Output

A string listing the words in order, along with how many times each word occurred.

```
"clouds: 1\nhello: 2\nsky: 1"
```

```
clouds: 1
hello: 2
sky: 1
```

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Step 1: Breaking Input into Words

```
"hello clouds hello sky"
      |
      v
      words
      |
      v
["hello", "clouds", "hello", "sky"]
```

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Step 2: Sorting the Words

```
["hello", "clouds", "hello", "sky"]
      |
      v
      sort
      |
      v
["clouds", "hello", "hello", "sky"]
```

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The groupBy Function

```
groupBy :: (a -> a -> Bool) -> [a] -> [[a]]
groupBy p xs -- breaks xs into segments [x1,x2...], such
              that p xi is True for each xi in the
              segment.
```

```
groupBy (<) [3,2,4,1,5] = [[3], [2,4], [1,5]]
groupBy (==) "hello" = ["h", "e", "ll", "o"]
```

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Step 3: Grouping Equal Words

```
["clouds", "hello", "hello", "sky"]
      |
      v
      groupBy (==)
      |
      v
[["clouds"], ["hello", "hello"], ["sky"]]
```

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Step 4: Counting Each Group

```
[["clouds"], ["hello", "hello"], ["sky"]]
      |
      v
      map (\ws -> (head ws, length ws))
      |
      v
[("clouds",1), ("hello", 2), ("sky",1)]
```

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Step 5: Formatting Each Group

```
[("clouds",1), ("hello", 2), ("sky",1)]
      |
      v
      map (\(w,n) -> w++show n)
      |
      v
["clouds: 1", "hello: 2", "sky: 1"]
```

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Step 6: Combining the Lines

```
["clouds: 1", "hello: 2", "sky: 1"]
```



unlines

```
"clouds: 1\nhello: 2\nsky: 1\n"
```

```
clouds: 1  
hello: 2  
sky: 1
```

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The Complete Definition

```
countWords :: String -> String  
countWords s =  
    unlines .  
    map (\(w,n) -> w++show n) .  
    map (\ws -> (head ws, length ws)) .  
    groupBy (==) .  
    sort .  
    words s
```

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Trees

- ◆ Any recursive data type that exhibits a nonlinear structure is generically called a tree.
- ◆ The syntactic structure of arithmetic or functional expressions can also be modeled by a tree.
- ◆ There are numerous species and subspecies of tree.

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Trees

- ◆ Trees can be classified according to
 - The precise form of the branching structure
 - The location of information within the tree
 - The relationship between the information stored in different parts of the tree

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Binary Trees

- ◆ A binary tree is a tree with a simple two-way branching structure.

```
data Btree α = Leaf α | Fork(Btree α)(Btree α)
```

- A value of `Btree α` is either a *leaf node*, which contains a value of type `α`, or a *fork node*, which consists of two further trees, called the *left* and *right subtrees* of the node.
- A leaf is sometimes called an external node, or tip, and a fork node is sometimes called an internal node.

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Binary Trees

- ◆ Example:

```
Fork(Leaf 1)(Fork(Leaf 2)(Leaf 3))
```

- Consists of a node with a left subtree `Leaf 1` and a right subtree which consists of a left subtree `Leaf 2` and a right subtree `Leaf 3`.

```
Fork(Fork(Leaf 1)(Leaf 2))(Leaf 3)
```

- Contains the same sequence of numbers in its leaves but the way the information is organized is different.
- The two expressions denote different values.

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Trees: size

- ◆ The *size* of a tree is the number of its leaf nodes.

```
size      :: Btree α → Int
size (Leaf x)    = 1
size (Fork xt yt) = size xt + size yt
  ◆ The function size plays the same role for trees as
  length does for lists.
size = length · flatten , where
Flatten   :: Btree α → [α]
Flatten (Leaf x)    = [x]
Flatten (Fork xt yt) = flatten xt ++ flatten yt
```

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Trees: height

- ◆ The *height* of a tree measures how far away the furthest leaf is.

```
height    :: Btree α → Int
height (Leaf x)    = 0
height (Fork xt yt) = 1 +
                      (height xt max height yt)
```

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Reductions

- ◆ Reduction sequence: `square (3+4)`
- ◆ Two reduction policies
 - *Innermost reduction*: a reduction that contains no other reduction.
 - *Outermost reduction*: a reduction that is contained in no other reduction.
- ◆ Other example: `fst (square 4, square 2)`

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Outermost Reduction

- ◆ Sometimes outermost reduction will give an answer when innermost fails to terminate.
- ◆ If both methods terminate, then they give the same result.
- ◆ Outermost reduction has the important property that if an expression has a normal form then the outermost reduction will compute it.

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Outermost Reduction

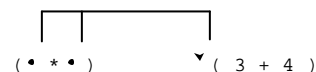
- ◆ Is outermost reduction a better choice than innermost reduction?
- ◆ Problem: outermost reduction can sometimes require most steps than innermost reductions.
 - The problem arises with any function whose definition contains repeated occurrences of an argument.

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Outermost Reduction

- ◆ The problem can be solved by representing expressions as graphs rather than trees.
 - Unlike trees, graphs can share subexpressions.
- ◆ Example: the expression `(3+4) * (3+4)`



- Each occurrence of `3+4` is represented by an arrow, called a pointer, to a single instance of `(3+4)`

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Outermost Reduction

- ◆ Using outermost graph reduction has only three steps.
 - The representation of expressions as graphs means that duplicated subexpressions can be shared and reduced at most once.
- ◆ With graph reduction, outermost reduction never takes more steps than innermost reduction.

Lazy vs. Eager Evaluation

- ◆ Outermost graph reduction is called *lazy evaluation*.
- ◆ Innermost graph reduction is called *eager evaluation*.
- ◆ Lazy evaluation is adopted by Haskell:
 1. It terminates whenever any reduction order terminates.
 2. It requires no more (and possibly fewer) steps than eager evaluation.