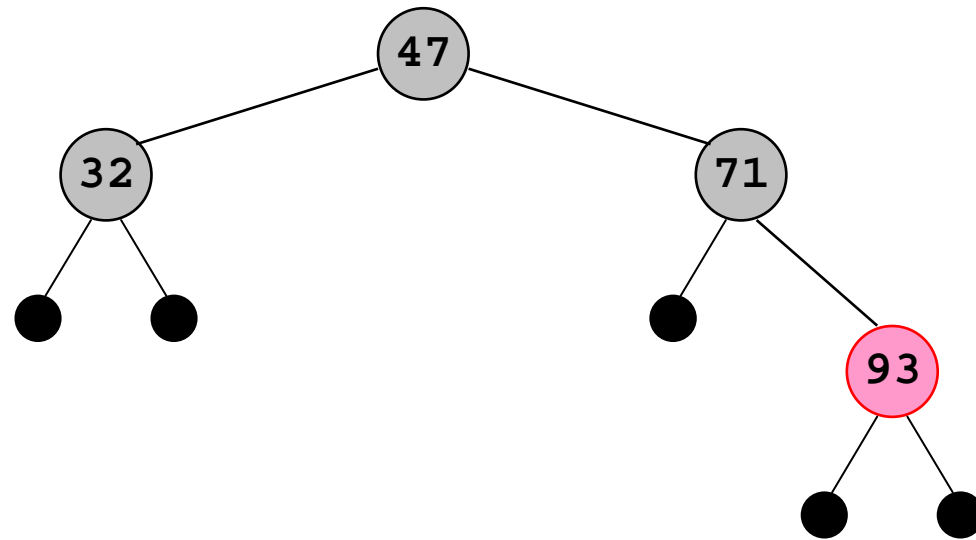


# Insertion Example



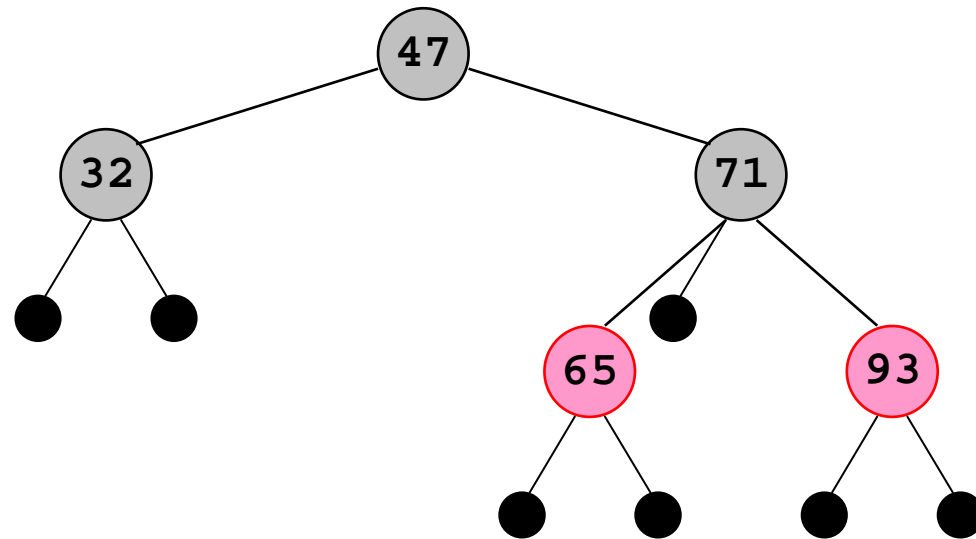
Insert 65



# Insertion Example



Insert 65

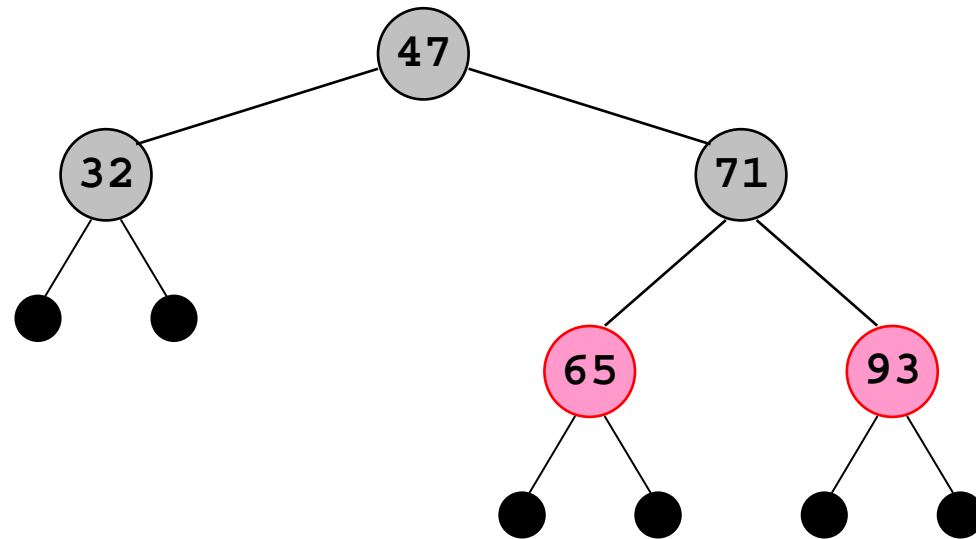


# Insertion Example



Insert 65

Insert 82

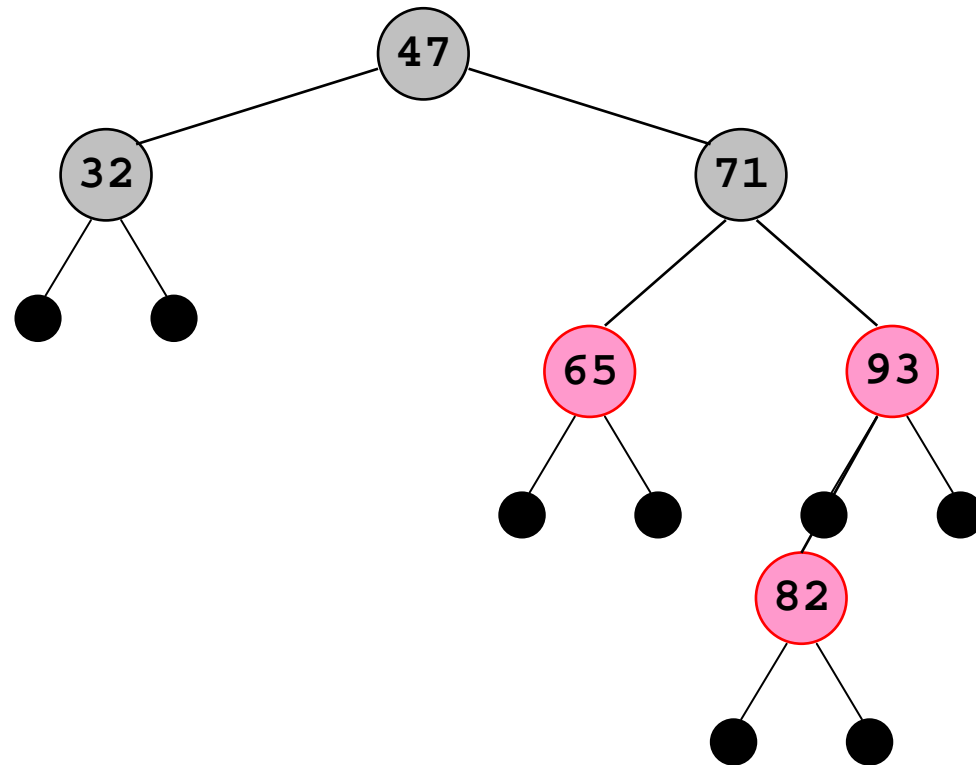


# Insertion Example



Insert 65

Insert 82

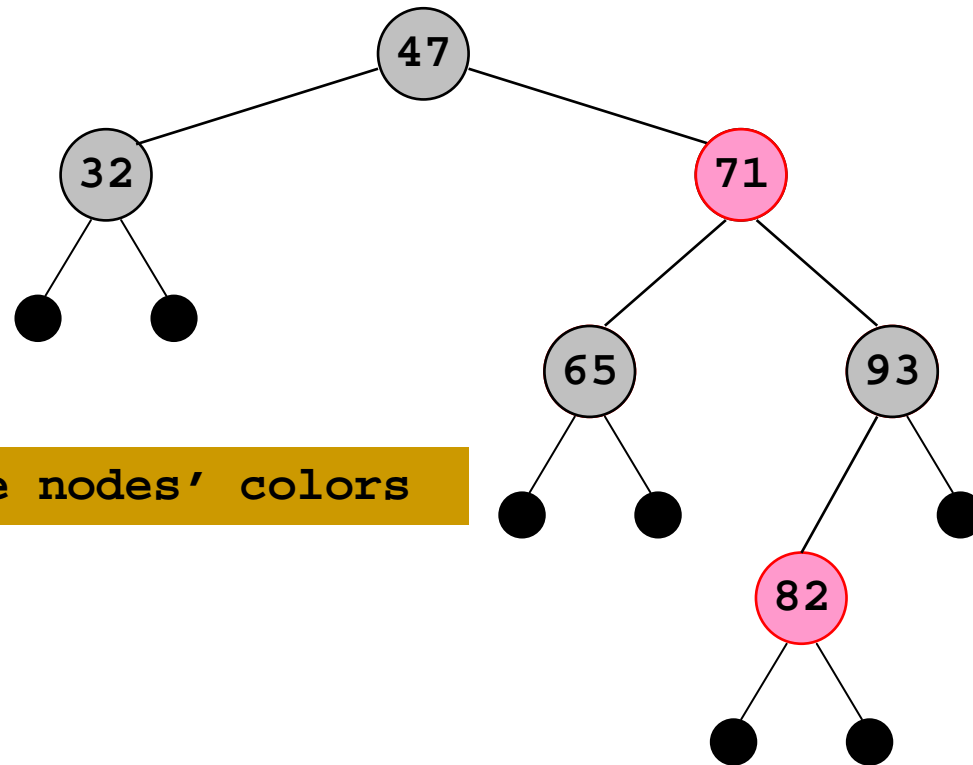




# Insertion Example

Insert 65

Insert 82



change nodes' colors

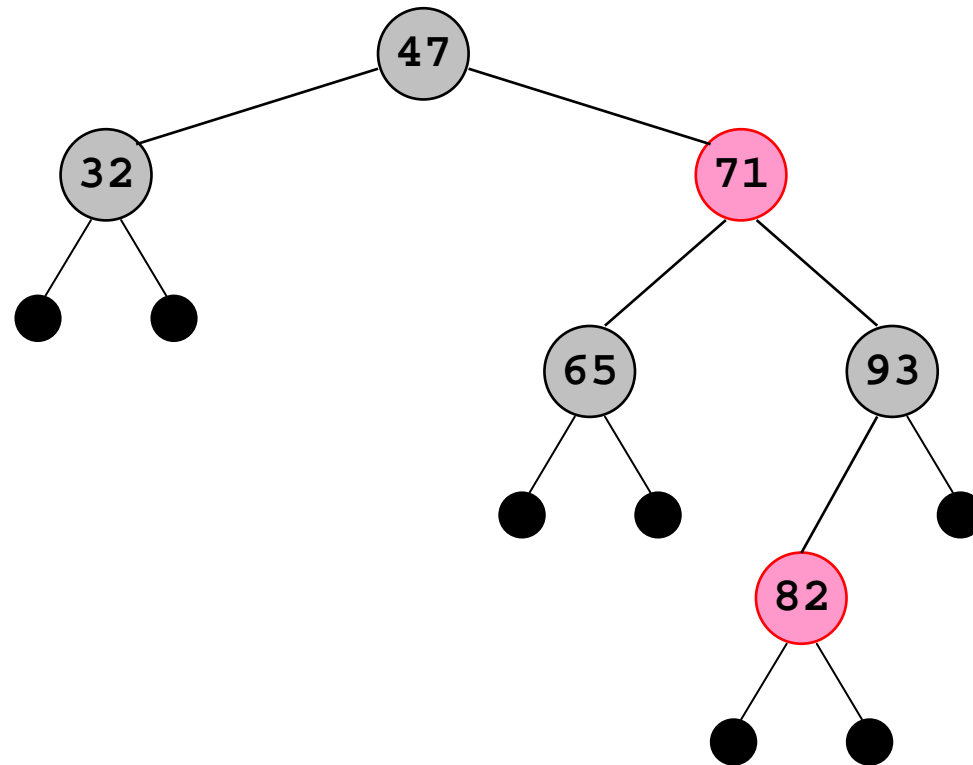
# Insertion Example



Insert 65

Insert 82

Insert 87



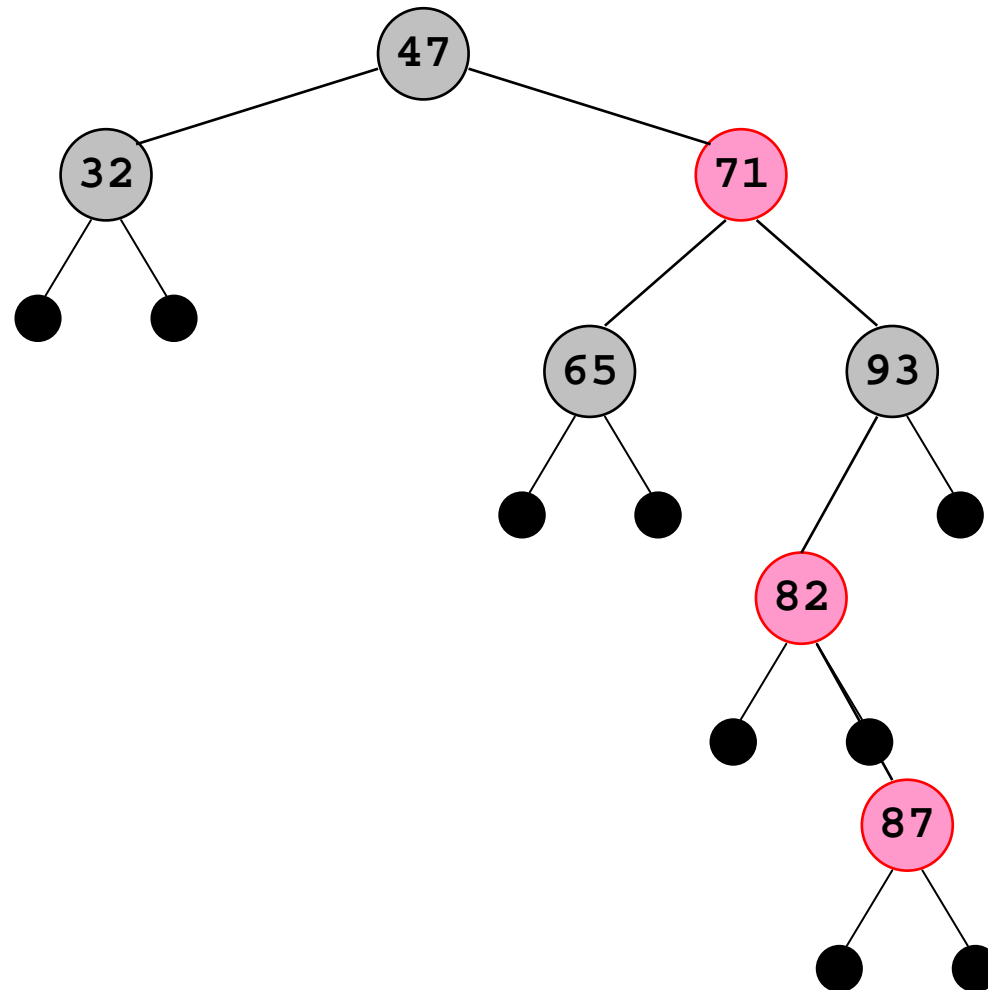
# Insertion Example



Insert 65

Insert 82

Insert 87



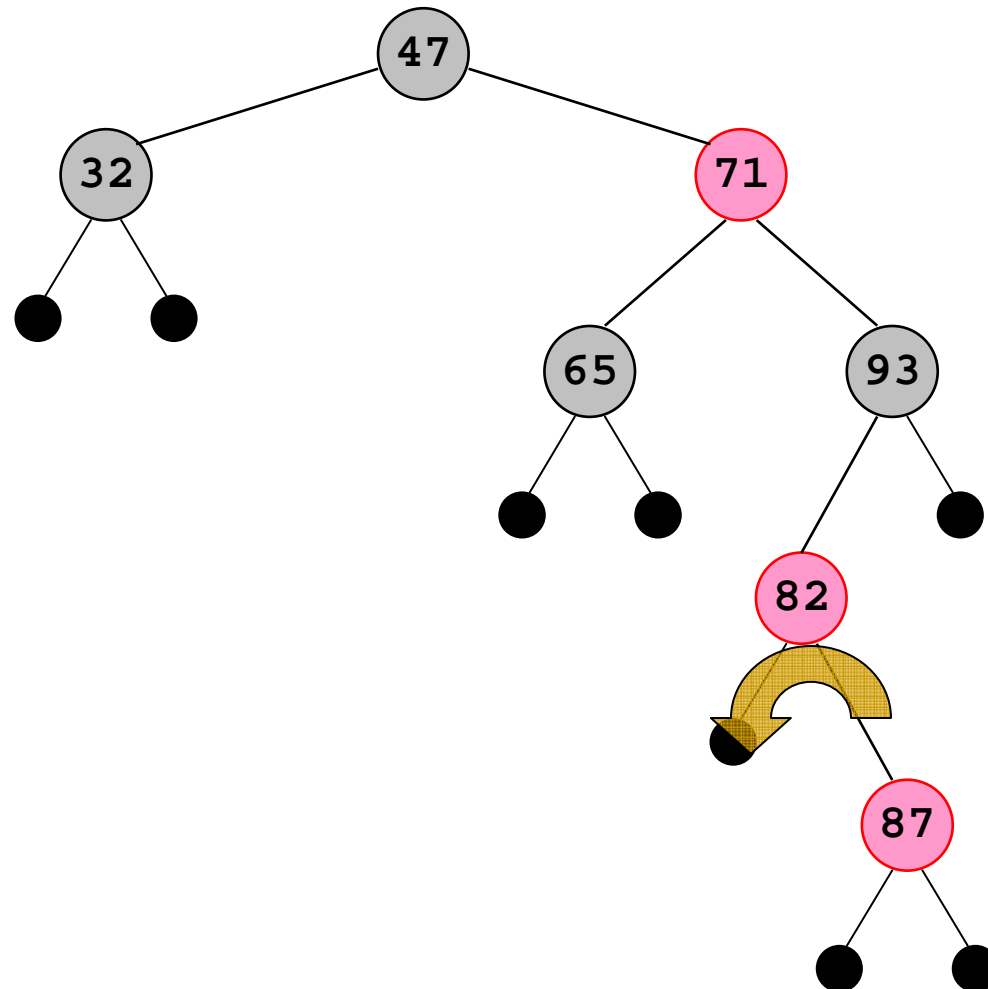
# Insertion Example



Insert 65

Insert 82

Insert 87





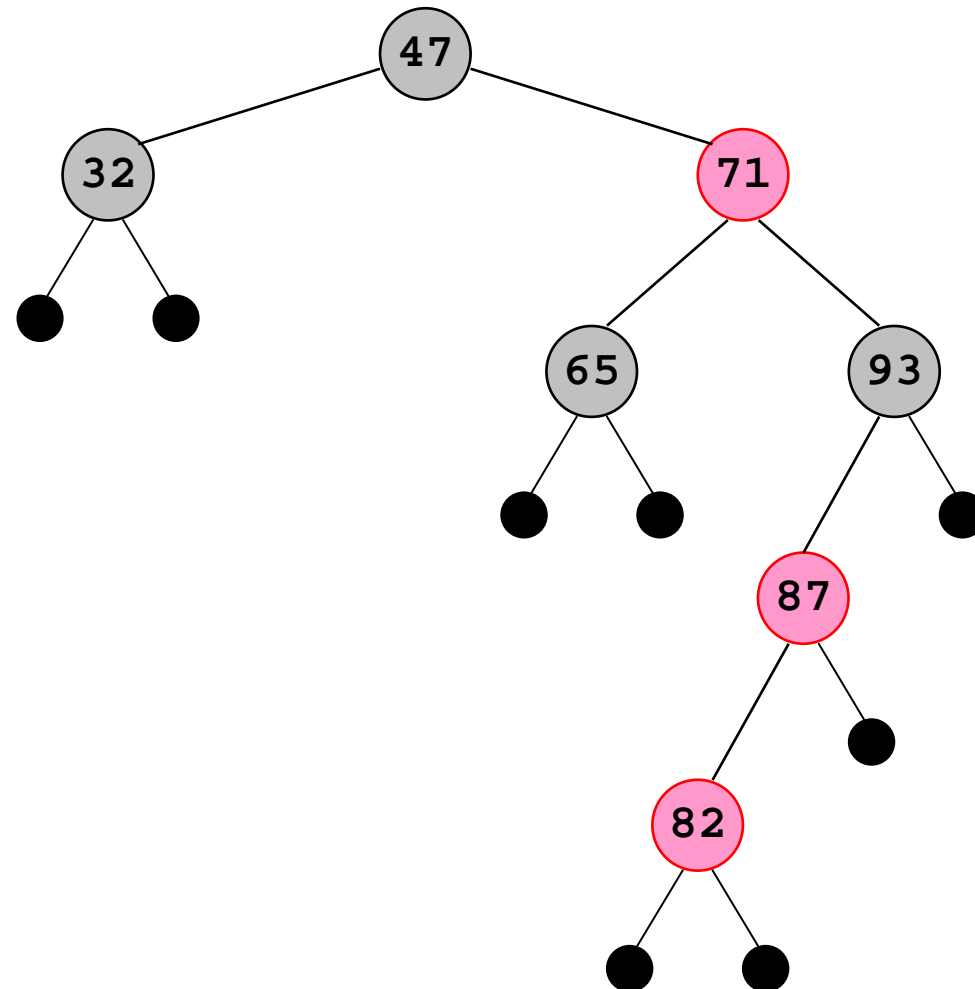
# Insertion Example



Insert 65

Insert 82

Insert 87



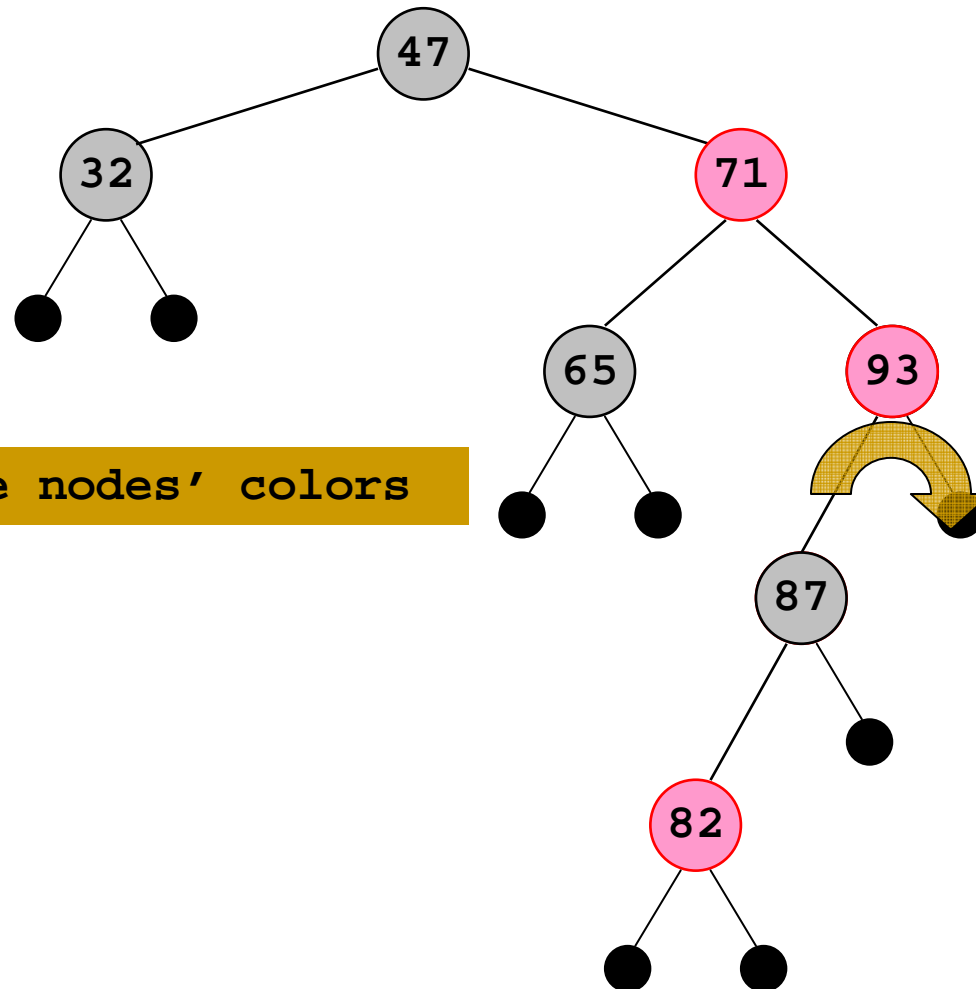


# Insertion Example

Insert 65

Insert 82

Insert 87



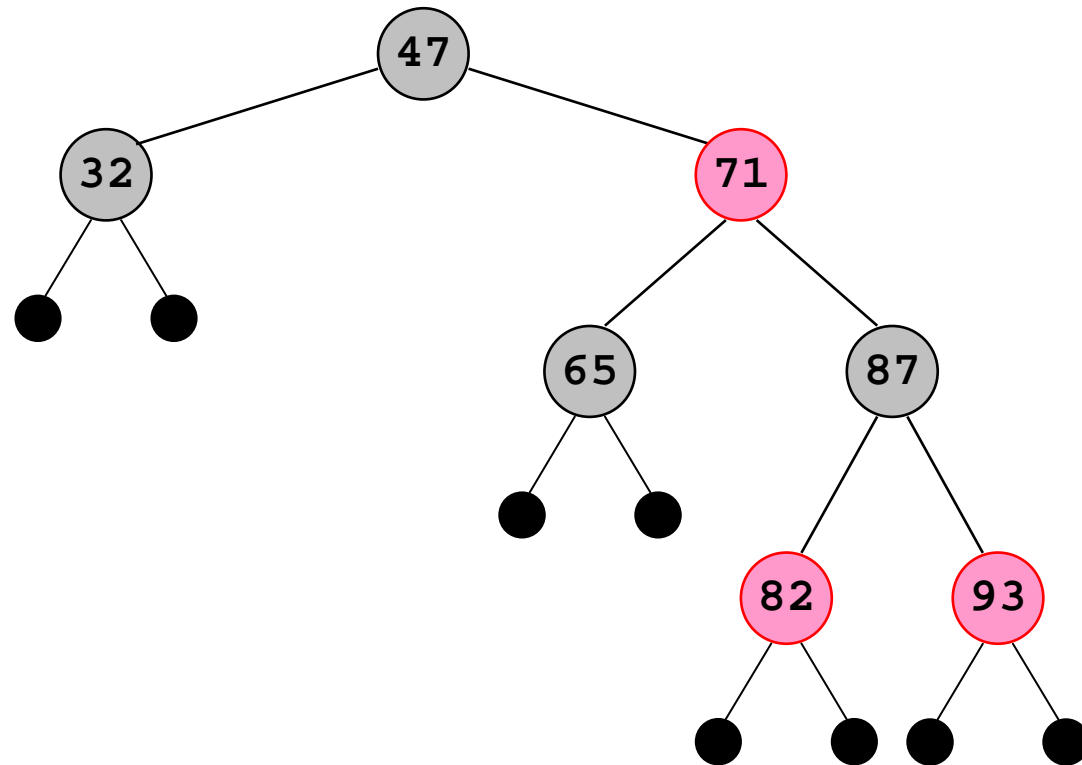
# Insertion Example



Insert 65

Insert 82

Insert 87



# Left Rotation: Modified algorithm



```
TreeNode<T> leftRotate(TreeNode<T> root,TreeNode<T> x)
//returns a new root; Pre: right child of x is a proper node (with value)
{
    TreeNode<T> z = x.getRight();

    x.setRight(z.getLeft());
    // Set parent reference
    if (z.getLeft() != null)
        z.getLeft().setParent(x);

    z.setLeft(x); //move x down

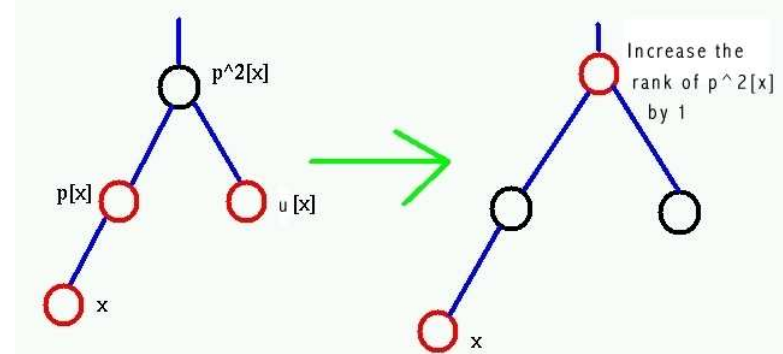
    z.setParent(x.getParent());
    // Set parent reference of x
    if (x.getParent() != null) //x is not the root
        if (x == x.getParent().getLeft()) //left child
            x.getParent().setLeft(z);
        else
            x.getParent().setRight(z);
    else
        root=z;

    x.setParent(z);
    return root;
}
```



# RB Tree: Insertion Algorithm

```
TreeNode<T> rbInsert(TreeNode<T> root,TreeNode<T> x)
// returns a new root
{
    root=bstInsert(root,x); // a modification of BST insertItem
    x.setColor(red);
    while (x != root and x.getParent().getColor() == red) {
        if (x.getParent() == x.getParent().getParent().getLeft()) {
            //parent is left child
            y = x.getParent().getParent().getRight() //uncle of x
            if (y.getColor() == red) { // uncle is red
                x.getParent().setColor(black);
                y.setColor(black);
                x.getParent().getParent().setColor(red);
                x = x.getParent().getParent();
            } else { // uncle is black
                // .....
            }
        } else
            // ... symmetric to if
    } // end while
    root.setColor(black);
    return root;
}
```



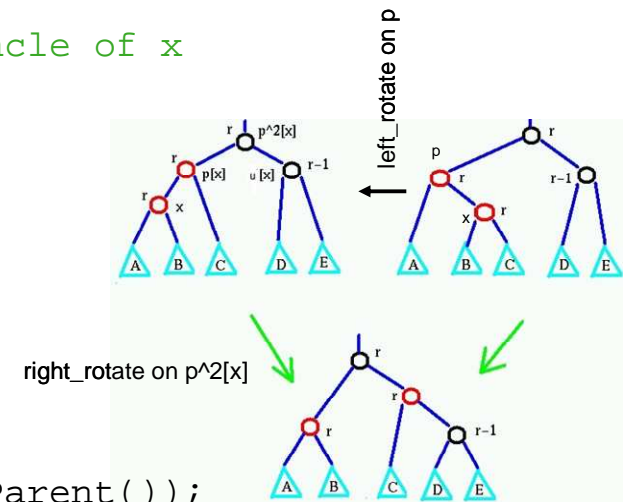
# RB Tree: Insertion Algorithm



```

TreeNode<T> rbInsert(TreeNode<T> root,TreeNode<T> newNode)
// returns a new root
{
    root=bstInsert(root,newNode); // a modification of BST insertItem
    x.setColor(red);
    while (x != root and x.getParent().getColor() == red) {
        if (x.getParent() == x.getParent().getParent().getLeft()) {
            //parent is left
            y = x.getParent().getParent().getRight() //uncle of x
            if (y.getColor() == red) { // uncle is red
                // .....
            } else { // uncle is black
                if (x == x.getParent().getRight()) {
                    x = x.getParent();
                    root = left_rotate(root,x);
                }
                x.getParent().setColor(black);
                x.getParent().getParent().setColor(red);
                root = right_rotate(root,x.getParent().getParent());
            }
        } else
            // ... symmetric to if
    } // end while
    root.setColor(black);
    return root;
}

```





# Red-black Tree Deletion

- First use the standard BST tree deletion algorithm
  - If the node to be deleted is replaced by its successor/predecessor (if it has two non-null children), consider the deleted node's data as being replaced by its successor/predecessor's, and its color remaining the same
    - The successor/predecessor node is then removed
- Let  $y$  be the node to be removed
- If the removed node was red, no property could get violated, so just remove it.
- Otherwise, remove it and call the tree-fix algorithm on  $y$ 's child  $x$  (the node which replaced the position of  $y$ )
  - Remember, the removed node can have at most one real (non-null) child
  - If it has one real child, call the tree-fix algorithm on it
  - If it has no real children (both children are null), Note that this child may be a (black) pretend (null) child



# Fixing a red-black Tree

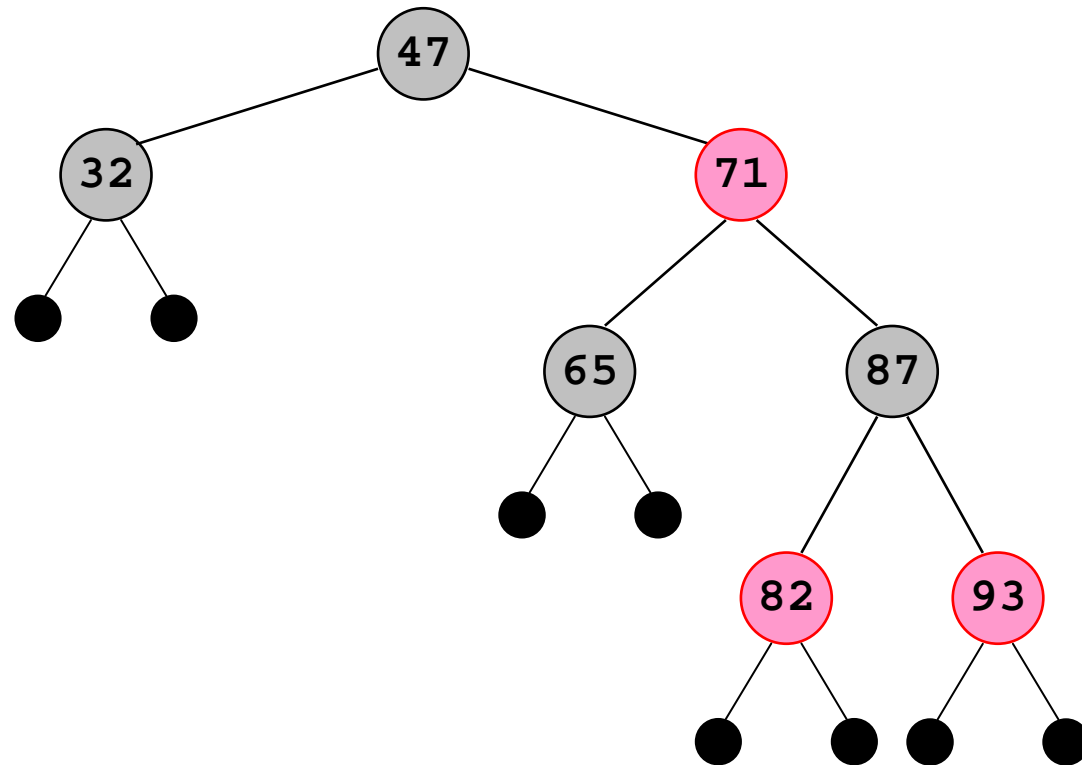
- The tree-fix algorithm considers the parameter ( $\mathbf{x}$ ) as having an “*extra*” black token
  - This corrects the violation of property 4 caused by removing a black node
- If  $\mathbf{x}$  is red, just color it black
- But if  $\mathbf{x}$  is black then it becomes “doubly black”
  - This is a violation of property 1
  - The extra black token is pushed up the tree until
    - a red node is reached, when it is made black
    - the root node is reached or
    - it can be removed by rotating and recoloring



# Deletion Example 1



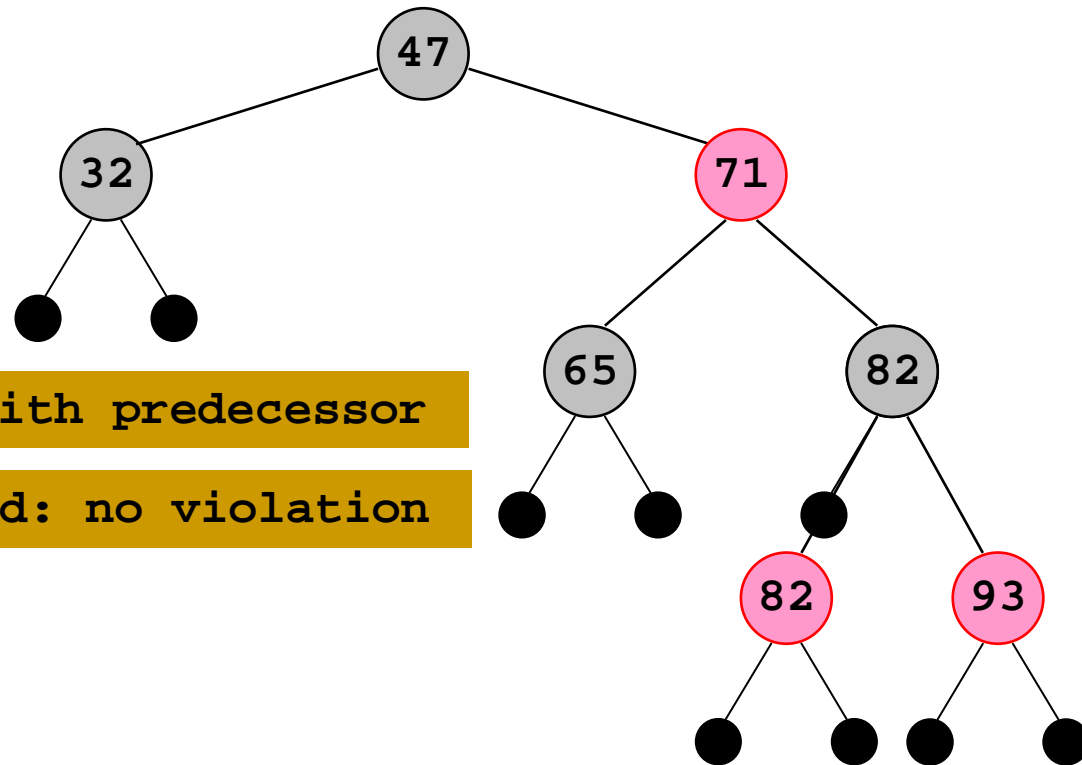
Delete 87





# Deletion Example 1

Delete 87



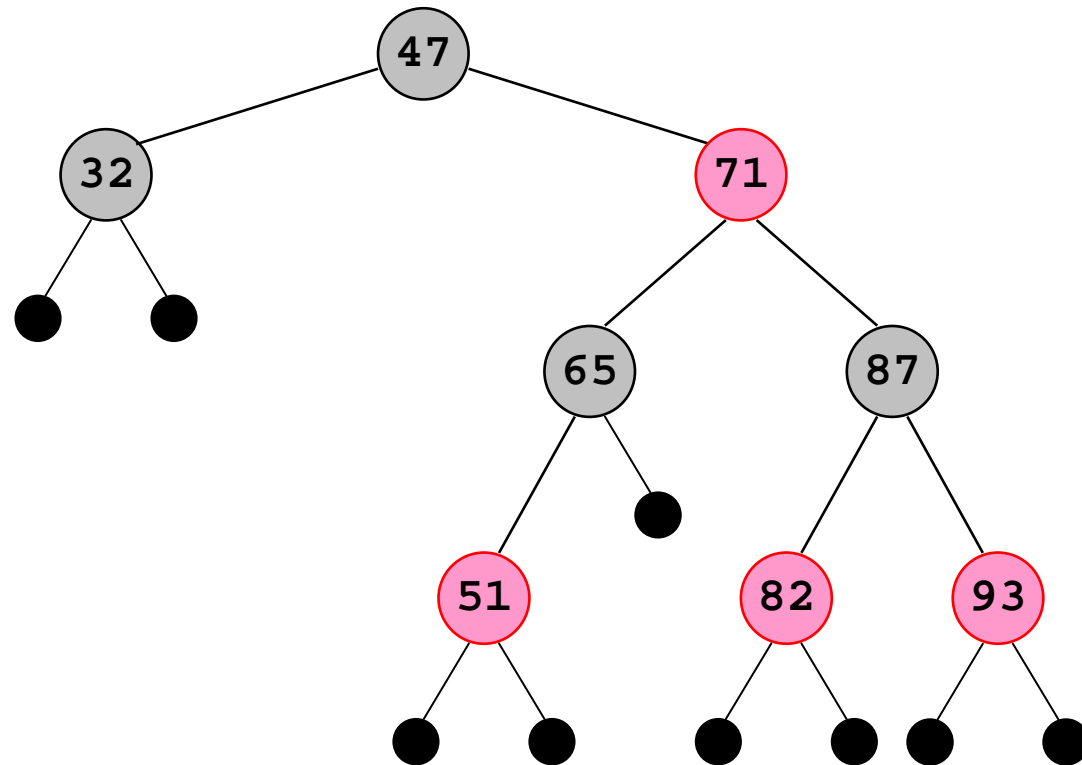
Replace data with predecessor

Predecessor red: no violation

# Deletion Example 2



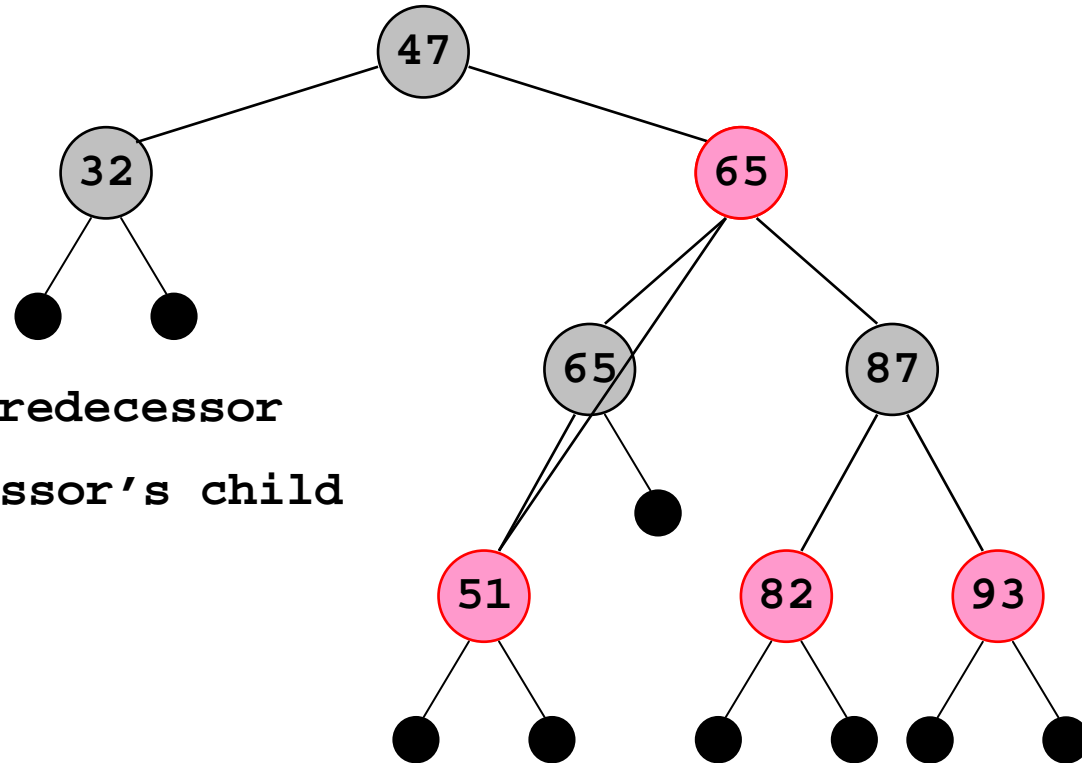
Delete 71





# Deletion Example 2

Delete 71



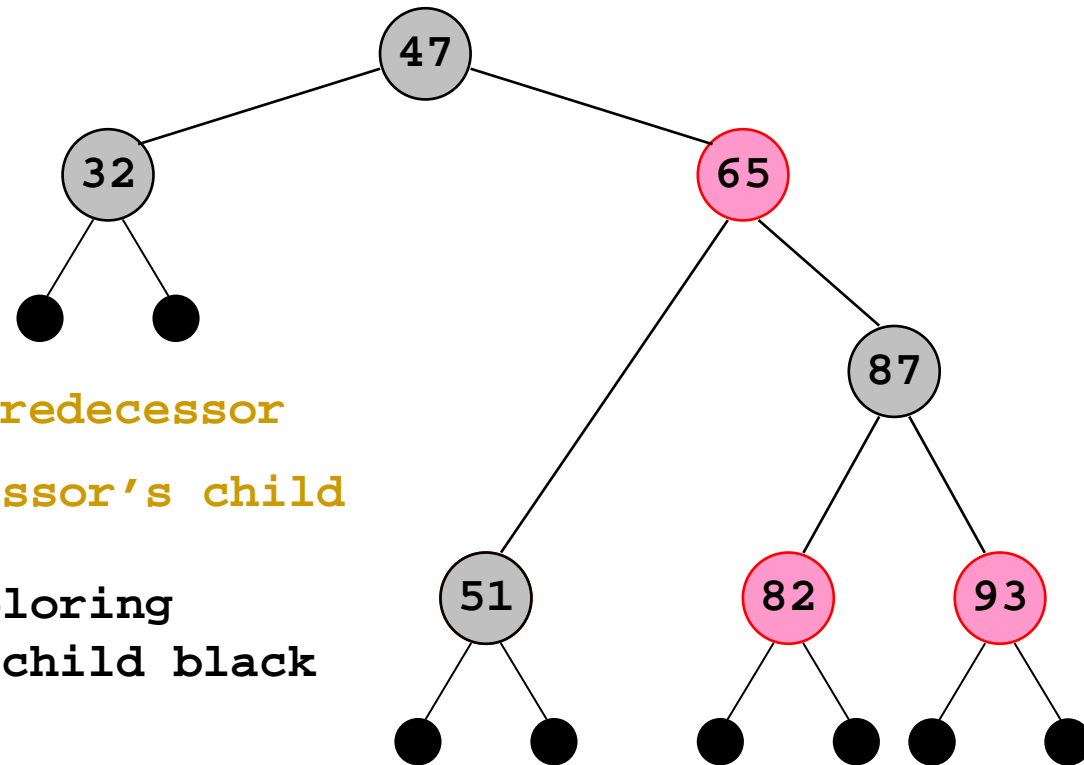
Replace with predecessor

Attach predecessor's child



# Deletion Example 2

Delete 71



Replace with predecessor

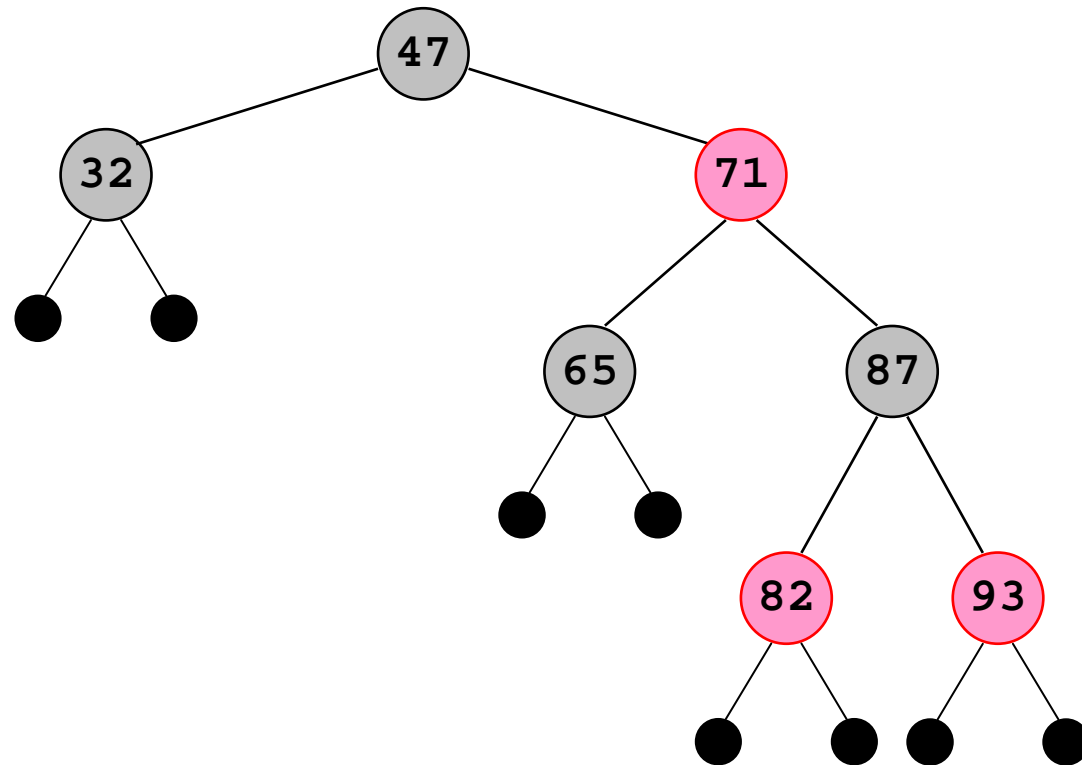
Attach predecessor's child

Fix tree by coloring predecessor's child black

# Deletion Example 3



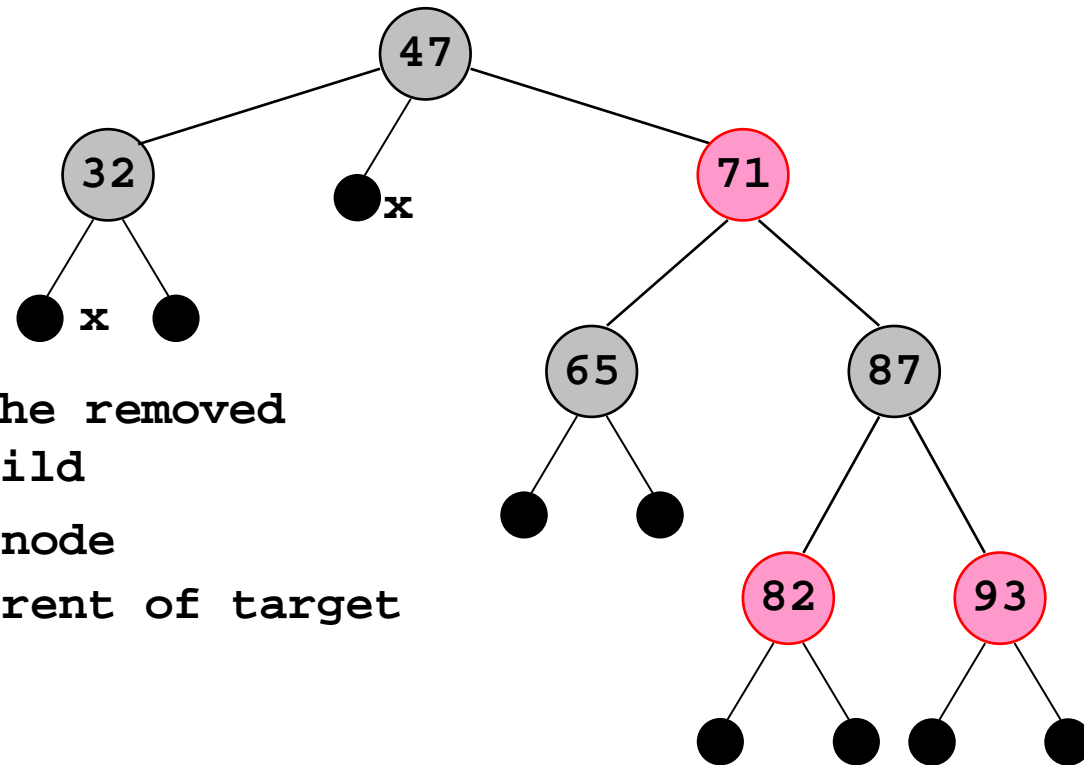
Delete 32





# Deletion Example 3

Delete 32



Identify **x** - the removed

node's left child

Remove target node

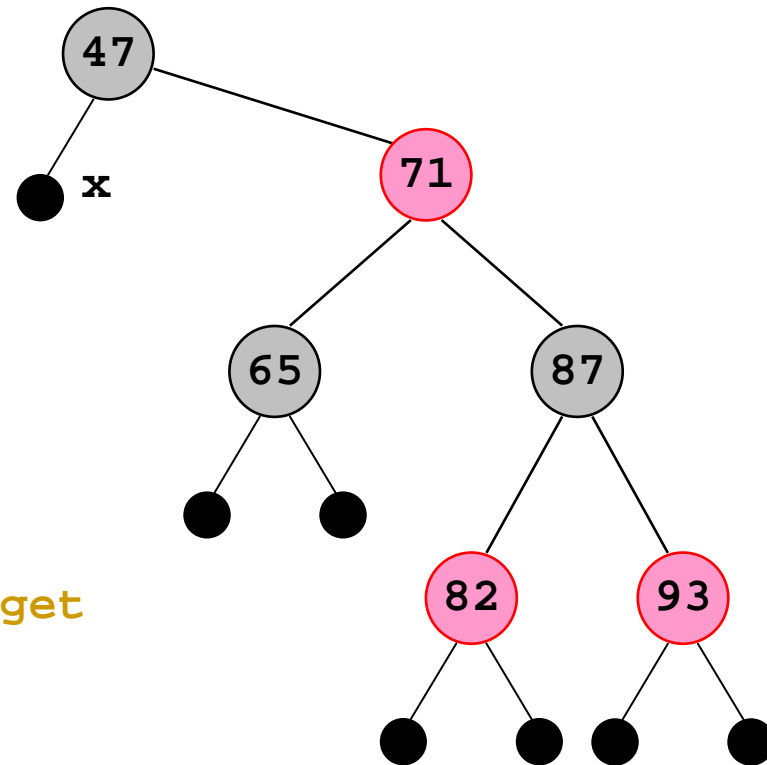
Attach **x** to parent of target



# Deletion Example 3

Delete 32

- Identify **x** - the removed node's left child
- Remove target node
- Attach **x** to parent of target
- Call `rbTreeFix` on **x**





# RB Tree Deletion Algorithm



```
TreeNode<T> rbDelete(TreeNode<T> root,TreeNode<T> z)
//return new root, z contains item to be deleted
{
    TreeNode<T> x,y;
    // find node y, which is going to be removed
    if (z.getLeft() == null || z.getRight() == null)
        y = z;
    else {
        y = successor(z); // or predecessor
        z.setItem(y.getItem); // move data from y to z
    }

    // find child x of y
    if (y.getRight() != null)
        x = y.getRight();
    else
        x = y.getLeft();

    // Note x might be null; create a pretend node
    if (x == null) {
        x = new TreeNode<T>(null);
        x.setColor(black);
    }
}
```

# RB Tree Deletion Algorithm



```
x.setParent(y.getParent()); // detach x from y
if (y.getParent() == null)
    // if y was the root, x is a new root
    root = x;
else
    // Attach x to y's parent
    if (y == y.getParent().getLeft()) // left child
        y.getParent().setLeft(x);
    else
        y.getParent().setRight(x);

if (y.getColor() == black)
    root=rbTreeFix(root,x);

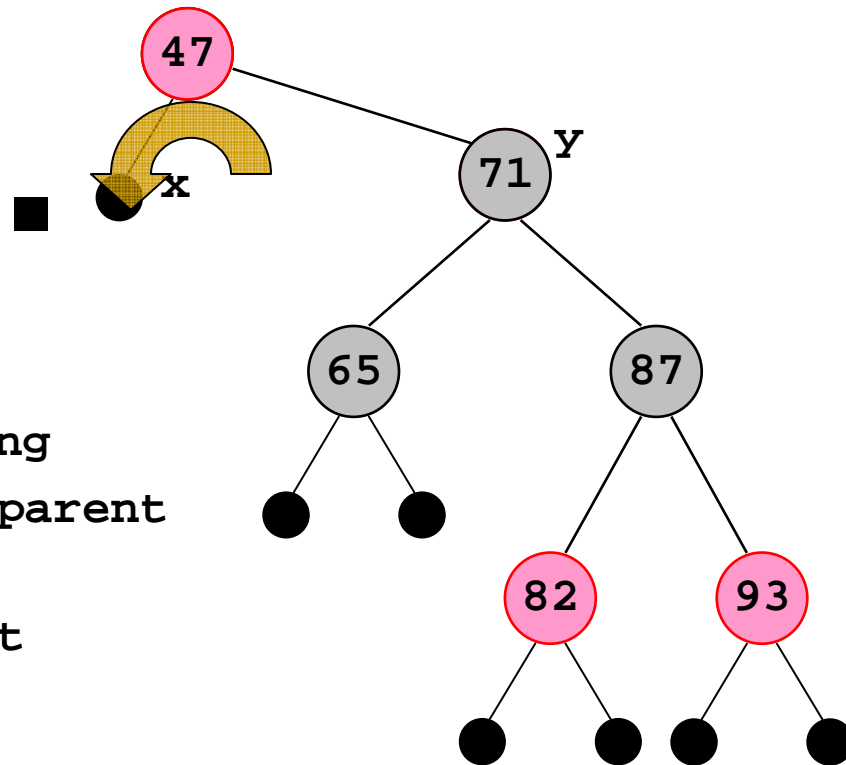
if (x.getItem() == null) // x is a pretend node
    if (x==x.getParent().getLeft())
        x.getParent().setLeft(null);
    else
        x.getParent().setRight(null);

return root;
}
```

# Deletion Example 3 (continued)



After deleting 32,  
x is a node with  
black token

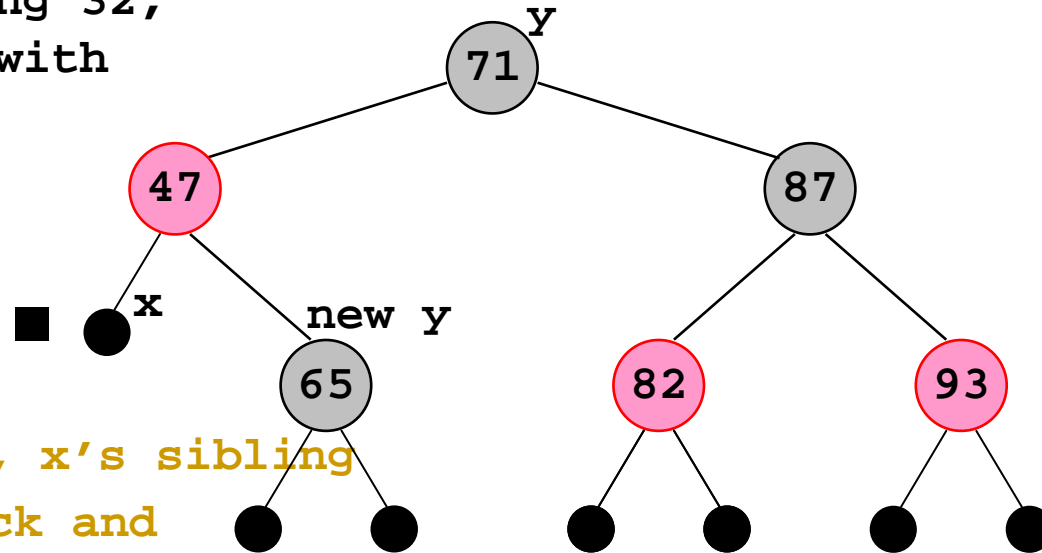


Identify  $y$ ,  $x$ 's sibling  
Make  $y$  black and  $y$ 's parent  
red  
Left rotate  $x$ 's parent



# Deletion Example 3

After deleting 32,  
x is a node with  
black token



Identify y, x's sibling

Make y black and  
y's parent red

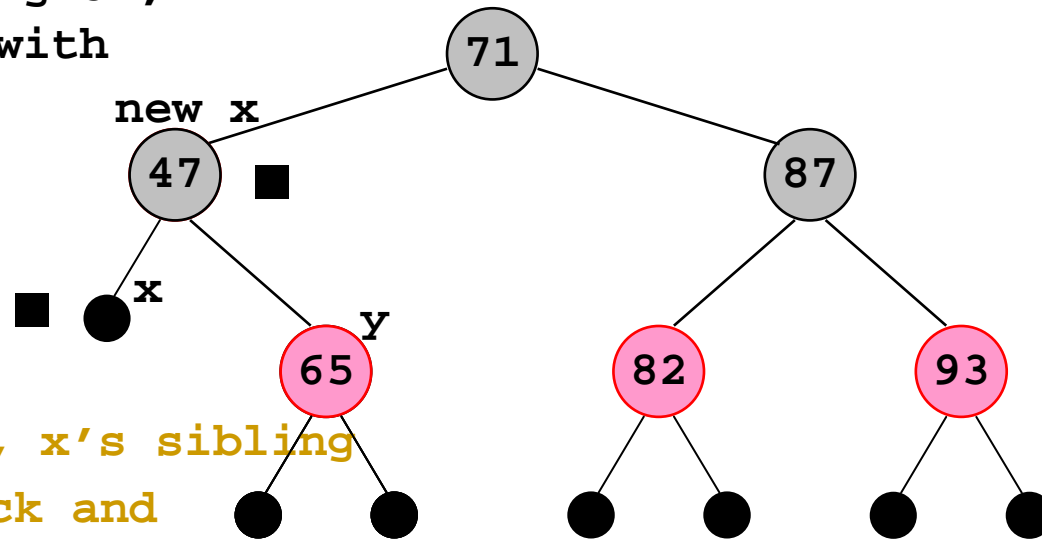
Left rotate x's parent

Identify y - x's new sibling



# Deletion Example 3

After deleting 32,  
x is a node with  
black token



Identify y, x's sibling

Make y black and  
y's parent red

Left rotate x's parent

Identify y - x's new sibling

Color y red

Assign x it's parent, and color it black

# Tree Fix algorithm cases: case (1)

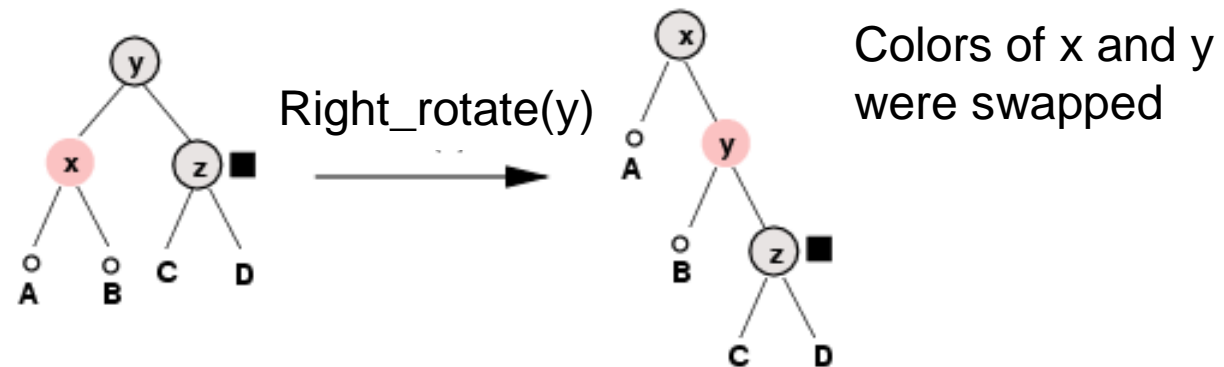
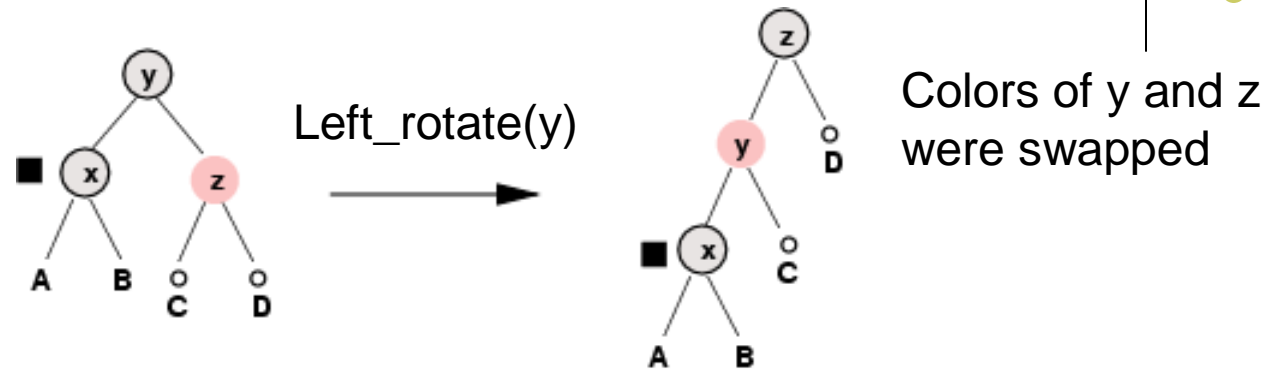
## x is red



- The simplest case
- x has a black token and is colored red, so just color it black and remove token and we are done!
- In the remaining cases, assume x is black (and has the black token, i.e., it's double black)

# Tree Fix algorithm cases: case (2)

## x's sibling is red

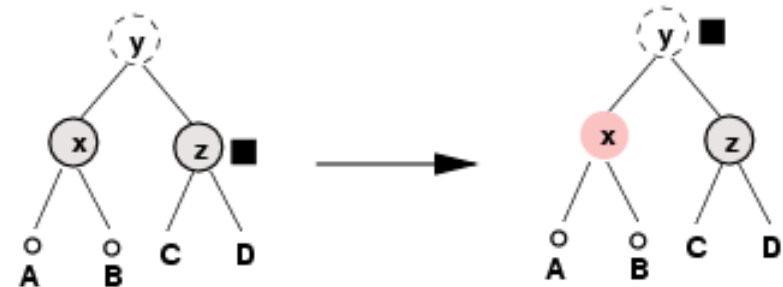
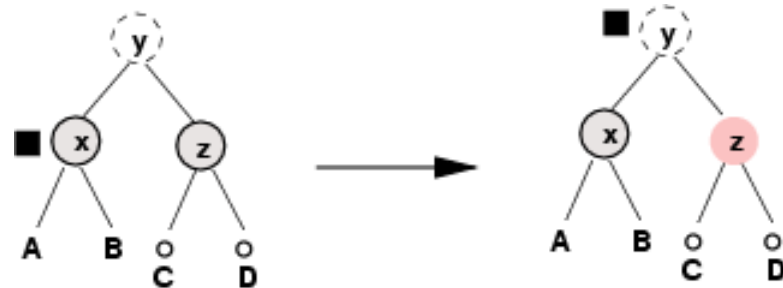


### Remarks:

- the roots of subtrees C and D are black
- the second is the symmetric case, when x is the right child
- in the next step (case (3) or (4)) the algorithm will finish!

# Tree Fix algorithm cases: case (3)

x's sibling is black and both nephews are black



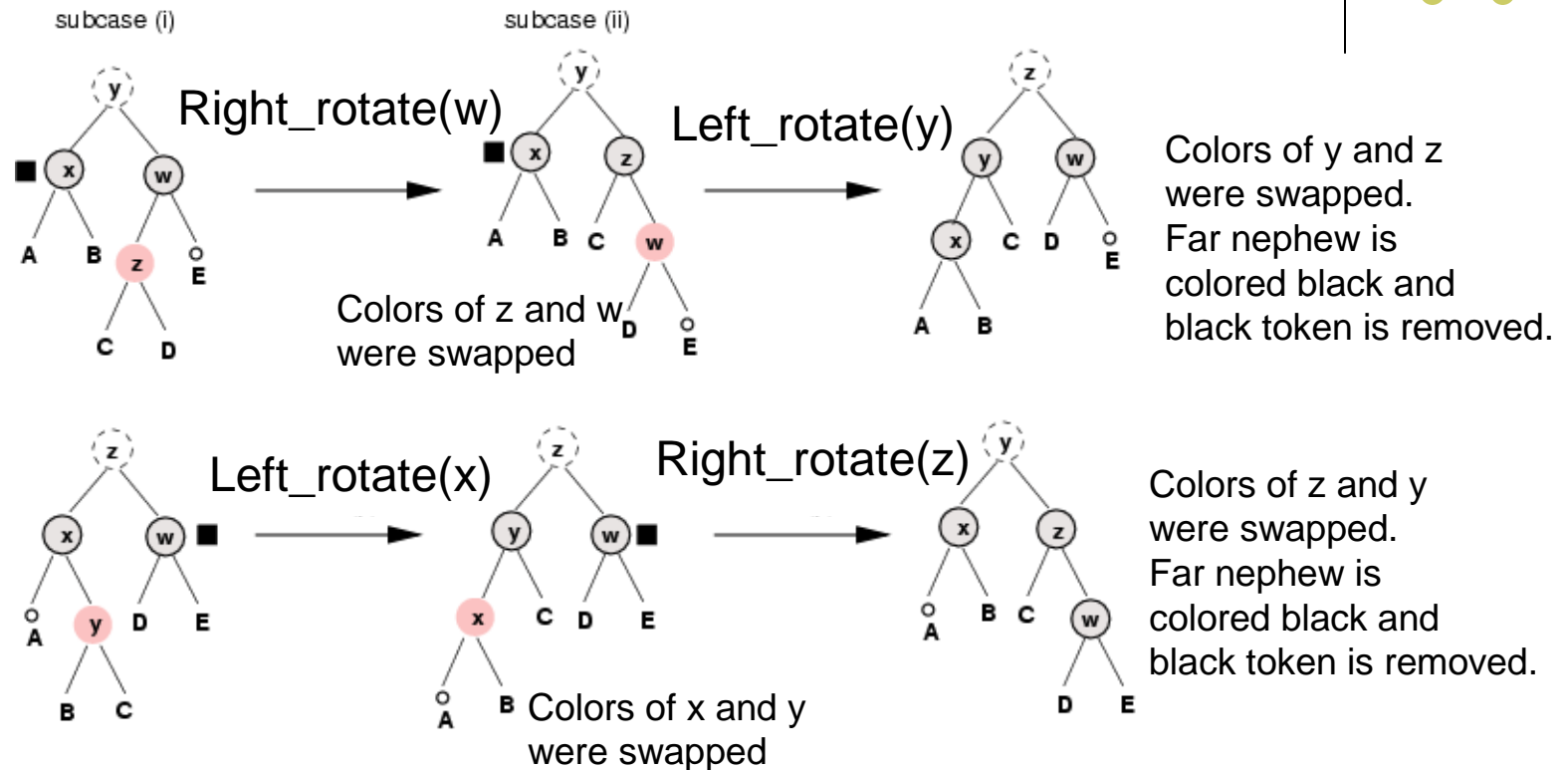
Remarks:

- nephews are roots of subtrees C and D
- the black token is passed one level up



# Tree Fix algorithm cases: case (4)

x's sibling is black and at least one nephew is red



## Remarks:

- in this case, the black token is removed completely
- if the “far” nephew is black (subcase (i)), rotate its parent, so that a new “far” nephew is red; otherwise start in subcase(ii)

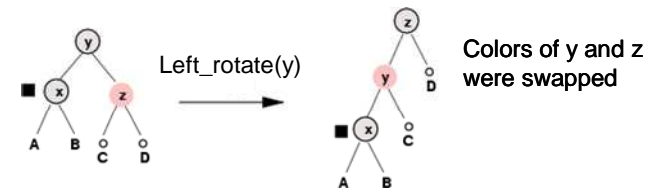
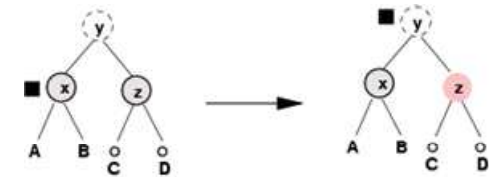
# Tree Fix Algorithm



```

TreeNode<T> rbTreeFix(TreeNode<T> root,TreeNode<T> x)
//return new root; x is a node with the black token
{
  while (x != root && x.getColor() == black) // not case (1)
    if (x == x.getParent().getLeft()) { // x is left child
      y = x.getParent().getRight(); // y is x's sibling
      if (y.getColor() == red) { // case (2)
        y.setColor(black);
        x.getParent().setColor(red); // p was black
        root = left_rotate(root,x.getParent());
        y = x.getParent().getRight(); // new sibling
      }
      if (y.getLeft().getColor() == black &&
          y.getRight().getColor() == black) {
        // nephews are black - case (3)
        y.setColor(red);
        x = x.getParent();
      } else { // case (4)
        // .....
      }
    } else {
      ... // x is right child - symmetric
    }
  // end while loop
  x.setColor(black);
  return root;
}

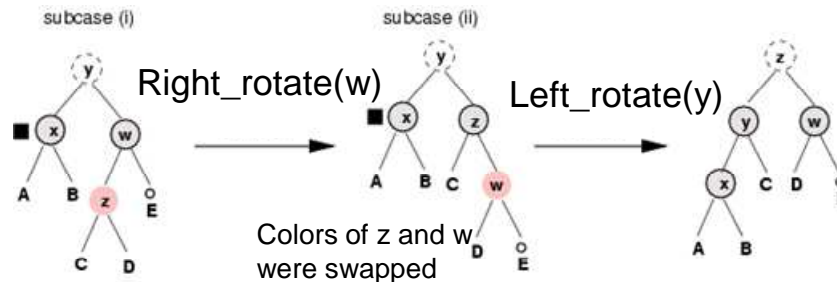
```



# Tree Fix Algorithm (continued)



```
} else { // case (4)
  if (y.getRight().getColor() == black) {
    // subcase (i)
    y.getLeft().setColor(black);
    y.setColor(red);
    root = right_rotate(root, y);
    y = x.getParent().getRight();
  }
  // subcase (ii)
  y.setColor(x.getParent().getColor());
  x.getParent().setColor(black);
  y.getRight().setColor(black);
  root = left_rotate(root, x.getParent());
  x = root; // we can finish
}
```





# RB Trees efficiency

- All operations work in time  $O(\text{height})$
- and we have proved that height is  $O(\log n)$
- hence, all operations work in time  $O(\log n)$ ! – much more efficient than linked list or arrays implementation of sorted list!

| <b>Sorted List</b> | Search      | Insertion   | Deletion    |
|--------------------|-------------|-------------|-------------|
| with arrays        | $O(\log n)$ | $O(n)$      | $O(n)$      |
| with linked list   | $O(n)$      | $O(n)$      | $O(n)$      |
| with RB trees      | $O(\log n)$ | $O(\log n)$ | $O(\log n)$ |